

03-27-2003

U.S. Department of Commerce  
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PATENT



102401433

3-24-03

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FINANCE SECTION

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New  
☐ Resubmission (Non-Recordation)  
Document ID#   
☐ Correction of PTO Error  
Reel #  Frame #   
☐ Corrective Document  
Reel #  Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement  
☐ License ☐ Change of Name  
☐ Merger ☐ Other   
U.S. Government  
(For Use ONLY by U.S. Government Agencies)  
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached  
Execution Date  
Month Day Year

Name (line 1) Richard M. Lloyd

02/28/2003

Name (line 2)

Second Party

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Raytheon Company

Name (line 2)

Address (line 1) 141 Spring Street

Address (line 2)

Address (line 3) Lexington

Massachusetts

02421

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

03/26/2003 DBYRNE 00000130 10162498

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40.00 DP

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**Correspondent Name and Address**

Area Code and Telephone Number

(781) 890-5678

Name R. Stephen Rosenholm

Address (line 1) Iandiorio & Teska

Address (line 2) 260 Bear Hill Road

Address (line 3) Waltham, MA 02451

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

10/162,498

If this document is being filed together with a new Patent Application, enter the date the patent application was

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT  
PCT PCT PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the

Deposit Account Number:

# 09-0002

Authorization to charge additional fees:

Yes



No



**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

R. Stephen Rosenholm

Name of Person Signing

R.S. Rosenholm

Signature

3/17/03

Date

## ASSIGNMENT

WHEREAS, I, the signatory hereto, have invented an improvement entitled KINETIC ENERGY ROD WARHEAD WITH OPTIMAL PENETRATORS (Raytheon Case No. PD01E066) which is the subject of an application for United States Patent, Serial No. 10/162,498, filed on June 4, 2002 and have executed an application for United States patent based thereon on the date set forth below;

WHEREAS, RAYTHEON COMPANY, of Lexington, Massachusetts 02421, U.S.A., a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, U.S.A., is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, I have agreed to and do hereby sell, assign and transfer unto said corporation the entire right, title and interest, in and throughout the world, in and to said invention, said application and any and all patents (including extensions thereof) of any country, which have been or may be granted on said invention or any part thereof, or on said application or any divisional, continuing, substitute or reissue application based in whole thereon, or based upon said invention, and without limiting the generality of the foregoing, I also do hereby sell, assign and transfer unto said corporation all my rights under the International Convention and all other treaties of like purpose, in respect of said invention and application, and I authorize said corporation to apply for patents on said invention or any part thereof, in all countries, claiming the priority of the filing date of said application in the United States of America under the provisions of said International Convention or any such other treaty;

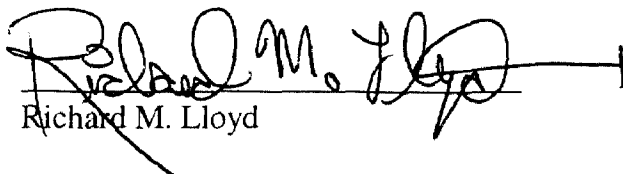
TO BE HELD AND ENJOYED by said corporation, its successors and assigns to the full ends of the respective terms for which said patents or any of them have been or may be granted, as fully and entirely as the same would have been held and enjoyed by me had no sale, assignment or transfer of said right, title or interest been made;

AND I do hereby authorize and request the Commissioner of Patents of the United States of America and the corresponding Office of each country foreign thereto to issue any and all United States and foreign patents which may be granted upon said applications or any of them in the United States and all other countries, or upon said invention or any part thereof, to said corporation, its successors or assigns.

AND I hereby agree for myself and for my heirs, executors and administrators, to execute without additional consideration any further lawful documents and any further assurances, and any applications for patents of any country, that might be deemed necessary by said corporation, its successors or assigns, fully to secure to said corporation its successors or assigns, its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them, all, however, at the expense of said corporation, its successors or assigns.

AND I hereby covenant for myself and my legal representatives, and agree with said corporation, its successors and assigns, that I have granted no right or license to make, use or sell said invention to anyone except said corporation, that prior to the execution of this our right, title and interest in said invention had not been otherwise encumbered, and that I have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, I, Richard M. Lloyd, have hereunto set my hand and affixed my seal  
this 28 day of Feb., 2003.

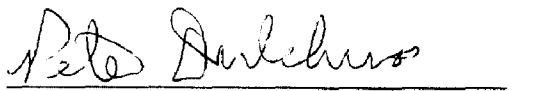
  
Richard M. Lloyd

ACKNOWLEDGMENT

Commonwealth of Massachusetts }  
County of Middlesex }

On this 28th day of February, 2003, before me, a Notary Public within this state,  
personally appeared the above-named Richard M. Lloyd, personally known by me to be the  
identified person named in and who executed the foregoing instrument, and subscribed the same  
in my presence, and acknowledged that he executed the same as his voluntary and free act and  
deed.

[Notary's  
seal here]

  
Notary Public  
My commission expires: 10/24/08

Raytheon Case No. PD01E066