

03-28-2003

Case Name: SP00-271

To the Honorable Commissic



IEET

ttached documents or copy thereof.

102402648

3-26-03

(1) Conveying Party(ies):

EVANS ALAN F  
GAVRILOVIC PAUL  
RIFFEL RICHARD**Title:** PUMP POWER MONITOR SYSTEM AND METHOD FOR GAIN CONTROL OF OPTICAL AMPLIFIERAdditional name(s) of conveying party(ies) attached? Yes ☐ No ☒(2) Receiving Party: Corning Incorporated  
Patent Dept.; SP-TI-03-1  
Corning, NY 14831(3) Nature of conveyance: Assignment executed: November 19, 2001; November 20, 2001;  
November 1, 2001

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 09/932522  
Filing date: 8/17/01B. Patent No.(s):  
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on \_\_\_\_\_.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Svetlana Z. Short  
Reg. No.34,432  
Corning Incorporated  
Patent Department  
SP-TI-03-1  
Corning, NY 14831  
Phone No.: 607-974-0412

FILING SECTION

MAR 26 2003

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- ☐ Enclosed (Check No. )  
☐ Included with filing fee. Check No. \_\_\_\_.  
☒ Authorization given by Corning Incorporated to charge deposit account number  
03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Svetlana Z. Short

Date

3/21/03

Total number of pages comprising cover sheet: 403/28/2003 LMJELLER 00000008 033325 09932522  
01 FC:0021 40.00 CHPATENT  
REEL: 013882 FRAME: 0400

**ASSIGNMENT**

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to **PUMP POWER MONITOR SYSTEM AND METHOD FOR GAIN CONTROL OF OPTICAL AMPLIFIER**, such inventions being generally described in an application for Letters Patent of the United States executed on

*DATE* 11/19/01

*DATE* 11/20/01

*DATE* 11/1/01

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

**NO LEGALIZATION – NENHUMA LEGALIZAÇÃO**

**PATENT  
REEL: 013882 FRAME: 0401**

Signed at Corning, NY, this

11/19/01  
Date

Alan F. Evans  
Alan F. Evans

\_\_\_\_\_  
Date

\_\_\_\_\_  
Paul Gavrilovic

11/1/01  
Date

Richard W. Riffel  
Richard W. Riffel

State of New York)

: ss.

County of Steuben)

On the 19<sup>th</sup> day of November 2001, before me personally came **Alan F. Evans** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Charlene D. Hevey  
Notary Public

**CHARLENE HEVEY**  
Notary Public, State Of New York  
No. 01HE6055884  
Qualified In Steuben County  
Commission Expires March 12, 2003

State of New York)

: ss.

County of Steuben)

On the \_\_\_\_\_ day of \_\_\_\_\_ 2001, before me personally came **Paul Gavrilovic** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

\_\_\_\_\_  
Notary Public

State of New York)

: ss.

County of Steuben)

On the 1<sup>st</sup> day of November 2001, before me personally came **Richard W. Riffel** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Terril L. Speciale  
Notary Public

**TERRIL L. SPECIALE**  
Notary Public No. 4959453  
State of New York, Steuben County  
My Commission Expires, November 27, 2001

Signed at Texas, this

\_\_\_\_\_  
Date

\_\_\_\_\_  
Alan F. Evans

20 Nov 2001

\_\_\_\_\_  
Date

Paul Gavrilovic  
Paul Gavrilovic

\_\_\_\_\_  
Date

\_\_\_\_\_  
Richard W. Riffel

State of New York)

: ss.

County of Steuben)

On the \_\_\_\_ day of \_\_\_\_\_ 2001, before me personally came **Alan F. Evans** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

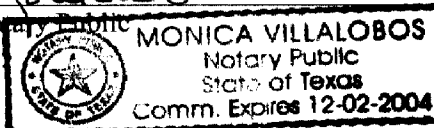
\_\_\_\_\_  
Notary Public

State of Texas)

: ss.

County of Collin )

On the 20<sup>th</sup> day of November 2001, before me personally came **Paul Gavrilovic** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Monica Villalobos  
Notary Public  
MONICA VILLALOBOS  
Notary Public  
State of Texas  
Comm. Expires 12-02-2004

State of New York)

: ss.

County of Steuben)

On the \_\_\_\_ day of \_\_\_\_\_ 2001, before me personally came **Richard W. Riffel** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

\_\_\_\_\_  
Notary Public