

03-25-2003

Attorney Docket Number
9775-127-999

102398849

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

37.03
Jian Liu, Zheng Wang, Yonglin Huang,
Xuejun LuAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: January 23, 2003, February 12, 2003,
February 26, 2003, February 26, 2003, respectively

2. Name and address of receiving party(ies):

Name: Finisar CorporationAddress: 1308 Moffet Park DriveSunnyvale, CA 94089-1133

Country (if other than USA): _____

4. Application number(s) or patent number(s):

10384228

If this document is being filed together with a new application, the execution date of the application is: January 23, 2003, February 12,
2003, February 26, 2003, March 3, 2003, respectively

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
3300 Hillview Avenue
Palo Alto, CA. 943046. Number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00
Please charge to the deposit account listed in Section 8.8. Deposit account number:
16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary S. Williams

31,066

March 7, 2003

Name of Person Signing

Reg. No.

Signature

Date

Total number of pages including cover sheet:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

03/25/2003 6TON11 00000031 161150 10384228

01 FC:8021 40.00 CH

ASSIGNMENT

WHEREAS, WE, Jian Liu, Zheng Wang, Yonglin Huang, Xuejun Lu, ASSIGNORS, citizens of China, China, United States, China, residing at 1773 Karamenos Ct., Sunnyvale, CA 94087; 1818 Libretto Ct., San Jose, CA 95132; 518 Vista Spring Ct., Milpitas, CA 95035; 1290 Beethoven Common #201, Fremont, CA 94538 are the inventors of the invention in DUAL FIBER OPTIC AMPLIFIER WITH SHARED PUMP SOURCE for which we have executed an application for a Patent of the United States

☒ which is executed on ☒ even date herewith or ☐ _____

☒ which is identified by Pennie & Edmonds LLP docket no. 9775-127-999

☐ which was filed on , Application No.

☒ I hereby authorize and request my attorney, Gary S. Williams, of Pennie & Edmonds, LLP, 3300 Hillview Avenue, Palo Alto, California 94304, to insert here in parentheses (Application number _____, filed _____) the filing date and application number of said application when known.

and WHEREAS, Finisar Corporation, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

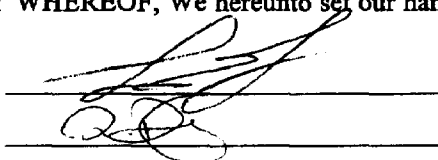
AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

JIAN LIU



Date

1-23-03

WITNESS

Date

1-23-03

ZHENG WANT

Date

WITNESS

Date

YONGLIN HUANG

Date

WITNESS

Date

XUEJUN LU

Date

WITNESS

Date

ASSIGNMENT

WHEREAS, WE, Jian Liu, Zheng Wang, Yonglin Huang, Xuejun Lu, ASSIGNORS, citizens of China, China, United States, China, residing at 1773 Karamenos Ct., Sunnyvale, CA 94087; 1818 Libretto Ct., San Jose, CA 95132; 518 Vista Spring Ct., Milpitas, CA 95035; 1290 Beethoven Common #201, Fremont, CA 94538 are the inventors of the invention in DUAL FIBER OPTIC AMPLIFIER WITH SHARED PUMP SOURCE for which we have executed an application for a Patent of the United States

☒ which is executed on ☒ even date herewith or ☐ _____

☒ which is identified by Pennie & Edmonds LLP docket no. 9775-127-999

☐ which was filed on , Application No.

☒ I hereby authorize and request my attorney, Gary S. Williams, of Pennie & Edmonds, LLP, 3300 Hillview Avenue, Palo Alto, California 94304, to insert here in parentheses (Application number _____, filed _____) the filing date and application number of said application when known.

and WHEREAS, Finisar Corporation, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

JIAN LIU _____ Date _____

WITNESS _____ Date _____

ZHENG WANT  _____ Date 2/12/02

WITNESS  _____ Date _____

YONGLIN HUANG  _____ Date 2/26/2003

WITNESS  _____ Date _____

XUEJUN LU  _____ Date 2/26/2003

WITNESS  _____ Date _____

PATENT

CA1 - 328577.1

RECORDED: 03/07/2003

REEL: 013869 FRAME: 0983