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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Phyllis R. Heronemus

3.7.03

2. Name and address of receiving party(ies)

Name: Ocean Wind Energy Systems

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 233 Needham Street

City: Newton State: MA Zip: 02464

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/7/03

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John Vanden Bosche

Internal Address: _____

6. Total number of applications and patents involved: ☐ 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number: _____

Street Address: 17405 NE 131 Street

City: Redmond State: WA Zip: 98052

DO NOT USE THIS SPACE

9. Signature.

John Vanden Bosche

Name of Person Signing

Signature

March 6, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013866 FRAME: 0402

Assignment of Invention and Patent Application

For value received, Phyllis R. Heronemus, as Legal Representative of the estate of

William E. Heronemus of 730 West Street, Amherst, MA 01002

(hereinafter referred to as ASSIGNOR), hereby sells, assigns, transfers, and sets over

unto Ocean Wind Energy Systems of

233 Needham Street, Newton, MA 02464 and any

successors or assigns thereof (hereinafter referred to as ASSIGNEE) the following: (A)

ASSIGNOR'S right, title, and interest in and to the invention entitled "Offshore Wind Turbine

_____ "invented by

William E. Heronemus ; (B) the provisional application for United States patent therefor,

filed with U.S. Patent and Trademark Office Serial Number

60/362,790 filed on March 8, 2002; (C) any patent

or reissues of any patent that may be granted thereon; and (D) any applications which are

continuations, continuations-in-part, substitutes, or divisions of said application or which

claim priority to said application under 35 U.S.C. 119(e). ASSIGNOR authorizes

ASSIGNEE to enter the date of signature and/or Serial Number and Filing Date in the

spaces above. ASSIGNOR also authorizes and requests the Commissioner for Patents to

issue any resulting patent(s) to ASSIGNEE.

ASSIGNOR hereby further sells, assigns, transfers, and sets over unto ASSIGNEE,

ASSIGNOR'S entire right, title, and interest in and to said invention in each and every

country foreign to the United States; and ASSIGNOR further conveys to ASSIGNEE the entirety of all priority rights resulting from the above-identified application for United States patent. Assignor agrees to execute all papers, give any required testimony, and perform other lawful acts, at ASSIGNEE'S expense, as ASSIGNEE may require to enable ASSIGNEE to perfect ASSIGNEE'S interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey, and uphold the validity of said patent(s) and reissues and extensions thereof, and ASSIGNEE'S interest therein.

In testimony whereof ASSIGNOR has hereunto set its hand and seal on the date below,

Stephen G. Hummer
March 4, 2003

State: MA

County: Hampshire

Subscribed and sworn to before me Maurice E Bowler, 2003

Maurice E Bowler
Notary Public

SEAL

Maurice E Bowler
Notary Public
My Commission Expires
February 28, 2005