03-25-2003

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**PATENTS ONLY** 

Commissioner for Patents Washington, DC 20231

Please record the attached original document.

3. **7**. 63

- 1. Name of conveying party(ies): Wayne S. Counterman
- Name and address of receiving party(ies): 2.

Name:

ALSTOM (Switzerland) Ltd

Street Address: Brown Boveri Strasse 7

City, State, ZIP: 5401 Baden

Country:

Switzerland

Nature of Conveyance: Assignment 3. Execution Date: January 29, 2003

10383931

- This document is being filed with a new application, the execution date of which is 4. January 29, 2003.
- Name and address of party to whom correspondence concerning document should be 5. mailed:

Clifford P. Kelly, Esq. Alix, Yale & Ristas, LLP 750 Main Street

Hartford, CT 06103-2721

- Total number of applications involved: 1 6.
- Total fee enclosed: \$40.00. If this amount is incorrect, please charge or credit the 7. difference to Deposit Account No. 16-2563.
- Total number of pages including cover sheet, attachments and document: 3 8.

To the best of my knowledge and belief, the foregoing information is true and correct.

Date: March 7, 2003

Attorney's Docket No. APIAPH/246/US

3/24/2003 LNUELLER 00000020 10383931

FC:8021

40.00 OP

EV 235 258 303 US

**PATENT** REEL: 013871 FRAME: 0804

## **ASSIGNMENT**

WHEREAS, I, Wayne S. Counterman, residing at 2410 Lewis Road, Wellsville, New York 14895, have invented new and useful improvements in:

## BOILER AND REGENERATIVE AIR PREHEATER ARRANGEMENT TO ENHANCE SO<sub>3</sub> CAPTURE

for which I am making application for Letters Patent of the United States, which application was executed by me on the 29<sup>th</sup> day of January, 2003; and

WHEREAS, ALSTOM (Switzerland) Ltd, Brown Boveri Strasse 7, 5401 Baden, Switzerland, is desirous of acquiring the entire right, title and interest in and to said improvements and any Letters Patent which may be granted thereon;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of the sum of One (1) Dollar to me in hand paid and other good and valuable consideration, receipt of which is hereby acknowledged, I, the said Wayne S. Counterman, sell, assign and transfer to ALSTOM (Switzerland) Ltd, its successors and assigns (hereinafter called "Assignee"), the entire right, title and interest in and to said improvements and in and to any Letters Patent which may be obtained thereon in the United States and in all countries foreign thereto, together with said application and all divisional, continuing, substitute, renewal, reissue, and other applications for Letters Patent which have been or may be filed on said improvements in the United States or any other country; the same to be held and enjoyed by the Assignee for its and their sole use and behoof; and I do hereby further assign to the Assignee the right to file applications for patent in all countries on said improvements and all rights of priority resulting from any application for Letters Patent filed on said improvements.

I hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent of the United States on said improvements to the Assignee.

I further covenant and agree that when requested by the Assignee, and without further consideration, but at the cost and expense of the Assignee, I will, for any and all countries, execute and deliver all applications for patent on said improvements, execute all lawful oaths and other papers, supply to the Assignee all facts and evidence known to me relating to said improvements and the history and development thereof, testify in all interferences, suits, and other legal proceedings, and generally do everything rightful which the Assignee shall consider desirable for aiding in securing, maintaining, and enforcing proper patent protection for said improvements and for vesting the title to said improvements in the Assignee.

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REEL: 013871 FRAME: 0805

I further covenant that I have the lawful right to assign the interest in said improvements in the manner and form as herein expressed and that the interests herein conveyed are free from prior assignment, grant, mortgage, license, or other encumbrance whatsoever.

IN WITNESS WHEREOF, I have hereunto set my hand and seal as of and for this day of <u>Jakobay</u>, 2003.

Wayne S. Counterman

State of New York ) ss:
County of Allegany )

On this 29th day of January, 2003, before me personally appeared Wayne S. Counterman, who acknowledged that he signed the within instrument and that he executed the same as his own free act and deed.

Date Commission Expires: 3-27-07

**RECORDED: 03/07/2003** 

**JULIE M. NOLAN** Notary Public, State of New York

Allegany County, No. 01NO5041207 My commission expires March 27, 2007