

04-11-2003

Docket No. MBHB 02-211-C

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102416504

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Joan Ebersole
Assaf Pazner
3.31.03
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: Trading Technologies International, Inc.
Address: 222 S. Riverside Plaza, Suite 1100

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____
Execution Date: 3/31/03

City: Chicago State: Illinois
Country: U.S.A. Zip: 60606
Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: March 31, 2003
A. Patent Application No.(s) Filing Date
B. Patent No.(s)
Additional numbers attached? Yes No

10403879

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Matthew J. Sampson
Registration No.: 35,999
Company Name: McDonnell, Boehnen, Hulbert & Berghoff
Street Address: 300 South Wacker Drive - 32nd Floor
City: Chicago State: Illinois
Country: U.S.A. ZIP: 60606

6. Total number of applications and patents involved: 1
7. Total Fee (37 CFR 3.41).....\$40.00
 Enclosed
 Authorized to be charged to deposit account
8. Deposit account number:
13-2490

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Matthew J. Sampson
Printed Name of Person Signing

Signature
Date: March 31, 2003

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231

04/07/2003 MBIZLINES 00000045 10403879

02 FC:8021

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PATENT

REEL: 013938 FRAME: 0868

U.S. PTO
10/403879
03/31/03

ASSIGNMENT

Case No.: 02-211-C

Serial No.:

Inventors: Harris C. Brumfield, Joan Ebersole, Assaf Pazner

Date of Execution of Application:

Filing Date: March 31, 2003

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

Trading Technologies International, Inc., a corporation of the State of Delaware

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**A SYSTEM AND METHOD FOR AUTOMATIC SCALPING A TRADABLE
OBJECT IN AN ELECTRONIC TRADING ENVIRONMENT**

and identified as:

Case No. 02-211-C

in the offices of MCDONNELL BOEHNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this ___ day of _____, _____.

Harris C. Brumfield

State of

County of

The foregoing instrument was acknowledged before me this _____ day of _____, _____ by

NOTARY PUBLIC

WITNESS my hand and seal this 28th day of March, 2003.

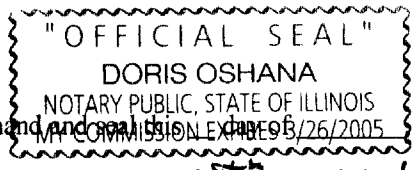
Joan Ebersole
Joan Ebersole

State of Illinois

County of COOK

The foregoing instrument was acknowledged before me this 28th day of March, 2003 by Joan Ebersole

Doris Oshana
NOTARY PUBLIC



WITNESS my hand and seal this 28th day of March, 2003.

Assaf Pazner
Assaf Pazner

State of Illinois

County of Cook

The foregoing instrument was acknowledged before me this 28th day of March, 2003 by ASSAF Pazner

Doris Oshana
NOTARY PUBLIC

