



102417370

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

Name of conveying party(ies):

- 1) Andreas Rusch
- 2) Steffen Rothenhäusser
- 3) Alexander Truby
- 4) Ulrich Zimmermann

4-9-03

(Additional name(s) of conveying party(ies) attached) Yes ☒ No

2. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: 01/01/2001 for 1, 12/08/2000 for 2,
11/09/2000 for 3, 11/08/2000 for 4.

1. Name and address of receiving party(ies):

Name: Infineon Technologies AGInternal Address: PatentabteilungStreet Address: Ridlerstrasse 55City: MuenchenState: GermanyZIP: 80339Additional name(s) & address(es) attached ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/685,361

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lerner and Greenberg

Internal Address:

Street Address: P.O. Box 2480City: HollywoodState: FLZIP: 33022

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)\$ 40.00

- ☒ Enclosed
- ☐ Authorized to be charged to deposit account

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DENISE LETTAU

Name of Person Signing

Signature

April 4, 2003
~~November 21, 2002~~

Date

Total number of pages including cover sheet, attachments, and document:

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12-02-2002



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PATENTS ONLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

Name of conveying party(ies):

1) Andreas Rusch

~~4) Yoichi Otani~~

2) Steffen Rothenhäusser 5) Ulrich Zimmermann

3) Alexander Truby

(Additional name(s) of conveying party(ies) attached) Yes ☒ No

2. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other**Execution Date:** 01/01/2001 for 1, 12/08/2000 for 2, 11/09/2000 for 3, 11/08/2000 for 5. #4 refuses to sign

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DENISE LETTAL

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November 21, 2002

Date

Total number of pages including cover sheet, attachments, and document:

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Commissioner of Patents & Trademarks, Box Assignment

Washington, D.C. 20231

PATENT
REEL: 013935 FRAME: 0927

ASSIGNMENT

WHEREAS WE, ANDREAS RUSCH, STEFFEN ROTHENHÄUSSER, ALEXANDER TRUBY, YOICHI OTANI and ULRICH ZIMMERMANN, residing respectively at DRESDEN, DRESDEN, DRESDEN, WEIXDORF, Germany, and MECHANICSVILLE, VIRGINIA, USA, have invented certain new and useful improvements in a

SEMICONDUCTOR MEMORY AND METHOD FOR FABRICATING IT


for which an application for Letters Patent of the United States of America was executed on the date of execution of this assignment;

WHEREAS, INFINEON TECHNOLOGIES AG, a German corporation doing business at München, Germany, is desirous of acquiring the entire interest in said invention, and in any and all Letters Patent of the United States that may be obtained therefor;

NOW, THEREFORE, be it known that for and in consideration of our employment by the said corporation and other valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said corporation, its legal representatives, successors and assigns, the full and exclusive right to the said invention as fully set forth and described in the above-mentioned application, and to said application and any and all divisions and continuations thereof, and any and all Letters Patent of the United States which may be granted therefor, and any and all reissues of said Letters Patent, the same to be held and enjoyed by the said corporation, its legal representatives, successors and assigns, to the full end of the term for which said Letters Patent may be granted or may be reissued or extended, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

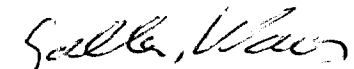
AND WE hereby authorize the Commissioner of Patents to issue any and all Letters Patent of the United States on said invention or resulting from said Application and from any and all divisions and continuations thereof to the said corporation as the assignee of the entire right, title and interest in and to the same.

In testimony whereof, we have hereunto set our hands and seal.


WITNESS

 (LS)
ANDREAS RUSCH

Rusch
00. JAN. 2001
DATE


WITNESS

 (LS)
STEFFEN ROTHENHÄUSSER

8.12.00
DATE

A. Widifasan
WITNESS

WITNESS

WITNESS

Alexander Truby (LS)
ALEXANDER TRUBY

Inventor refused to
sign (LS)
YOICHI OIANI

ULRICH ZIMMERMANN (LS)

9.11.00
DATE

DATE

DATE

WITNESS

_____(LS)
ALEXANDER TRUBY

DATE

WITNESS

_____(LS)
YOICHI OTANI

DATE



WITNESS


_____(LS)
ULRICH ZIMMERMANN

11/8/00

DATE



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Paper No. 17

Lerner And Greenberg, P.A.
P O Box 2480
Hollywood, FL 33022-2480

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DEC 04 2002

In re Application of
Rusch, et al.
Application No. 09/685,361
Filed: October 10, 2000
Atty. Dkt. No.: GR 98 P 1507
Title: METHOD OF MAKING A
SEMICONDUCTOR DEVICE

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OFFICE OF PETITIONS

DECISION GRANTING STATUS
UNDER 37 CFR 1.47(a)

This decision is in response to the petition under 37 CFR 1.47(a), filed May 9, 2001.


Petitioner has shown that inventor Yoichi Otani has refused to join in the filing of the above-identified application after having been presented with the application papers.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

After this decision is mailed, the above-identified application will be returned to the Office of Initial Patent Examination for further processing.

Telephone inquiries related to this decision may be directed to the undersigned at (703) 305-0310.


Alesia M. Brown
Petitions Attorney
Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy

RECEIVED DEC 11 2002