

04-02-2003



Form PTO-1595
(Rev. 10/02)
OMB No. 0651-0027 (exp. 6/30/2005)

REC

102407179

ET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

PATENTS ONLY

Tab settings ⇌ ⇌ ⇌

2003 MAR 31 PM 2:21

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Gerald F. Smith
Loran W. Sutton
Gary F. Krofchalk

3-31-03

2. Name and address of receiving party(ies)

Name: Vortex International, LLC

Internal Address: _____

Additional name of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Street Address: 806 West Pioneer Parkway

City: Peoria State: IL Zip: 61615

Additional Name(s) & address(es) attached? Yes No

Execution Date: May 24, 2001; August 1, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: March 22, 2001

A. Patent Application No.(s)
09/815,938

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Gerald E. Helget

Internal Address: 2400 IDS Center

Street Address: 80 South Eighth Street

City: Minneapolis State: MN Zip: 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

023732

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gerald E. Helget
Name of Person Signing

Signature

March 25, 2003
Date

Total number of pages including cover sheet, attachments, and documents: 4

04/01/2003 DBYRNE 00000062 09815938
01 FC:8021 40.00 DP

Documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT OF APPLICATION

Docket Number (Optional)
PVORT-0001

Whereas, I, Gerald F. Smith of Watertown, Minnesota, hereafter referred to as applicant, have invented certain new and useful improvements in Fluid Filtration Apparatus

for which an application for a United States Patent was filed on March 22, 2001, Application Number 09 / 815,938

for which an application for a United States Patent was executed on _____, and

Whereas, Vortex International, L.L.C. of Peoria, Illinois herein referred to "assignee" whose mailing address is 806 West Pioneer Parkway, Peoria, IL 61615 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 1 day of Aug., 2001,
at _____

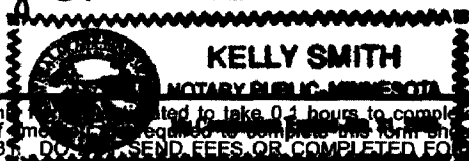
[Signature]

(Signature)

State of MN, SS:
County of Hennepin

Before me personally appeared said Gerald Smith and acknowledged the foregoing instrument to be his free act and deed this 1st day of August, 2001.

Seal



[Signature]
(Notary Public)

Burden Hour Statement: This document is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)
PVORT-0001

Whereas, I, Loran W. Sutton of East Peoria, Illinois, hereafter referred to as applicant, have invented certain new and useful improvements in Fluid Filtration Apparatus

for which an application for a United States Patent was filed on March 22, 2001,
Application Number 09 / 815,938.

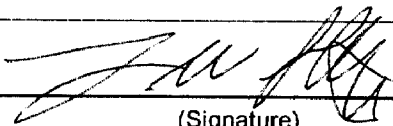
for which an application for a United States Patent was executed on _____, and

Whereas, Vortex International, L.L.C. of Peoria, Illinois herein referred to "assignee" whose mailing address is 806 West Pioneer Parkway, Peoria, IL 61615 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this _____ day of 5/24, 2001,

at _____



(Signature)

State of _____) SS:
County of _____)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20____.

Seal

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)
PVORT-0001

Whereas, I, Gary F. Krofchalk of Rockwall, Texas, hereafter referred to as applicant, have invented certain new and useful improvements in Fluid Filtration Apparatus

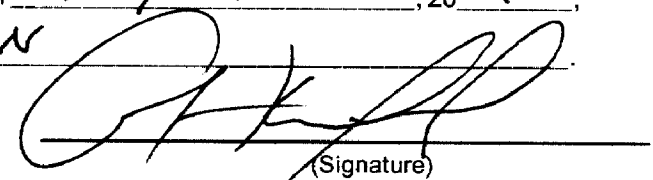
for which an application for a United States Patent was filed on March 22, 2001, Application Number 09 / 815,938.

for which an application for a United States Patent was executed on _____, and

Whereas, Vortex International, L.L.C. of Peoria, Illinois herein referred to "assignee" whose mailing address is 806 West Pioneer Parkway, Peoria, IL 61615 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ten dollars (\$ 10), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 1st day of August, 2001, at Maple Plain, MN


(Signature)

State of MN) SS:
County of DeKalb)

Before me personally appeared said Gerald Smith / Gary Krofchalk and acknowledged the foregoing instrument to be his free act and deed this 1st day of August, 2001.

Seal  KELLY SMITH 
(Notary Public)

Burden Hour Statement: This form is designed to reduce the burden on the public and the Patent and Trademark Office. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. SEND FEES OR DOCUMENTS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.