

03-25-2003



To the Honorable Commissioner of Patent

102399274

original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>(1) Armstrong, Troy David</p> <p>(2) Armstrong, William Joseph</p> <p>(3) Eide, Curtis Shannon</p> <p>(4) Nordstrom, Gregory Michael</p> <p style="margin-top: 10px;">Additional names of conveying party(ies) attached?</p> <p><input type="checkbox"/> yes <input checked="" type="checkbox"/> no</p> <hr/> <p>3. Nature of Conveyance</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Other _____</p> <p style="margin-top: 10px;">Execution Date:</p> <p>(1) March 10, 2003</p> <p>(2) March 11, 2003</p> <p>(3) March 10, 2003</p> <p>(4) March 10, 2003</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>International Business Machines Corporation</u></p> <p>Internal Address: _____</p> <p>_____</p> <p>_____</p> <p>Street Address: <u>New Orchard Road</u></p> <p>City <u>Armonk</u></p> <p>State <u>New York</u> Zip <u>10504</u></p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> yes <input checked="" type="checkbox"/> no</p>			
<p>4. Application number(s) or patent number(s): 10388350</p> <p>TITLE: APPARATUS AND METHOD FOR CONTROLLING RESOURCE TRANSFERS IN A LOGICALLY PARTITIONED COMPUTER SYSTEM</p> <p>If this document is being filed together with a new application, the execution date of the application is _____.</p> <table style="width: 100%;"> <tr> <td style="width: 50%;"> <p>A. Patent Application No(s).</p> <p style="text-align: center;">ROC920020175US1</p> </td> <td style="width: 50%;"> <p>B. Patent No(s).</p> </td> </tr> </table> <p style="text-align: center;">Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		<p>A. Patent Application No(s).</p> <p style="text-align: center;">ROC920020175US1</p>	<p>B. Patent No(s).</p>	
<p>A. Patent Application No(s).</p> <p style="text-align: center;">ROC920020175US1</p>	<p>B. Patent No(s).</p>			
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p style="margin-top: 20px;">Steven W. Roth IBM Corporation Intellectual Property Law Dept. 917 3605 Hwy. 52 North Rochester, MN 55901 507-253-1600</p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41): <u>\$40.00</u></p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p style="margin-left: 20px;"><input type="checkbox"/> Total fee due</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Any deficiencies in the enclosed fees</p> <p>8. Deposit account number:</p> <p style="margin-left: 20px;"><u>09-0465 for IBM Corporation</u></p> <p style="font-size: 0.8em;">(Attach duplicate copy of this page if paying by deposit account)</p>			
<p>DO NOT USE THIS SPACE</p>				
<p>9. Statement and signature</p> <p style="margin-top: 10px;">To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <table style="width: 100%;"> <tr> <td style="width: 30%;"> <p><u>Steven W. Roth, Reg. No. 34,712</u></p> <p>Name of Person Signing</p> </td> <td style="width: 30%; text-align: center;"> <p>Signature</p> </td> <td style="width: 30%; text-align: right;"> <p><u>March 13, 2003</u></p> <p>Date</p> </td> </tr> </table> <p style="text-align: right;">Total number of pages comprising cover sheet: <u>1</u></p>		<p><u>Steven W. Roth, Reg. No. 34,712</u></p> <p>Name of Person Signing</p>	 <p>Signature</p>	<p><u>March 13, 2003</u></p> <p>Date</p>
<p><u>Steven W. Roth, Reg. No. 34,712</u></p> <p>Name of Person Signing</p>	 <p>Signature</p>	<p><u>March 13, 2003</u></p> <p>Date</p>		

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03/25/2003 TB1A21 00000009 090465 10388350
 01 FC:0021 40.00 CH

ASSIGNMENT

Whereas, we

- | | | |
|-----|---------------------------|---|
| (1) | Troy David Armstrong | City of Rochester
and State of Minnesota |
| (2) | William Joseph Armstrong | City of Rochester
and State of Minnesota |
| (3) | Curtis Shannon Eide | City of Rochester
and State of Minnesota |
| (4) | Gregory Michael Nordstrom | City of Pine Island
and State of Minnesota |

have invented certain improvements in:

**APPARATUS AND METHOD FOR CONTROLLING RESOURCE TRANSFERS IN A
LOGICALLY PARTITIONED COMPUTER SYSTEM**

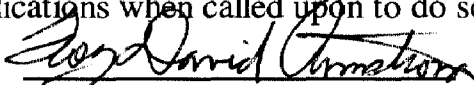
and executed, respectively, a United States patent application therefor on

- | | | | | | |
|-----|-----------|------|-----|-----------|------|
| (1) | March 10, | 2003 | (2) | March 11, | 2003 |
| (3) | March 10, | 2003 | (4) | March 10, | 2003 |

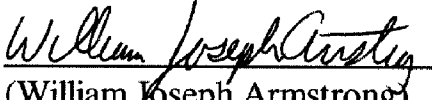
And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) at Rochester, Minnesota
on 3/10/2003 2003

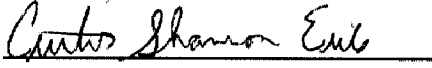

(Troy David Armstrong) Signature
First Name Middle Last Name Inventor

and (2) at Rochester, Minnesota
on *March 11*, 2003


(William Joseph Armstrong)
First Name Middle Last Name

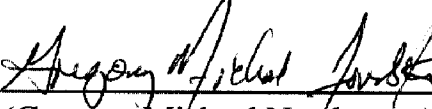
Signature
Inventor

and (3) at Rochester, Minnesota
on *March 10*, 2003


(Curtis Shannon Eide)
First Name Middle Last Name

Signature
Inventor

and (4) at Rochester, Minnesota
on *March 10* 2003


(Gregory Michael Nordstrom)
First Name Middle Last Name

Signature
Inventor