

03-27-2003

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Date: 3/19/2003

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- SEE ADDENDUM -

3.05.03

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: BAYER PHARMACEUTICALS CORPORATION

Internal Address: \_\_\_\_\_

Street Address: 400 MORGAN LANE

City: WEST HAVEN State: CT Zip: 06516

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: - SEE ADDENDUM -

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

PCT/US03/05395

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JEFFREY M. GREENMAN

Internal Address: LAW & PATENTS

BAYER PHARMACEUTICALS CORPORATION

Street Address: 400 MORGAN LANE

City: WEST HAVEN State: CT Zip: 06516

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

13-3372

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alice A. Brewer

Name of Person Signing

Alice A. Brewer

Signature

3/18/03

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:  
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ATTY. DOCKET: 5108N2P1-PCT  
03/26/2003 LUMMELER 00000126 133372 PCT/US03/05395

01 FC:8021 40.00 CH

PATENT  
REEL: 013880 FRAME: 0598

# ADDENDUM

US SERIAL NO. PCT/US03/05395  
ATTORNEY DOCKET NO. 5108N2P1-PCT

- |                                                                                                                         |     |                                      |
|-------------------------------------------------------------------------------------------------------------------------|-----|--------------------------------------|
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 1.  | ZHANG, Chengzhi<br>March 6, 2003     |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 2.  | BURKE, Michael<br>March 5, 2003      |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 3.  | CHEN, Zhi<br>March 5, 2003           |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 4.  | DUMAS, Jacques<br>March 4, 2003      |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 5.  | FAN, Dongping<br>March 12, 2003      |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 6.  | JONES, Benjamin D.<br>March 13, 2003 |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 7.  | LADOUCEUR, Gaetan<br>March 7, 2003   |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 8.  | LEE, Wendy<br>March 05, 2003         |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 9.  | PHILLIPS, Barton<br>March 07, 2003   |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 10. | WILHELM, Scott M.<br>March 14, 2003  |
| <b>Conveying Party</b> ( <i>family name followed by given name</i> )<br><b>Execution Date</b> ( <i>Month/Day/Year</i> ) | 11. | ZHAO, Qian<br>March 4, 2003          |

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of one dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR(S):

<b>Name of Inventor</b> <i>(Last name followed by given name)</i>	<b>Address</b>	<b>Country of Nationality</b>
Zhang, Chengzhi	193 Mulberry Lane Orange, CT 06477	Canada
Burke, Michael	216 Bishop Street Apt. 309 New Haven, CT 06511	Canada
Chen, Zhi	865 Mix Avenue #409 Hamden, CT 06514	China
Dumas, Jacques	98 Farmview Road Bethany, CT 06524	France
Fan, Dongping	114 Fitch Street North Haven, CT 06473	China
Jones, Benjamin D.	37 Washington Avenue Hamden, CT 06518	USA
Ladouceur, Gaetan H.	31 Stone Ridge Lane Branford, CT 06405	Canada
Lee, Wendy	282 Evergreen Avenue Hamden, CT 06518	USA
Phillips, Barton	498 Whitney Avenue New Haven, CT 06516	USA
Wilhelm, Scott M.	255 Midland Drive Orange, CT 06477	USA
Zhao, Qian	93 Hintz Drive Wallingford, CT 06492	USA

hereby sell(s), assign(s) and transfer(s) to

ASSIGNEE: Bayer Pharmaceuticals Corporation, 400 Morgan Lane, West Haven, Connecticut 06516, United States of America, and its successors, assigns and legal representatives,

the entire right, title and interest in and to, including the right to claim priority from, the invention(s) disclosed in International Application No. PCT/US03/05395 filed on 02/21/03.

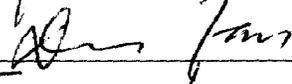
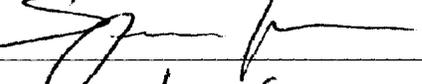
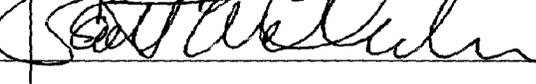
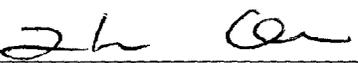
which is entitled: Fused Tricyclic Heterocycles Useful for Treating Hyper-Proliferative Disorders.

and any legal equivalent thereof in a foreign country,

and in and to all Letters Patent and equivalents thereof to be obtained for said invention in all countries by the above application or any continuation, division, renewal, substitute or equivalent thereof, as well as to any reissue, reexamination, patent term restoration, or equivalent thereto.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment, and that ASSIGNEE will, upon ASSIGNEE's request, be provided promptly with all pertinent facts and documents relating to said invention(s) and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR, and ASSIGNOR will testify as to the same in any interference, litigation or other proceeding related thereto, and will promptly execute and deliver to ASSIGNEE or its legal representative(s) any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention(s) and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/we have hereunto respectively set hand and seal on the date(s) shown below:

Typed Name of Inventor	Signature of Inventor	Date
Zhang, Chengzhi		03/06/03
Burke, Michael		03/05/03
Chen, Zhi		03/5/03
Dumas, Jacques		3/4/2003
Fan, Dongping		3-12-2003
Jones, Benjamin D.		3/13/03
Ladouceur, Gaetan		3/7/2003
Lee, Wendy		3/5/03
Phillips, Barton		3/2/03
Wilhelm, Scott M.		3/14/03
Zhao, Qian		3/4/03