

04-03-2003

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102408362

To the Honorable Commissioner of Patents and Trademarks and original documents or copy thereof.

1. Name of conveying party(ies):

Hiroshi Yamada

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 3/14/2003

2. Name and address of receiving party(ies):

Name: Guppy Co., Ltd.

Address: 1576-1, Ooaza Toyoda, Suwa-shi

City: Nagano 392-0016

State/Prov.:

Country: JAPAN

ZIP:

Additional name(s) & address(es)

☒ Yes ☐ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/129,769

04/02/2003 10129769 00000112 10129769

01 FC:8051

40.00 DP

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward M. Keating

Registration No. 20,646

Address: Cook, Alex, McFarron, Manzo

Cummings & Mehler, Ltd.

200 W. Adams Street - Suite 2850

City Chicago

State/Prov.: IL

Country: U.S.A.

ZIP: 60606

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1039

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward M. Keating

Name of Person Signing

Signature

March 28, 2003

Date

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Total number of pages including cover sheet, attachments, and

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

2. Name and address of receiving party(ies):

Name: Japan Pet Drags Co., Ltd.Address: 37-9, 4-Chome, Nishishinkoiwa, katsushikaku,

Additional names(s) of conveying party(ies)

☐ Yes ☐ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____City: Tokyo 124-0025

State/Prov.: _____

Country: JAPAN

ZIP: _____

Execution Date: _____

Additional name(s) & address(es)

☐ Yes☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No. _____

Filing date _____

B. Patent No.(s) _____

Additional numbers

☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: _____

Registration No. _____

Address: _____

City: _____ State/Prov.: _____

Country: _____ ZIP: _____

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41):.....\$

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

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9. Statement and signature.

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Name of Person Signing

Edward M. Keating

Signature

March 28, 2003

Date

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231**PATENT****REEL: 013906 FRAME: 0153**

ASSIGNMENT

WHEREAS, I, Hiroshi Yamada of 4674, Ooaza-Nakasu, Suwa-shi, Nagano, Japan, have invented a certain new and useful improvement in:

AQUATIC ANIMAL INCUBATION DEVICE

for which I have made application for Letters Patent of the United States; and

WHEREAS, Guppy Co., Ltd., a corporation organized and existing under and by virtue of the laws of Japan and having its principal place of business at 2576-1, Ooaza-toyoda, Suwa-shi, Nagano 392-0016, Japan and Japan Pet Drags Co., Ltd., a corporation organized and existing under and by virtue of the laws of Japan and having its principal place of business at 37-9, 4-Chome, Nishishinkoiwa katsushika-su, Tokyo 124-0025, Japan, are desirous of jointly acquiring the entire right, title and interest in and to said invention, and in and to the Letters Patent to be obtained therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration to me in hand paid, the receipt of which is hereby acknowledged, I have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said assignees jointly the entire right, title and interest in and to the said invention as fully set forth and described in the specification identified under attorney's Docket No. Saegusa 110, preparatory to obtaining Letters Patent of the United States therefor, together with all future improvements thereon and additions thereto, and United States patent applications which may be made therefor and any and all divisional, continuing and reissue applications therefor, and the patents which may be thereupon issued, and any and all rights to file applications and receive patents in countries foreign to the United States, the same to be held and enjoyed by the said assignees to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made; and I hereby agree to sign all necessary papers in connection

with the prosecution and assignment of each and every of said applications.

AND I do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent to the said assignees of my entire right, title and interest in and to the same for the joint use and behalf of the said assignees, their successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 14 day of

5, 2003.

Hiroshi Yamada
Hiroshi Yamada

Witness:

Shiroki Suen
SHIROAKI SUEGLSH

March 24 2003
Date

Date