

03-28-2003

Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)



U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Tab settings

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Carl A. Reiser, Gennady Resnick and Neil A. Popovich

3-17-03

2. Name and address of receiving party(ies)

Name: UTC Fuel Cells, LLC

Internal Address:

Street Address: 195 Governor's Highway

City: South Windsor State: CT Zip: 06074

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger Security Agreement Change of Name Other

Execution Date: 3/7/03 (NAP) 3/10/03 (CAR & GR)

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10390439

B. Patent No.(s) 3/7/03 (NAP) 3/10/03 (CAR & GR)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert H. Kelly

Internal Address: UTC Fuel Cells

Intellectual Property Department

MS 601-03

Street Address: 195 Governor's Highway

City: Windsor State: CT Zip: 06074

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed Authorized to be charged to deposit account

8. Deposit account number: 50-1307 (Order No. C-2852A)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. P. Williams Name of Person Signing

[Signature] Signature

3/17/03 Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

03/28/2003 BTOM11 00000027 501307 10390439

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PATENT REEL: 013884 FRAME: 0272

ASSIGNMENT

WHEREAS, We, Carl A. Reiser of 25 Orchard Street, Unit #19, Stonington, CT 06378, Gennady Resnick of 56 Kingfisher Road, South Windsor, CT 06074, and Neil A. Popovich of 51 Meadowbrook Road, Apt. 49, Ellington, CT 06029, all USA, have invented certain improvements in INITIATING OPERATION OF AN ELECTRIC VEHICLE OR OTHER LOAD POWERED BY A FUEL CELL AT SUB-FREEZING TEMPERATURE, identified as Attorney Docket No. C-2852A, and described in a patent application executed by the undersigned on the dates set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC FUEL CELLS, LLC of South Windsor, Connecticut, a limited liability company of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

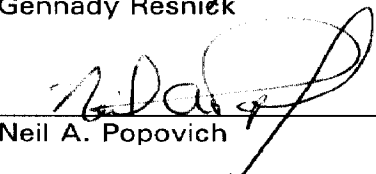
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.)  March 10, 2003
Carl A. Reiser

(L.S.)  March 10, 2003
Gennady Resnick

(L.S.)  MARCH 7, 2003
Neil A. Popovich