

04-02-2003



Docket No.: 1832A1

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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102407318

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Anil Kumar; Michael S. Misura; Robert W. Walters;
Barry Van Gemert; Anu Chopra; Clara E. Nelson

3-2003

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

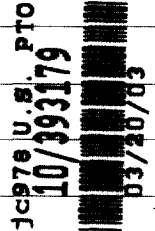
Name: Transitions Optical, Inc.

Internal Address:

Street Address: 9251 Belcher Road

City: Pinellas Park State: FL ZIP: 33782

Additional name(s) & address(es) attached? ☐ Yes ☒ No



3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: March 19, 2003

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 03/20/2003

A. Patent Application No.(s)

10393179

B. Patent No.(s)

04/01/2003 GTOW11 00000137 162025 10393179
01 FC:8021 40.00 CH

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Frank P. Mallak, P.A.

Internal Address: PPG Industries, Inc.

Intellectual Property Department

Street Address: One PPG Place

City: Pittsburgh State: PA ZIP: 15272

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

16-2025

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank P. Mallak

Name of Person Signing

Signature

March 20, 2003

Date

Total number of pages including cover sheet, attachments, and document:

6

ASSIGNMENT

IN CONSIDERATION of good and valuable considerations received from TRANSITIONS OPTICAL, INC., a corporation of Delaware, having a place of business at Pinellas Park, Florida, and intending to be legally bound hereby, we, **Anil Kumar** of Pittsburgh, County of Allegheny, and State of Pennsylvania; **Michael S. Misura** of Murrysville, County of Westmoreland, and State of Pennsylvania; **Robert W. Walters** of Export, County of Westmoreland, and State of Pennsylvania; **Barry Van Gemert** of Murrysville, County of Westmoreland, and State of Pennsylvania; **Anu Chopra** of Pittsburgh, County of Allegheny, and State of Pennsylvania and **Clara E. Nelson** of Pittsburgh, County of Allegheny, and State of Pennsylvania do hereby sell, assign and set over unto TRANSITIONS OPTICAL, INC., the entire right, title and interest in and to our invention entitled

PHOTOCHROMIC ARTICLES THAT ACTIVATE BEHIND ULTRAVIOLET RADIATION BLOCKING TRANSPARENCIES AND METHODS FOR PREPARATION

as set forth in our application for Letters Patent of the United States of America, executed by **Anil Kumar** on the 19th day of March, 2003; **Michael S. Misura** on the 19th day of March, 2003; **Robert W. Walters** on the 19th day of March, 2003; **Barry Van Gemert** on the 19th day of March, 2003; **Anu Chopra** on the 19th day of March, 2003 and by **Clara E. Nelson** on the 19th day of March, 2003 in and for the United States and all foreign countries, the same to be held and enjoyed by TRANSITIONS OPTICAL, INC., its successors, assigns, or legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made by us.

AND WE HEREBY AUTHORIZE TRANSITIONS OPTICAL, INC., to make application for and to receive Letters Patent for said invention in any or all foreign countries in its own name, or in any name, at its election.

AND WE HEREBY COVENANT AND AGREE that we will execute or procure any further necessary assurance of the title to said invention and Letters Patent; and that we will at any time, upon the request and at the expense of TRANSITIONS OPTICAL, INC.,

execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor, in TRANSITIONS OPTICAL, INC., its successors, assigns, or other legal representatives, and that we will, at any time, upon the request and at the expense of TRANSITIONS OPTICAL, INC., testify in any legal proceeding, sign all lawful papers, execute any additional, divisional, continuing or reissue applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid TRANSITIONS OPTICAL, INC., its successors, assigns, or other legal representatives to obtain and enforce patent protection on said invention in all countries, without further compensation, but at the expense of TRANSITIONS OPTICAL, INC., its successors, assigns, or other legal representatives.

AND WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, or resulting from said application, or from any division, reissue, or extension thereof, to TRANSITIONS OPTICAL, INC., as sole assignee.

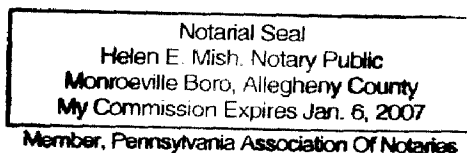
IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals.

Anil Kumar
ANIL KUMAR

STATE OF PENNSYLVANIA)
)
County of Allegheny)
) ss

On this 19th day of March, 2003, appeared before me in person the above ANIL KUMAR and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

Helen E. Mish
Notary Public

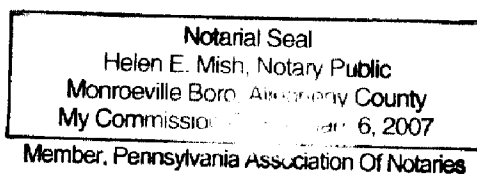


Michael S. Misura
MICHAEL S. MISURA

STATE OF PENNSYLVANIA)
)
County of Westmoreland) ss

On this 19th day of March, 2003, appeared before me in person the above MICHAEL S. MISURA and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

Helen E. Mish
Notary Public

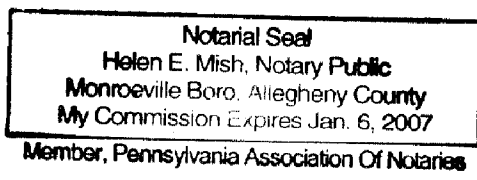


Robert W. Walters
ROBERT W. WALTERS

STATE OF PENNSYLVANIA)
)
County of Westmoreland) ss

On this 19th day of March, 2003, appeared before me in person the above ROBERT W. WALTERS and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

Helen E. Mish
Notary Public



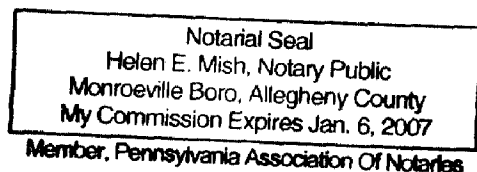

BARRY VAN GEMERT

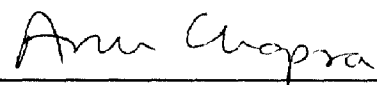
STATE OF PENNSYLVANIA)
)
County of Westmoreland)

ss

On this 19th day of March, 2003, appeared before me in person the above BARRY VAN GEMERT and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.


Notary Public

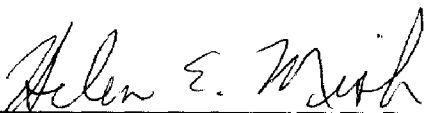


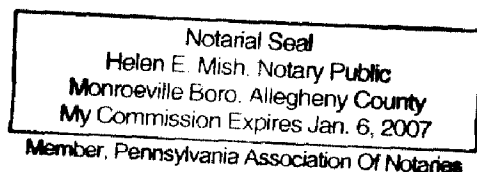

ANU CHOPRA

STATE OF PENNSYLVANIA)
)
County of Allegheny)

ss

On this 19th day of March, 2003, appeared before me in person the above ANU CHOPRA and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.


Notary Public



Clara Nelson
CLARA E. NELSON

STATE OF PENNSYLVANIA)
)
County of Allegheny)

ss

On this 19 day of March, 2003, appeared before me in person the above CLARA E. NELSON and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

Helen E. Mish
Notary Public

