



REC

04-08-2003



102412415

*Resulm*

Our Ref.: 3557-15

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Bodo RAK                      Elke DUWENIG *4-3-03*  
Ralf RESKI                     Annette FREUND  
Susanne ZIMMERMANN  
Marie-Christine GUITTON

2. Name and address of receiving party(ies):  
Name: BASF Plant Science GmbH  
Internal Address:  
Street Address:  
  
City: Ludwigshafen  
State/Country: Germany  
Zip: 67056  
  
Additional name/s & address/es attached?  Yes  No

Additional name/s of conveying party/ies attached?

3. Nature of conveyance:  
 Assignment                       Merger  
 Security Assignment             Change of Name  
 Other Copy of Notice of Non-Recordation dated  
March 28, 2003 (Document No. 102294819) -  
further fee not included as previously paid  
however Office is authorized below to charge  
indicated Deposit Account if required

Execution Date: July 16, 16, 16, June 11, 11, 2002

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s).  
(1) 10/130,428  
(2) \_\_\_\_\_  
(3) \_\_\_\_\_

B. Patent No(s).  
(1) \_\_\_\_\_  
(2) \_\_\_\_\_  
(3) \_\_\_\_\_

Additional numbers attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: B. J. Sadoff  
Internal Address: \_\_\_\_\_  
Street Address: Nixon & Vanderhye P.C.  
1100 North Glebe Road  
8<sup>th</sup> Floor  
City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1  
7. Total fee (37 CFR 3.41) \$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account #14-1140  
**Fee Previously Paid November 20, 2002**  
8. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our **Account No. 14-1140**.

**DO NOT USE THIS SPACE**

9. Statements and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

B. J. Sadoff  
Name of Person Signing  
Reg. No. 36,663

*B. J. Sadoff*  
Signature

April 3, 2003  
Date

Total number of pages including original cover sheet, attachments, and document: [4]

BJS:Imy

RECORDATION FORM COVER SHEET

**PATENTS ONLY**

Our Ref: 3557-15

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

1. Name of conveying party(ies):	
2. Name: Albert-Ludwigs-Universität Freiburg Street Address: Fahnenbergplatz City: Freiburg State: Germany Zip: 79085	
4. Application number(s) or patent number(s):	
A. Patent Application No(s).	B. Patent No(s).
(4)	(4)
(5)	(5)
(6)	(6)
(7)	(7)
(8)	(8)
(9)	(9)



RECOI



102294819  
PATENTS ONLY

11-27-2002

Our Ref.: pp-15

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):          Bodo RAK                      Elke DUWENIG          Ralf RESKI                    Annette FREUND          Susanne ZIMMERMANN          Marie-Christine GUITTON      <i>11-20-02</i></p> <p>Additional name/s of conveying party/ies attached? <input type="checkbox"/></p> <p>3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment                      <input type="checkbox"/> Merger  <input type="checkbox"/> Security Assignment           <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>July 16, 16, 16, June 11, 11, 2002</u></p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: BASF Plant Science GmbH          Internal Address:          Street Address:</p> <p>City: Ludwigshafen          State/Country: Germany          Zip: 67056</p> <p>Additional name/s &amp; address/es attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
--	--

4. Application number(s) or patent number(s):  
 If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

<p>A. Patent Application No(s).          (1) 10/130,428          (2) _____          (3) _____</p>	<p>B. Patent No(s).          (1)          (2)          (3)</p> <p>Additional numbers attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
---	---

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>B. J. Sadoff</u></p> <p>Internal Address: _____</p> <p>Street Address: <u>Nixon &amp; Vanderhye P.C.</u>  <u>1100 North Glebe Road</u>  <u>8<sup>th</sup> Floor</u></p> <p>City: <u>Arlington</u> State: <u>VA</u> Zip: <u>22201</u></p>	<p>6. Total number of applications &amp; patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) \$ <u>40.00</u>  <input checked="" type="checkbox"/> Enclosed  <input type="checkbox"/> Authorized to be charged to deposit account #14-1140</p> <p>8. The Commissioner is hereby authorized to charge any <u>deficiency</u>, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our <b>Account No. 14-1140.</b></p>
---	--

DO NOT USE THIS SPACE

9. Statements and signature.  
 To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p><u>B. J. Sadoff</u>          Name of Person Signing          Reg. No. 36,663</p>	<p>_____          Signature</p>	<p><u>November 20, 2002</u>          Date</p>
---	-------------------------------------	---

Total number of pages including **original** cover sheet, attachments, and document: [4]

BJS:lmy

11/26/2002 DBYRNE 00000108 10130428

01 FC:8021 40.00 DP

RECORDATION FORM COVER SHEET

**PATENTS ONLY**

Our Ref: pp-15

Commissioner of Patents and Trademarks  
Box Assignment, Washington, D.C. 20231

1. Name of conveying party(ies):	
2. Name: Albert-Ludwigs-Universität Freiburg Street Address: Fahnenbergplatz City: Freiburg State: Germany Zip: 79085	
4. Application number(s) or patent number(s):	
A. Patent Application No(s).	B. Patent No(s).
(4)	(4)
(5)	(5)
(6)	(6)
(7)	(7)
(8)	(8)
(9)	(9)

## Assignment of Application

0093/000008

### WHEREAS, I (WE)

*Bodo Rak, Münchhofstr. 4 B, 79106 Freiburg, Germany*  
*Citizen of Germany*

*Ralf Reski, Am Osterbach 26, 79254 Oberried, Germany*  
*Citizen of Germany*

*Susanne Zimmermann, Henriettenweg 3, 72072 Tübingen, Germany*  
*Citizen of Germany*

*Marie-Christine Guitton, Rue du Binsbourg 5, 68500 Jungholtz, France*  
*Citizen of France*

*Elke Duwenig, Schanzstraße 46, 67063 Ludwigshafen, Germany*  
*Citizen of Germany*

*Annette Freund, Römerweg 17c, 67117 Limburgerhof, Germany*  
*Citizen of Germany*

respectively, have invented certain new and useful improvements in

for which an application for Letters Patent was executed on

(Application No. 10/130,428, filed May 17, 2002), and

WHEREAS, *BASF Plant Science GmbH (hereinafter referred to as "ASSIGNEE")*, having a place of business at 67056 Ludwigshafen, Germany, and *Albert-Ludwigs-Universität Freiburg (hereinafter referred to as "ASSIGNEE")*, having a place of business at *Fahnenbergplatz, 79085 Freiburg, Germany* are desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of Five Dollars (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I (WE) hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

FURTHER, I (WE) agree that I (WE) will communicate to said ASSIGNEE, or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

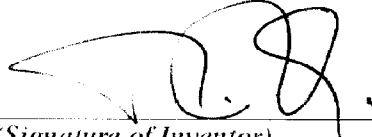
The undersigned hereby grant(s) the firm of **Nixon & Vanderhye P.C.**, Attorneys at Law, 1100 North Glebe Road, Arlington, Virginia 22201-4714 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: July 16, 2002



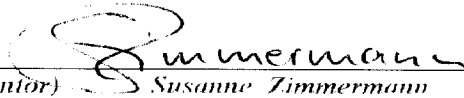
(Signature of Inventor) Bodo Rak

Date: July 16, 2002



(Signature of Inventor) Ralf Reski

Date: July 16, 2002



(Signature of Inventor) Susanne Zimmermann

Date: July 16, 2002



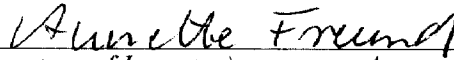
(Signature of Inventor) Marie-Christine Guitton

Date: June 11, 2002



(Signature of Inventor) Elke Duwenig

Date: June 11, 2002



(Signature of Inventor) Annette Freund