

04-02-2003



To the Honorable Commissioner of Patent

102406994

original documents or copy thereof.

1. Name of conveying party(ies):

Xian, Ning

3-31-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Infineon Technologies North America Corp.Street Address: 1730 North First StreetCity: San Jose State: CA ZIP: 95112Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☒ Other CORRECTED RECORDATION COVER SHEET

Execution Date: March 24, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/252,476

B. Patent No.(s)

REEL/FRAME: 013328/0052

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name Elsa KellerInfineon Technologies North America Corp.
c/o Siemens CorporationIntellectual Property DepartmentStreet Address: 170 Wood Avenue SouthCity: Iselin State: NJ ZIP: 088306. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No.

50-1065

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Key Houston

Name of Person Signing

Reg. No. 38,495

Signature

Date

3/25/03

Total number of pages including cover sheet, attachments, and document: 3

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OMB No. 0651-0027 (exp. 5/31/2002)

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To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Xian J. Ning

2. Name and address of receiving party(ies)

Name: Infineon Technologies North America Corp.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other _____

Street Address: 186 Wood Avenue South

City: Iselin State: NJ Zip: 08830

Execution Date: September 18, 2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 18, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Kay Houston

Slater & Matsil, L.L.P.

Internal Address: _____

Street Address: 17950 Preston Rd.

Suite 1000

City: Dallas State: Texas Zip: 75252-5793

6. Total number of applications and patents
involved:

1

7. Total fee (37 CFR 3.41). \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

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Kay Houston, Reg. No. 38,495

Name of Person Signing

Signature

9/23/02

Date

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

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PATENT
REEL: 013889 FRAME: 0849

Received: 9/16/02 10:47PM;

-> Slater & Matsil, L.L.P.; Page 2

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Attorney Docket No. 2002 P 12844 US

ASSIGNMENT

For good and valuable consideration, I, **Xian J. Ning**,
residing at 3872 Marcy Street, Mohegan Lake, NY 10547, a citizen
of the United States;

hereby sell, assign, and transfer to Infineon Technologies
North America Corp., a corporation organized and existing under
the laws of the state of Delaware, having its principal place of
business at, 1730 North First Street, San Jose, CA 95112;

hereinafter "Assignee", its successors, assigns and legal
representatives, the entire right, title and interest in and for
the United States and all foreign countries, in and to any and
all improvements which are disclosed in the application for
United States Letters Patent, which has been executed by the
undersigned concurrently herewithin, and is entitled:

**MIM Capacitor Structures and Fabrication Methods in
Dual-Damascene Structures**

and in and to said application and all divisional, continuing
substitute, renewal, reissue, and all other applications for
Letters Patent which have been or shall be filed in the United
States and all foreign countries on any of said improvements;
and in and to all original and reissued patents which have been
or shall be filed in the United States and all foreign countries
on said improvements;

Agree that Assignee may apply for and receive Letters
Patent for said improvements in its own name, and that, when
requested, without charge to but at the expense of said
Assignee, their successors, assigns, and legal representatives
to carry out in good faith the intent and purpose of this
agreement, the undersigned will execute all divisional,
continuing substitute, renewal, reissue, and all other patent
applications on any and all said improvements; execute all
rightful oaths, assignments, powers of attorney and other

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papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date:

9/18/2002


Xian J. Ning