| C en            | t Code: JAMES73.001APC  |                          | 0003                   |  | _  | ~//               | 760       | >             |  |
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|                 | Name of conveying party(ies): (If multiple numerically)   | assignors, list          | )465<br>2. Nan         | ne and address of  | f receiving pa                             | arty(ies):        |           |               |  |
|                 | AGRESEARCH LIMITED  |                          | Nar<br>Add             | iress: Leve  | ΓΑ LIMITE!<br>I 4, NZI Hou<br>edin, New Ze | ise, 9 Mor        | ay Place  |               |  |
| 4               | Additional name(s) of conveying party(ies) attached?  ( ) Yes (X) No  |                          |                        | Dunedin, New Zealand  Additional name(s) of receiving party(ies) attached?  ( ) Yes (X) No |  |                   |           |               |  |
| 3 1             | Nature of conveyance:   |                          | 4. App                 | olication number(  | (s) or Patent                              | number(s)         | :         |               |  |
| ,               | (X) Assignment  |                          | ()                     | Application(   | s) filed heres                             | with Evec         | ution Dat | e(c).         |  |
|                 | ) Merger  |                          | ()                     | Аррисации  | s) filed fieres                            | with Exect        | ulion Dai | <i>z</i> (8). |  |
| (               | Security Agreement     Change of Name     Other:  |                          | (X)                    | Patent Appli<br>Filing Date:   |  |                   | 5         |               |  |
| I               | Execution Date: (If multiple assignors, list  |                          | ()                     | Patent No.:<br>Issue Date:   |  |                   |           |               |  |
|                 | in numerical order corresponding to numb<br>vc) October 3, 2002   | ers indicated in         | Addition               | nal numbers attac  | ched? ()                                   | Yes               | (X)       | No            |  |
|                 | Name and address of party to whom corres  | pondence                 | 7. Tota                | al fee (37 CFR 1.  | 21(h)):                                    | \$40              |           |               |  |
| (               | concerning document should be mailed:   |                          | (X) End                | closed   |  |                   |           |               |  |
| I               | Name: Marina L. Gordey<br>KNOBBE, MARTENS, OLSON & E<br>Customer No. 20,995   | BEAR, LLP                | 8. Dep                 | osit account num   | nber: 11-141                               | 0                 |           |               |  |
| \$              | Internal Address: Fourteenth Floor Street Address: 2040 Main Street City: Irvine State: CA ZIP: 92614 Attorney's Docket No.: JAMES73.001APC |                          |                        | narge this accounted, or credit any  |  |                   |           | may           |  |
| 6.              | Total number of applications and patents in   | volved: 1                |                        |  |  |                   |           |               |  |
| <u> </u>        | Statement and signature.  Fo the best of my knowledge and belief, the original document.  Marina L. Gordey Name of Person Signing Signat    |                          | is true and            | d correct, and any   | 04/0.                                      | ,                 | e copy of | fthe          |  |
| ī               | Registration No.  number of pages including cover sheet, att  | achments and document    | t: 9                   |  |  |                   |           |               |  |
| M dl o          | documents to be recorded with required co   | ver sheet information to | :                      |  | -  | ···               |           |               |  |
| FC:8021         | 40.00 /DP   | U.S. Patent and          |                        |  |  |                   |           |               |  |
| ruiove1         |   | 1213 Jefferson Davi      | Gateway-4<br>s Highway | , Suite 320  |  |                   |           |               |  |
| O: DC<br>04 )20 | DC\$\MXG\MXG-2312.DOC:vb  | Arlington,               | VA 2220                | 12   |  |                   |           |               |  |
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|                 | week to a distance of distance of the control of  |                          |                        | REE  | L: 0139                                    |                   |           | 0547          |  |

# ASSIGNMENT OF AN INVENTION

BETWEEN:

AGRESEARCH LIMITED a New Zealand Company of East

Street, Ruakura Campus, Hamilton, New Zealand

AND:

OVITA LIMITED a New Zealand Company of Level 4, NZI

House, 9 Moray Place, Dunedin, New Zealand

## **DESCRIPTION:**

By this Agreement AGRESEARCH LIMITED assign(s) OVITA LIMITED their rights in an invention relating to NOVEL ALLERGEN.

James & Wells

Patent & Trade Mark Attorneys
PO Box 13365
2 Cameron Road
TAURANGA

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PATENT REEL: 013911 FRAME: 0548

## ASSIGNMENT OF AN INVENTION

**BETWEEN** 

AGRESEARCH LIMITED a New Zealand Company of East

Street, Ruakua Campus, Hamilton, New Zealand

("ASSIGNOR")

AND

OVITA LIMITED a New Zealand Company of Level 4, NZI

House, 9 Moray Place, Dunedin, New Zealand

("ASSIGNEE")

## ON THE BASIS THAT-

#### 1.0 **DEFINED TERMS**

- 1.1 The term INVENTION means an invention relating to Novel Allergen.
- 1.2 The term PATENT(S) means any patent application or letters patent relating to the INVENTION including without limitation:

NZ Patent Application No:

504096

Filing Date:

19 April 2000

Title:

Novel Allergen

PCT Application No:

PCT/NZ01/00065 (WO 01/79281)

Filing Date:

19 April 2001

Title:

Novel Allergen (Sheep Lice Bovicola Ovis

Allergen Treatment)

- 1.3 The term INTELLECTUAL PROPERTY RIGHTS shall mean all intellectual property rights associated with the INVENTION including the PATENT RIGHTS, the COPYRIGHT and the DESIGN RIGHTS, know-how and show how.
- The term PATENT RIGHTS shall mean: 1.4

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**PATENT** 

REEL: 013911 FRAME: 0549

- 1.4-1 the right to apply for a patent relating to the INVENTION or equivalent protection in any country of the world and to claim priority under international convention from any such application(s) and the rights conferred by such patent or equivalent protection when granted; and
- 1.4-2 the rights conferred by the PATENT(S) including the right to claim priority under international convention and the right conferred by such PATENT(S) now and/or when granted.
- 1.5 The term DESIGN RIGHTS shall mean the right to apply for a registered design relating to the INVENTION or equivalent protection in any country of the world and to claim priority under international convention from any such applications and the rights conferred by such registered designs or equivalent protection when granted.
- 1.6 The term COPYRIGHT shall mean the property rights which exist in any COPYRIGHT WORK.
- 1.7 The term COPYRIGHT WORK shall refer to:
  - a work of any of the types described in Section 14 of the New Zealand Copyright Act 1994; and/or
  - 1.7-2 a work in which copyright exists; and

which relates to the INVENTION.

1.8 The term TECHNICAL INFORMATION means all inventions, designs, drawings, tests, reports and procedures, models, manuals, formulae, tables of operating conditions and the like and all other knowledge and know-how relating to the foregoing, whether or not capable of being protected by patent or otherwise.

## 2.0 BACKGROUND

2.1 The ASSIGNOR acknowledges that the ASSIGNEE is or should be the PROPRIETOR of the INVENTION and the INTELLECTUAL PROPERTY RIGHTS.

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The ASSIGNOR has agreed to assign the INVENTION and the INTELLECTUAL 2.2 PROPERTY RIGHTS to the ASSIGNEE on the terms described below.

#### IT IS AGREED THAT-

#### 3.0 THE ASSIGNMENT

3.1 The ASSIGNOR hereby assigns the INVENTION, TECHNICAL **INFORMATION** and INTELLECTUAL PROPERTY RIGHTS to the ASSIGNEE.

#### 4.0 **CONSIDERATION**

In consideration for the assignment detailed in clause 3.1, the ASSIGNEE now 4.1 pays to the ASSIGNOR the sum of NZ\$ 1.00 , the receipt and sufficiency of which is acknowledged by the ASSIGNOR.

#### 5.0 **ASSIGNOR'S OBLIGATIONS**

- The ASSIGNOR undertakes to execute any documents and authorisations, and 5.1 depose to or swear any declaration or oath as may be requested by the ASSIGNEE for vesting absolutely all right, title and interest to the INTELLECTUAL PROPERTY RIGHTS in favour of the ASSIGNEE, and for conferring on the ASSIGNEE the right to take action against any third party who copies the INVENTION or infringes the INTELLECTUAL PROPERTY RIGHTS.
- The ASSIGNOR, while in the employ of the ASSIGNEE and/or under a 5.2 commission for money or money's worth from the ASSIGNEE, shall forthwith disclose to the ASSIGNEE all improvements in, modifications of or additions to the INVENTION devised or created by the ASSIGNOR.
  - 5.2-1 The ASSIGNOR shall assign to the ASSIGNEE upon request all INTELLECTUAL PROPERTY RIGHTS relating to all improvements in, modifications of or additions to the DESIGN devised, created while in the employ and/or under a commission for money or monies worth from the ASSIGNEE.

- 5.3 At the request of the ASSIGNEE, the ASSIGNOR shall at ASSIGNEE'S expense execute all documents and do all acts necessary or convenient to enable ASSIGNEE to:
  - 5.3-1 make, prosecute or register in ASSIGNEE'S name an application for a patent, registered design, registered trade mark, plant variety rights or other intellectual property protection in respect of any of the INTELLECTUAL PROPERTY RIGHTS;
  - 5.3-2 defend opposition proceedings in respect of any of the INTELLECTUAL PROPERTY RIGHTS against a third party or conduct opposition proceedings against a third party in respect of any application for intellectual property protection that may adversely affect ASSIGNEE'S ability to exploit the INTELLECTUAL PROPERTY RIGHTS;
  - 5.3-3 defend proceedings in any court, tribunal or other forum which relate to the validity of any of protection obtained in respect of the INTELLECTUAL PROPERTY RIGHTS;
  - 5.3-4 enforce the INTELLECTUAL PROPERTY RIGHTS including obtaining all such remedies as may be available for infringement of the INTELLECTUAL PROPERTY RIGHTS.
- 5.5 The ASSIGNOR shall, at the request of the ASSIGNEE, and to the extent outstanding, furnish the ASSIGNEE with full details of and relating to the INVENTION, and the INTELLECTUAL PROPERTY RIGHTS (including the circumstances of invention, creation and/or design of same) and where possible all original versions of the INVENTION and the COPYRIGHT WORKS.
- 5.6 The ASSIGNOR agrees to treat as confidential all information relating to the INVENTION and/or the INTELLECTUAL PROPERTY RIGHTS and shall not use, disclose or publish same without the express prior written consent of the ASSIGNEE. Such obligations shall not extend to information which already is in or which enters the public domain through no fault of the ASSIGNOR. The ASSIGNOR agrees to seek prior clearance from the ASSIGNEE in any case of uncertainty.

#### 6.0 ASSIGNOR'S WARRANTIES

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- **6.1** The ASSIGNOR warrants:
  - 6.1-1 The ASSIGNOR has absolute title to the INVENTION and INTELLECTUAL PROPERTY RIGHTS; and
  - 6.1-2 There are no encumbrances or other matters affecting the ASSIGNOR'S capacity to assign the INVENTION and/or the INTELLECTUAL PROPERTY RIGHTS to the ASSIGNEE free of any encumbrances or interests whatsoever.

## 7.0 GOVERNING LAW

Signed this

7.1 This Assignment shall be governed by and construed in all respects in accordance with the laws of New Zealand.

| 20                      | 002 for      | )   |           |
|-------------------------|--------------|-----|-----------|
| and on behalf of        |              | )   |           |
| AGRESEARCH L            | IMITED       | )   |           |
| by its duly authorise   | ed officers* | )   |           |
|                         |              |     |           |
| IN KI                   | B000-1       |     |           |
| Name                    | 1 (1         |     | Name      |
| Signature               | (Soolal      |     | Signature |
| Ciencial Ma<br>Position | magar =      | IT? | Position  |
|                         |              |     |           |

day of )

Witnessed by:

Angela Hound

Ayela And
Signature

Secretary Occupation Hamitton, New Zealand

Place

| Signed this 3nd day of )                   |              |
|--|--------------|
| October 2002 for )                         |              |
| and on behalf of )                         |              |
| OVITA LIMITED )                            |              |
| by its duly authorised officers* )         |              |
| Name                                       | Name         |
| PERER PRANCIS PENNESSY Signature  Director | Signature    |
| Position                                   | Position     |
| Witnessed by:  Name  Signature             | Camp<br>Lugo |

- \* This Agreement must be signed by:
  - a) Two or more directors of the company; or
  - b) A single director of the company and a witness; or

Occupation

- c) (If the company's constitution allows it), any other person and a witness; or
- d) One or more persons with a power of attorney to act on the company's behalf.