

04-09-2003

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ▼

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

102413152 ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Calient Optical Components, Inc.

4-7-03

2. Name and address of receiving party(ies)

Name: Kionix, Inc.

Internal Address: \_\_\_\_\_

Street Address: 36 Thornwood Drive

City: Ithaca State: NY Zip: 14850

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Execution Date: 03/18/02

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/745,652

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brown &amp; Michaels PC

Internal Address: \_\_\_\_\_

Street Address: 400 M&amp;T Bank Building

118 North Tioga Street

City: Ithaca State: NY Zip: 14850

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

02-0910

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9. Signature.

Michael F. Brown

Name of Person Signing

Signature

4-2-03

Date

Total number of pages including cover sheet, attachments, and documents: ☐Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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PATENT  
REEL: 013921 FRAME: 0347

**MEMORANDUM REGARDING ASSIGNMENT OF INVENTION**  
**U.S. Patent Application Number 09/745,652**

This Memorandum Regarding Assignment of Invention is hereby submitted to the U.S. Patent and Trademark Office in order to record the transfer by a separate Patent Agreement as of March 18, 2002 of all right, title and interest in the inventions taught and claimed in U.S. Patent Application Number 09/745,652 entitled "Integrated Monolithic Microfabricated Electrospray and Liquid Chromatography System and Method " (the "Application"). Relevant background of that assignment is as follows:

- An assignment was previously filed in the USPTO, recorded at Reel 011462 / Frame 0592, assigning the Application from the inventors, James E. Moon, Timothy J. Davis and Gregory J. Galvin, to "Kionix, Inc."
- As of December 29, 2000, the "Kionix, Inc." that was named in the assignment merged with and into Calient Networks, Inc., a Delaware corporation with offices at 5853 Rue Ferrari, San Jose, CA 95138. The "Kionix, Inc." in the assignment ceased to exist as a result of that merger, and the Application became owned by Calient Networks, Inc.
- Calient Networks, Inc. formed a wholly-owned subsidiary, Calient Optical Components, Inc., a Delaware corporation with offices at 22 Thornwood Drive, Ithaca, NY 14850 ("ASSIGNOR"), and transferred its rights in the Application to ASSIGNOR pursuant to a Contribution and Assumption Agreement between Calient Networks, Inc. and ASSIGNOR effective December 29, 2000.
- ASSIGNOR transferred its rights in the Application to Kionix, Inc., a Delaware corporation with its principal place of business at 36 Thornwood Drive, Ithaca, NY 14850 ("ASSIGNEE") by Patent Agreement between those parties effective March 18, 2002. ASSIGNEE is a different corporation than the initial assignee of the Application, but has the same name (acquired after "Kionix, Inc." ceased to exist as a result of the above-referenced merger).
- There have been no further assignments of the Application by ASSIGNEE.

As a result of the foregoing, ASSIGNEE "Kionix, Inc." should be designated as the proper assignee of the Application on the records of the U.S. Patent and Trademark Office.

**Calient Optical Components, Inc.**

**Kionix, Inc.**

By: WR Zarella  
Name: William Zarella

Title: VP + CFO

By: Michael D. Pinnisi  
Name: Michael D. Pinnisi

Title: Secretary + General Counsel

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/745,652

Filing Date 12/21/00

First Named Inventor Moon et al.

Group Art Unit

Examiner Name

Total Number of Pages in This Submission 4

Attorney Docket Number KNX-5DV2

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form☒ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☒ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Brown &amp; Michaels, PC

Signature

Date

4-2-03

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Apr. 2, 2003

Typed or printed name

Justin Wood

Signature

Date

4/2/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.