

04-15-2003

U.S. Department of Commerce
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PATENT



102419590

RECORDATION FORM COVER SHEET
PATENTS ONLY

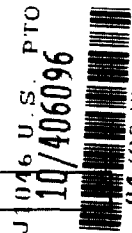
TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File



Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Mark J. Karol

Execution Date
Month Day Year
March 27, 2003

Name (line 2)

Second Party

Name (line 1) P. Krishnan

Execution Date
Month Day Year
March 27, 2003

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Avaya Technology Corp.

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1) 211 Mount Airy Road

Address (line 2)

Address (line 3) Basking Ridge

New Jersey

07920

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Juan Jenny Li

Execution Date
Month Day Year
03/27/2003

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Correspondent Name and Address

Area Code and Telephone Number

609-924-3773

Name Richard C. Woodbridge

Address (line 1) Woodbridge & Associates, P.C.

Address (line 2) P. O. Box 592

Address (line 3) Princeton, NJ 08542

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

7

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT PCT PCT
PCT PCT PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

501602

Authorization to charge additional fees:

Yes

☒

No

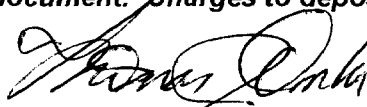
☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas J. Onka

Name of Person Signing



Signature

4/2/03

Date

ASSIGNMENT AND AGREEMENT

For value received, we, Mark J. Karol of Fair Haven in the County of Monmouth and State of New Jersey, P. Krishnan of North Plainfield in the County of Somerset and State of New Jersey, and Juan Jenny Li of South Orange in the County of Essex and State of New Jersey hereby sell, assign and transfer to Avaya Technology Corp., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey, 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **“A System And Method To Improve The Resiliency And Performance Of Enterprise Networks By Utilizing In-Built Network Redundancy”** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Technology Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Technology Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Technology Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Avaya Technology Corp. in the United States and in all countries foreign to the United States, or to such nominees as Avaya Technology Corp. may designate.

We agree that, when requested, we shall, without charge to Avaya Technology Corp. but at its expense, sign all papers, and do all acts that may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Mark J. Karol
Mark J. Karol

Date: 3-27-03

United States of America)

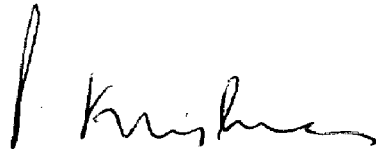
State of New Jersey) ss.:

County of Somerset)

On this 27th day of March, 2003, before me personally came Mark J. Karol, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Robin L. Napoli
Notary Public

ROBIN L. NAPOLI
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 21, 2007



P. Krishnan

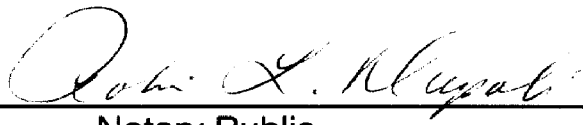
Date: 3.27.03

United States of America)

State of New Jersey) ss.:

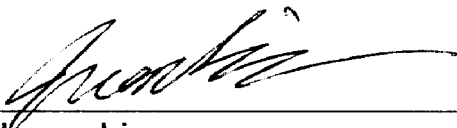
County of Somerset)

On this 27th day of March, 2003, before me personally came P. Krishnan, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

ROBIN L. NAPOLI
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 21, 2007



Juan Jenny Li

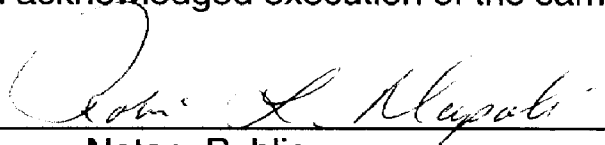
Date: 3-27-03

United States of America)

State of New Jersey) ss.:

County of Somerset)

On this 27th day of March, 2003, before me personally came Juan Jenny Li, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

ROBIN L. NAPOLI
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires April 21, 2006

Avaya Technology Corp.
211 Mount Airy Road
Basking Ridge, New Jersey 07920
U.S.A.