

04-09-2003

Case A-22113/US/A/CGM 496



To the Honorable

102413071

Use record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 4-7-03
1. Dirk Simon
 2. Rudolf Pfaendner
 3. Andreas Konrad Steinert

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

No

2. Name and address of receiving party(ies)

Name: Ciba Specialty Chemicals Corp.

Internal Address: P.O. Box 2005

Street Address: 540 White Plains Road

City: Tarrytown State: NY ZIP: 10591

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 1. & 2. 8/24/2000 & 3. 8/29/2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or Patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/694,861

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JoAnn Villamizar
Ciba Specialty Chemicals Corp.
Internal Address: Patent Department
P.O. Box 2005
Street Address: 540 White Plains Road
City: Tarrytown State: NY ZIP: 10591

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41).....\$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

03-1935

04/08/2003 LMUELLER 00000152 031935 09694861

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tyler A. Stevenson

Name of Person Signing
Reg. No. 46,388

Signature

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to: 3
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 013921 FRAME: 0376

ASSIGNMENT

I / We **SIMON Dirk**, Birkenstrasse 13, 67112 Mutterstadt, Germany, citizen of Germany

PFAENDNER Rudolf, Sackgasse 3, 64668 Rimbach, Germany, citizen of Germany


STEINERT Andreas Konrad, Lindberghstrasse 49, 40764 Langenfeld, Germany, citizen of Germany

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

Additive mixture for improving the mechanical properties of polymers

invented by me/us and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Ciba Specialty Chemicals Corporation**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Ciba Specialty Chemicals Corporation**.


Dirk SIMON

Aug. 24, 2000
Date


Rudolf PFAENDNER

August 24, 2000
Date


Andreas Konrad STEINERT

August 29, 2000
Date