

04-04-2003

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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102410256

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Paul Lubock  
Jason H. Safabash  
Martin Shabaz  
John MerrittAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☒ Other record to correct assignor's  
name on an Assignment document previously  
recorded at reel/frame 013318/0610.

Execution Date: August 2, 2002

## 2. Name and address of receiving party(ies)

Name: SenoRx, Inc.

Internal Address: \_\_\_\_\_

Street Address: 11 Columbia, Ste. ACity: Aliso Viejo State: CA Zip: 92656Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/174,401

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward J. Lynch

Internal Address: \_\_\_\_\_

Street Address: Coudert Brothers LLP600 Beach Street, 3rd floorCity: San Francisco State: CA Zip: 941096. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

13-0201

DO NOT USE THIS SPACE

## 9. Signature.

Edward J. Lynch, Reg. No. 24,422  
Name of Person SigningEdward J. Lynch  
Signature3/27/03  
DateTotal number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

04/03/2003 GT0M11

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PATENT  
REEL: 013910 FRAME: 0500



09-26-2002



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Form PTO-1595

Rev. 03/01

OMB No. 0651-0027 (exp. 5/31/2002)

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REC'D

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## 1. Name of conveying party(ies):

Paul Lubock

Jason H. Safabash

Martin Shabaz

John Merrit

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Name: SenoRx, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Street Address: 11 Columbia, Ste. A

City: Aliso Viejo State: CA Zip: 92656

Execution Date: 8/2/02

Additional name(s) & address(es) attached? ☐ Yes ☒ No

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If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

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B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward J. Lynch

Internal Address: \_\_\_\_\_

Street Address: Coudert Brothers LLP

600 Beach Street, 3RD floor

City: San Francisco State: CA Zip: 94109

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41) \$ 40.00



Enclosed



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Edward J. Lynch, Reg. No. 24,422

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Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

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PATENT  
REEL: 013910 FRAME: 0501

## **ASSIGNMENT**

This Assignment is made by, Assignors, Paul Lubock of Laguna Niguel, California; Jason H. Safabash of Aliso Viejo, California; Martin Shabaz, of Lake Forest, California; and John Merritt, of San Clemente, California, to SENORX, INC., a California Corporation, Assignee, of Aliso Viejo, California.

WHEREAS, Assignors have invented a new and useful PLUGGED TIP DELIVERY TUBE FOR MARKER PLACEMENT, for which an application for a United States Letters Patent on June 17, 2002 and assigned Serial No. 10/174,401.

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and,

WHEREAS, Assignee desires to acquire the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assignees will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assignees, to the interest assigned herein to said invention, said

application and any Letters Patent granted for said invention in the United States and throughout the world.

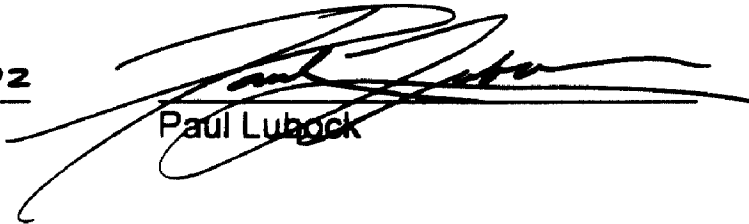
Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the assignment herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.


IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates written hereinbelow.

Assignors

August 8, 2002  
Date

  
Paul Lubock

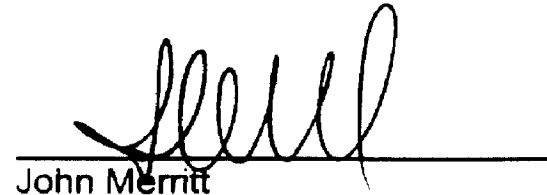
Aug 8, 2002  
Date

  
Jason H. Safabash

Aug 8, 2002  
Date

  
Martin Shabaz

Aug 8, 2002  
Date

  
John Merritt

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of  
*Lubock et al.*

For: **PLUGGED TIP DELIVERY TUBE FOR  
MARKER PLACEMENT**

Serial No.: 10/174,401

Filed: June 17, 2002

Atty. Docket No.: 9619.1280

) Examiner: To be assigned

) Group Art Unit: 3731

) Document ID No.: 102234346A

) Customer No.: 23422

**TRANSMITTAL****CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail with sufficient postage addressed to Assignment Division, Box Assignments, CG-4, 1213 Jefferson Davis Hwy., Ste. 320, U.S. Patent and Trademark Office, Washington, D.C.

20231, on 3/28/03, in San Francisco, CA.

By: 

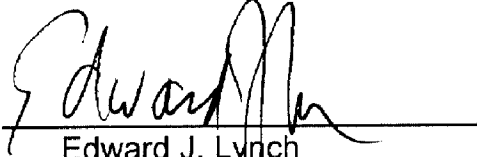
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Hwy., Ste. 320  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

1. Transmitted herewith for recordation in the above-identified patent application are
  - X   Form PTO-1595 - Recordation Form Cover Sheet (to correct assignor's name);
  - X   Copy of executed Assignment Document (2 pages);
  - X   Copy of Notice of Recordation of Document mailed December 30, 2002;
  - X   Copy of USPTO stamped, acknowledged receipt Recordation Cover Sheet (Document ID No. 102234346); and
  - X   Self-stamped return receipt postcard.
2. Calculation of Fees
  - X   Assignment recordation fee, \$40.00.
3. Payment of Fees
  - X   Enclosed is check no. 62808 in the total amount of \$40.00.
  - X   The Commissioner is authorized to charge any fees and to credit any overpayment of fees which may be required under 37 C.F.R. §1.16 or §1.17, to Deposit Account No 13-0201, referencing Atty. Docket No. 09619.1280. A

**duplicate copy of this transmittal is enclosed.**

Respectfully Submitted,

By:   
Edward J. Lynch  
Registration No. 24,422  
Attorney for Applicants

Coudert Brothers LLP  
600 Beach Street, 3<sup>rd</sup> Floor  
San Francisco, CA 94109-1312  
Phone: (415) 409-2900  
Telephone: (415) 409-2900  
Facsimile: (415) 409-7400  
**Direct Dial: (415) 351-5708**

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) Examiner: To be assigned

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By: 

Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Hwy., Ste. 320  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

**COPY**

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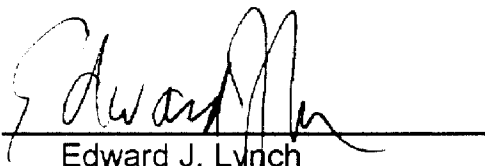
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**duplicate copy of this transmittal is enclosed.**

Respectfully Submitted,

By:

A handwritten signature in black ink, appearing to read "Edward J. Lynch", is written over a horizontal line.

Edward J. Lynch  
Registration No. 24,422  
Attorney for Applicants

Coudert Brothers LLP  
600 Beach Street, 3<sup>rd</sup> Floor  
San Francisco, CA 94109-1312  
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UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

DECEMBER 30, 2002

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Under Secretary of Commerce For Intellectual Property and  
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Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

COUDERT BROTHERS LLP  
EDWARD J. LYNCH  
600 BEACH STREET, 3RD FLOOR  
SAN FRANCISCO, CA 94109



\*102234346A\*

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/19/2002

REEL/FRAME: 013318/0610  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LUBOCK, PAUL

DOC DATE: 08/02/2002

ASSIGNOR:

SAFABASH, JASON H.

DOC DATE: 08/02/2002

ASSIGNOR:

SHABAZ, MARTIN

DOC DATE: 08/02/2002

ASSIGNOR:

MERRIT, JOHN

DOC DATE: 08/02/2002

ASSIGNEE:

SENORX, INC.

11 COLUMBIA, STE. A

ALISO VIEJO, CALIFORNIA 92656

SERIAL NUMBER: 10174401

FILING DATE: 06/17/2002

PATENT NUMBER:

ISSUE DATE:

**PATENT**  
**REEL: 013910 FRAME: 0508**

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
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