

04-15-2003

Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)

REC



ET

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

102419385

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies): University of Utah
Additional name of conveying party(ies) attached? [ ] Yes [X] No

4-10-03

2. Name and address of receiving party(ies)
Name: University of Utah Research Foundation
Address: Technology Transfer Office
615 Arapeen Drive, Salt Lake City, UT 84108
Additional Name(s) & address(es) attached? [ ] Yes [X] No

3. Nature of conveyance:
[X] Assignment [ ] Merger
[ ] Security Agreement [ ] Change of Name
[ ] Other
Execution Date: April 9, 2003

CERTIFICATE OF MAILING
Express Mail Label Number: EV210752212US
Date of Deposit: April 10, 2003
Person Making Deposit: Laurence B. Bond

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:
A. Patent Application No.(s) 60/159,366 PCT/US00/41138

B. Patent No.(s)
Additional numbers attached? [ ] Yes [X] No

RECEIVED SECTION 11 10 56

5. Name and address of party to whom correspondence concerning this document should be mailed:
Name: TraskBritt
Internal Address:
Street Address: P.O. Box 2550
City: Salt Lake City State: UT Zip: 84110

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41) . . . . . \$80.00
[X] Enclosed
[X] Authorized to be charged to deposit account
8. Deposit account number: 20-1469
(Attach duplicate copy of this page if paying by deposit account)

04/14/2003 LMUELLER 00000176 60159366

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Laurence B. Bond
Name of Person Signing Reg. No. 30,549

Attorney Docket No.: 0274-4407.1US
April 10, 2003
Date

Handwritten signature of Laurence B. Bond

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT REEL: 013944 FRAME: 0883

**ASSIGNMENT**

Effective October 12, 2000, in consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the University of Utah (“ASSIGNOR”), hereby:

Sells, assigns and transfers to the University of Utah Research Foundation, (“ASSIGNEE”), a non-profit organization existing under the laws of the State of Utah, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, including priority rights, in and to any and all improvements described in the patent applications identified in the attached Schedule A, and in and to said applications and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent including without limitation provisional, utility and design applications which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said ASSIGNEE, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said ASSIGNEE, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said ASSIGNEE, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said ASSIGNEE, its successors, assigns and legal representatives; and

Covenants with said ASSIGNEE, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

ASSIGNOR:  
UNIVERSITY OF UTAH

April 9<sup>th</sup>, 2003  
Date

Jayne F. Carney  
Name: Jayne F. Carney, Ph. D.  
Title: Director

SCHEDULE A

U.S. Patent Application Serial Number 60/159,366 filed 10/14/99 and entitled  
RESONANT OPTICAL CAVITIES FOR HIGH-SENSITIVITY, HIGH-THROUGHPUT  
BIOLOGICAL SENSORS AND METHODS

PCT Patent Application Number PCT/US00/41138 filed 10/12/00 and entitled  
RESONANT OPTICAL CAVITIES FOR HIGH-SENSITIVITY, HIGH-THROUGHPUT  
BIOLOGICAL SENSORS AND METHODS