

04-10-2003

1-31-92

Patent and Trademark Office

Docket 3220

4-7-03



102415355

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Brian B. Filippini, John J. Mullay, Deborah A. Langer,
Jeffrey M. Carey and Robert W. Dix

Additional name(s) of conveying

party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: THE LUBRIZOL CORPORATIONStreet Address: 29400 LAKELAND BOULEVARDCity: WICKLIFFE State: OHIO ZIP: 44092-2298

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 17, 2003

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. if applicable: 10/383188 filed 03/06/03

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: THE LUBRIZOL CORPORATIONInternal Address: PATENT ADMINISTRATOR/022BStreet Address: 29400 LAKELAND BOULEVARDCity: WICKLIFFE State: OHIO ZIP: 44092-2298

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2275

04/09/2003 TDI A21 00000059 122275 10383188

01 FD-8021 40.00 CH

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Teresan W. Gilbert, Reg. No. 31,360

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 3

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, to full satisfaction, we

**Brian B. Filippini, John J. Mullay, Deborah A. Langer,
Jeffrey M. Carey and Robert W. Dix**

hereby sell and assign to THE LUBRIZOL CORPORATION, an Ohio corporation having its principal place of business at 29400 Lakeland Boulevard, Wickliffe, Ohio, 44092-2298, our portion of the entire right, title and interest, in the United States and all territories thereof and in all foreign countries, in and to all the inventions in

Water-in-Oil Emulsion

invented by us and set forth in the application for United States Letters Patent executed by us of even date herewith; and the entire right, title and interest in and to said application and all original and reissue patents granted for any of said inventions, and all divisions and continuations of said application and patents granted thereon, and all applications for any of said inventions filed in countries foreign to the United States and patents granted thereon, including the subject matter of any and all claims which may be obtained in all such patents; and the right to apply for and obtain patents in countries foreign to the United States including the right to claim for any foreign application the benefits of the International Convention including the right to claim priority in accordance with such Convention, and any other benefits available through the laws of these foreign countries with respect to patent applications as fully and entirely as we could claim such benefits; and the right to file applications for patents and otherwise to apply for and obtain any patents for said inventions in its own name in any country.

And we hereby covenant that we have full right to convey our portion of the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith; and we agree that we will communicate to THE LUBRIZOL CORPORATION all facts known to us respecting said invention whenever requested by it, and will execute all instruments or documents requested by it for the filing and prosecution of applications for Letters Patent of the United States of America and all foreign countries on said invention, or in connection with litigation regarding said invention, or for the purpose of protecting title to said Invention or Letters Patent therefore in the United States or foreign countries and will testify in any legal proceedings relating thereto, at the request of THE LUBRIZOL CORPORATION, all without further or other compensation than that above set forth, but otherwise at the cost of THE LUBRIZOL CORPORATION.

And we further covenant and agree that if and when THE LUBRIZOL CORPORATION desires to file a disclaimer relating to said inventions in the United States or any foreign country, we will, upon its request, sign and deliver all papers requisite for the filing of such disclaimer.

And we authorize and request the Commissioner of Patents and Trademarks of the United States to issue the said Letters Patent to THE LUBRIZOL CORPORATION, as assignee and owner of the said entire interest.

This Assignment shall enure to the benefit of the successors and assigns of THE LUBRIZOL CORPORATION.

3/17/03
Date

First Inventor Brian B. Filippini
Brian B. Filippini

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3/17/03
Date

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March 17, 2003
Date

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3/17/03
Date

Fifth Inventor Robert W. Dix
Robert W. Dix

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Mentor, Ohio 44060

STATE OF OHIO }
COUNTY OF LAKE } SS:

Before me personally appeared the above-named Brian B. Filippini, John J. Mully, Deborah A. Langer, Jeffrey M. Carey and Robert W. Dix and acknowledged the execution of the foregoing instrument as their free act and deed.

Date: 3-17-03

Nancy S. Dedek
Notary Public

NANCY S. DEDEK
Notary Public, STATE OF OHIO
My Commission Expires December 11, 2005
(Recorded in Lake County)

RECORDED: 04/07/2003

PATENT
REEL: 013929 FRAME: 0144