

03-24-2003



Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

RE

LYB-401 (10025386)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Balzers und Leybold Deutschland Holding AG

10.29.02

Additional name(s) of conveying party(ies)
attached?☐ Yes☒ No

3. Nature of Conveyance:

☐ Assignment☐ Merger☐ Security Agreement☒ Change of Name☐ Other

Execution Date: December 5, 2000

2. Name and address of receiving party(ies)

Name: Unaxis Deutschland Holding GmbH

Internal Address:

Street Address: Wilhelm-Rohn-Strasse 25

City: D-63405 Hanau

State: Germany

Zip:

Additional name(s) &
address(es) attached:☐ Yes☒ No

4. Application number(s) or patent number(s): 09/719,525

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

B. Patent No.(s):

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James R. Crawford
FULBRIGHT & JAWORSKI L.L.P.

Internal Address: Atty. Dkt.: LYB-401

Street Address: 666 Fifth Avenue

City:
New York

State: NY Zip: 10103

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) \$ 40.00

☒ sent on October 23, 2002☒ Authorized to be charged to deposit account
if additional fees are due

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

James R. Crawford
Name of Person Signing

Signature

March 13, 2003
Date

Total number of pages including cover sheet, attachments, and documents: 8

Recordation Form Cover Sheet

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: 3/17/03

Signature:

Eileen Sheffield

(Eileen Sheffield)

PATENT

25221359_1.DOC

REEL: 013863 FRAME: 0892

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Internal Address: Atty. Dkt.: LYB-401

Street Address: 666 Fifth Avenue

City: New York State: NY Zip: 10103

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

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8. Deposit account number:

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James R. Crawford
Name of Person Signing

Signature

October 23, 2002
Date

Total number of pages including cover sheet, attachments, and documents: 6

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Recordation Form Cover Sheet

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Dated: 10/23/02

Signature: Eileen Sheffield (Eileen Sheffield)

PATENT

25221359_1.DOC

REEL: 013863 FRAME: 0893

Nr. der Eintragung	a) Firma b) Sitz c) Gegenstand des Unternehmens	Grund- oder Stammkapital DM	Vorstand Persönlich beauftragte Geschäftsführer Abwickler	Prokura	Rechtsverhältnisse	a) Tag der Eintragung und Unterschrift b) Bemerkungen
1	a) Maxx Deutschland Holding GmbH b) Hanau c) Das Halten von Beteiligungen an anderen Gesellschaften, insbesondere an Unternehmen der folgenden Branchen: - Vakuumtechnik - Vakuum-Verfahrenstechnik - Beschichtungs-Verfahrenstechnik - Anlagentechnik - Computer- und Digitaltechnik auf Gebieten industriell-technischer Anwendungen - Tieftemperaturtechnik und medizintechnisch-biologische Technik - Lehr- und Ausbildungsgänge und -systeme für Wirtschafswissenschaft, Technik und Medizin.	EUR 30.680.000	Volker Graf, geb. 05.07.1950, Helsberg	Prokura gemeinsam mit einem Geschäftsführer oder einem Prokuristen: Karl-Walter Böld, geb. 23.11.1949, Köln Rainer Haupt, geb. 23.11.1952, Hansstadt Dr. Bernhard Reikert, geb. 27.08.1941, Karlsruhe Thomas Seppelt, geb. 10.02.1956, Bergheim-Quadrath-Ischendorf Rolf Laumann, geb. 18.07.1949, Ober-Ramstadt	Gesellschaft mit beschränkter Haftung. Die Gesellschaft ist dadurch entstanden, dass die in HRB 1656 des Amtsgerichts Hanau eingetragene Halzers und Leybold Deutschland Holding AG durch Beschluss der Hauptversammlung vom 21.09.2000 gem. §§ 190 ff., 226 ff. WGG in eine Gesellschaft mit beschränkter Haftung umgewandelt hat. Der Gesellschaftsvertrag ist am 21.09.2000 mit Änderung vom 31.10.1990 festgesetzt. Die Gesellschaft hat einen Aufsichtsrat. Die Gesellschaft hat mit ihrer Tochtergesellschaft Halzers AG GmbH in Hanau einen Ergebnisführungsvertrag vom 15.11.1999 und mit der Halzers Leybold Optics GmbH in Hanau einen Ergebnisführungsvertrag vom 17.12.1999 mit Ergänzung vom 27.01.2000 abgeschlossen. Die Gesellschaft hat einen oder mehrere Geschäftsführer, ist nur ein Geschäftsführer bestellt, vertritt er die Gesellschaft allein und ist von den Geschäftsführern des § 181 BGB befreit. Bei mehreren Geschäftsführern wird die Gesellschaft durch zwei Geschäftsführer gemeinsam oder durch einen Geschäftsführer zusammen mit einem Prokuristen vertreten.	a) 15.12.2000 (11gen) b) Subst. 1. ff. Eintr. 969.11. 4 d.A. Bisher Amtsgericht Hanau HRB 1656
2				Die Prokuren Rainer Haupt und Thomas Seppelt sind erloschen. Prokura gemeinsam mit einem Geschäftsführer oder einem Prokuristen:		a) 19.1.2001 (11gen) b)

160101

HRB 6897

Blatt 1

Amtsgericht Hanau

Entry No.	a) Name b) Domicile c) Business	Basic Capital DM	President, Personally Liable Partner, Manager, Administrator	Procurators	Situation in Law	a) Entry date and signature b) Remarks
1	2	3	4	5	6	7

1	a) Unaxis Deutschland Holding GmbH b) Hanau c) The holding of shares in other companies, especially in those of the following fields: -Vacuum technology -Vacuum process technology -Coating technology -Coating process technology -Plant technology -Computer and digital technology in the fields of industrial technological applications -Low temperature technology and medical/biological technology -Teaching and educational apparatus and systems for natural science, technology and medicine.	EUR10,680,000	Volker Grafe, born 5 July 1950 Heinsberg	Procuration together with one manager or one procurist: Karl-Heinz Ewald, born 25 Nov. 1949 <u>Thomas Segalla,</u> <u>born 10 Feb. 1956</u> <u>Bergheim-Quadrath-Ichendorf</u> Rolf Laumann born 10 Feb. 1969 Ober-Ramstadt	A "Gesellschaft mit beschränkter Haftung" [corporation] The existence of the company began when Balzers und Leybold Deutschland Holding AG registered in HRB 3656 of the Hanau District Court, by decision of the general meeting of 21/08/2000 according to §§ 150 ff., 226 ff. UmwG [law on conversions] converted to a Gesellschaft mit beschränkter Haftung. The company made a remittance of proceeds contract with its affiliate Balzers SCI GmbH in Hanau dated 5 Nov. 1999 and a proceeds remittance contract with Balzers Leybold Optics GmbH in Hanau dated 17 Dec. 1999 with addition of 27 January 2000. The company has one or more managers. If only one manager is appointed, he represents the company alone and is freed of the limitations of §181 of the Federal Code. In case of a plurality of managers, the company is represented by two managers together or by one manager together with a procurist.	a) 5 Dec. 2-2 [s] (Ilger) b) [illegible abbreviations] Formerly Hanau District Court HRB 1656
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2				The Procurists Rainer Haupt and Thomas Sagalla are canceled Procuration together with one manager or one procurist:			a) 21 February 2001 b) Now HRB 6933 Hanau District Court
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