

04-15-2003

1-31 2

To the Honorable Commissioner of Patents and Trade



documents or copy thereof.

1. Name of conveying party(ies):

102419886

Li J

Yinglong LIN

Name: CASIX INC.

Address: FUZHOU FUJIAN, P.O. BOX 1103

22 HUTANG ROAD

FUXING INVESTMENT DISTRICT, 350014 CHINA

Additional name(s) of conveying party(ies) attached ( ) Yes (X) No

3. Nature of Conveyance:

(X) Assignment

( ) Merger

( ) Security Agreement

( ) Change of Name

( ) Other

Execution Date: 9/11/02

Additional name(s) and address(s) attached? ( ) Yes (X) No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent application No.(s)

B. Patent No.(s)

10/244,631

Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles E. Wands, Esq.

Internal Address: Allen, Dyer,

Doppelt, Milbrath &amp; Gilchrist, P.A.

Street Address: 1901 S. Harbor City Blvd.

Suite 507

City: Melbourne

State: Florida Zip: 32901

6. Total number of applications and patents involved: [ 1 ]

7. Total fee (37 CFR 3.41).....\$ 40.00

[ ] Enclosed

[X] Authorized to be charged to Deposit account.

8. Deposit Account Number:

50-1465

If any additional extension and/or fee is required, or, if any additional fee for claims is required.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles E. Wands

Name of Person Signing

Signature

November 15, 2002

Date

Total number of pages including cover sheet, attachments and document: [ 4 ]

PATENT  
REEL: 013942 FRAME: 0325

RE

09-23-2002



102229318

## PATENTS ONLY

Patents and  
Trademarks Office  
File No: 20-56 US

and the amended original documents or copy thereof

1. Name of conveying Party(ies):

Li WU; Yinglong LIN

2. Name and address of receiving party(ies)

Name: JDS Uniphase Corporation  
Street Address: 1768 Automation Parkway  
City: San Jose  
Province: California  
Country: U.S.A.  
Postal Code: 95131

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

Additional name(s) & addresses attached? ☐ Yes ☒ No

Execution Date: September 11, 2002

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: September 11, 2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeff Klembczyk  
JDS Uniphase Corporation  
Intellectual Property Department  
Street Address: 3000 Merivale Road  
City: Ottawa  
Province: Ontario  
Postal Code: K2G 6N7  
Country: Canada

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41) .....\$40.00

Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 50-1465

(attach duplicate copy of this sheet if paying by deposit acct)

CUSTOMER NO: 24949

DO NOT USE THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing Information is true and correct and any attached copy is a true copy of the original document.

09/20/2002 DDYRME 00000027 501465 10244631

01 FC:561 Jeff Klembczyk

Name of Person Signing

Signature

September 16, 2002

Date

Total number of pages including cover sheet, attachments and document 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington D.C. 20231

ASSIGNMENT OF INVENTION  
UNIVERSAL

WHEREAS, I/we, Li WU, Yinglong LIN whose full post office addresses are c/o Fuzhou Fujian, P.O. 1103, 22 Hutang Road, Fuxing Investment District, 350014, China; respectively, have made an invention as described in a patent application entitled

WAVELENGTH-SELECTIVE LASER BEAM SPLITTER

filed herewith,

I/WE acknowledge that all of my/our rights belong to Casix Inc. of Fuzhou Fujian, P.O. 1103, 22 Hutang Road, Fuxing Investment District, 350014, China, as per the laws of China where in any invention made in China, whilst in the employ of Casix Inc., automatically becomes the property of Casix Inc.

SIGNED at Fu Zhou this 11 day of Sep., 2002.

WITNESS:

Guilian Zhan  
Signature of Witness

Wu Li  
Li WU

Guilian Zhan  
Printed Name of Witness

SIGNED at Fu Zhou this 11 day of Sept, 2002.

WITNESS:

Guilian Zhan  
Signature of Witness

Yinglong Lin  
Yinglong LIN

Guilian Zhan  
Printed Name of Witness

WHEREAS, Casix Inc., whose full post office address is Fuzhou Fujian, P.O. 1103, 22 Hutang Road, Fuxing Investment District, 350014, China owns the entire rights to said invention or inventions and in and to any and all

patents to be obtained therefor;

WHEREAS, **JDS Uniphase Corporation**, whose full post office address is **1768 Automation Parkway, San Jose, California, USA, 95131**, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of valuable consideration, the receipt of which is hereby acknowledged, I/we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We further agree without any payment by said assignee other than expenses incurred by the undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any interference or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal representatives, administrators and assigns.

Executed this 11 day of September, 2002.

At Fuzhou

(Signature of Signing Authority)

  
for Casix Inc.

(Print Name)

John Ling

(Title)

Vice President

**Declaration by Witness**

Guilian Zhan, whose full post office address is G/O Fuzhou Fujian, P.O. 1103, 22 Hutang  
Reel, Fuxing Investment District, 350014, China

That I was personally present and did see the above-identified representative of **Casix Inc.**, who is personally known to me, duly sign and execute the above Assignment.

Guilian Zhan  
Signature of Witness

ASSIGNMENT OF INVENTION  
UNIVERSAL

WHEREAS, I/we, **Li WU, Yinglong LIN** whose full post office addresses are c/o Fuzhou Fujian, P.O. 1103, 22 Hutang Road, Fuxing Investment District, 350014, China; respectively, have made an invention as described in a patent application entitled

**WAVELENGTH-SELECTIVE LASER BEAM SPLITTER**

filed herewith.

I/WE acknowledge that all of my/our rights belong to Casix Inc. of Fuzhou Fujian, P.O. 1103, 22 Hutang Road, Fuxing Investment District, 350014, China, as per the laws of China where in any invention made in China, whilst in the employ of Casix Inc., automatically becomes the property of Casix Inc.

SIGNED at Fu Zhou this 11 day of Sep., 2002.

WITNESS

Cuilian Zhan  
Signature of Witness

Wu Li  
Li WU

Cuilian Zhan  
Printed Name of Witness

SIGNED at Fu Zhou this 11 day of Sept, 2002.

WITNESS.

Cuilian Zhan  
Signature of Witness

Yinglong Lin  
Yinglong LIN

Cuilian Zhan  
Printed Name of Witness

WHEREAS, Casix Inc., whose full post office address is Fuzhou Fujian, P.O. 1103, 22 Hutang Road, Fuxing Investment District, 350014, China owns the entire rights to said invention or inventions and in and to any and all

patents to be obtained therefor;

WHEREAS, JDS Uniphase Corporation, whose full post office address is 1768 Automation Parkway, San Jose, California, USA, 95131, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of valuable consideration, the receipt of which is hereby acknowledged, I/we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and I/we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We further agree without any payment by said assignee other than expenses incurred by the undersigned, to communicate to said assignee, its representatives or agents, any facts relating to said invention or inventions, including evidence for interference purposes or for other proceedings, whenever requested; testify in any interference or other proceedings, whenever requested; and execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective, and likewise make these provisions binding upon my/our heirs, legal representatives, administrators and assigns.

Executed this 11 day of September, 2002.

At Fuzhou

(Signature of Signing Authority)

  
for Casix Inc.

(Print Name)

John Ling

(Title)

Vice President

**Declaration by Witness**

I Guilian Zhan, whose full post office address is c/o Fuzhou Fujian, P.O. 1103, 22 Hutang  
Road, Fuzhou Investment District, 350014, China

That I was personally present and did see the above-identified representative of **Casix Inc.**, who is personally known to me duly sign and execute the above Assignment.

Guilian Zhan  
Signature of Witness