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03-21-2003

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION

PATEI



102396757

DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gang Chen
Ronen Rapaport
Elsa Reichmanis
Shu Yang

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 02/04/2003

2. Name and address of receiving party(ies)

Name: Lucent Technologies, Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

City: Murray Hill State: NJ Zip: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 02/04/2003

A. Patent Application No.(s)

B. Patent No.(s)

10383150

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter H. Priest

Priest & Goldstein, PLLC

Internal Address: _____

Street Address: 5015 Southpark Drive

Suite 230

City: Durham State: NC Zip: 27713-7736

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41). \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jay M. Brown

Name of Person Signing

Signature

March 6, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

03/20/2003 DBYRNE 00000201 10383150

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PATENT
REEL: 013860 FRAME: 0223

ASSIGNMENT AND AGREEMENT

For value received, we, Gang Chen of New Providence in the State of New Jersey, Ronen Rapaport of Chatham in the State of New Jersey, Elsa Reichmanis of Westfield in the State of New Jersey, and Shu Yang of North Plainfield in the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Process for Making Crystalline Structures Having Interconnected Pores and High Refractive Index Contrasts** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.



Gang Chen

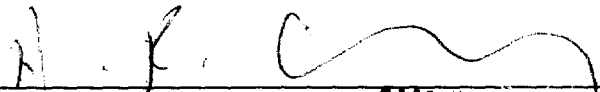
Date: 02/04/03

United States of America)

State of New Jersey) ss.:

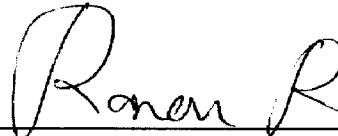
County of Union)

On this 4 day of February, 2003, before me personally came Gang Chen, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

AVA R. CRUZ
NOTARY PUBLIC OF NEW JERSEY
Commission Expires 12/27/2006

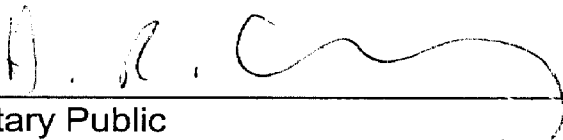


Ronen Rapaport

Date: 02/04/03


United States of America)
State of New Jersey) ss.:
County of Union)

On this 4 day of February, 2003, before me personally came Ronen Rapaport, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

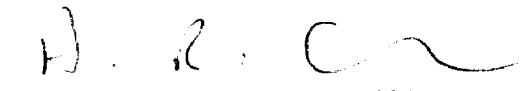
AVA R. CRUZ
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Elsa Reichmanis

Date: 2/4/03

United States of America)
State of New Jersey) ss.:
County of Union)

On this 4 day of February, 2003, before me personally came Elsa Reichmanis, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public **AVA R. CRUZ**
 NOTARY PUBLIC OF NEW JERSEY
 Commission Expires 12/27/2006



Shu Yang

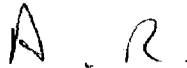
Date: 2/4/03

United States of America)

State of New Jersey) ss.:

County of Union)

On this 5 day of February, 2003, before me personally came Shu Yang, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

AVA R. CRUZ
NOTARY PUBLIC OF NEW JERSEY
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Lucent Technologies Inc.
600 Mountain Avenue (Room 3C-512)
P. O. Box 636
Murray Hill, New Jersey 07974-0636