

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):
1) Guillermo O. SIMKIN, 2) Anna M. RICHTER,
3) David W. C. HUNT, 4) John Robert NORTH, 5) Peter
LUTWYCHE, and 6) Ronald Erwin BOCH

Additional name(s) of conveying party(ies)
attached? ☐ Yes ☒ No

3. Nature of Conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1) 4/23/2003; 2) 7/14/2003; 3) 4/11/2003;
4) 4/10/2003; 5) 4/11/2003; 6) 4/23/2003

2. Name and address of receiving party(ies)

Name: QLT Inc.
Internal Address: _____
Street Address: 887 Great Northern Way

City: Vancouver
Country: Canada Zip: V5T 4T5
Additional name(s) &
address(es) attached: ☐ Yes ☒ No

4. Application number(s) or patent number(s): 10/291,795

If this document is being filed together with a new application, the execution date of the new application is: _____
A. Patent Application No.(s): _____
B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Kawai Lau
MORRISON & FOERSTER LLP
Internal Address: Atty. Dkt.: 273012011400
Street Address:
3811 Valley Centre Drive, Suite 500

City: San Diego State: CA Zip: 92130

6. Total number of applications and
patents involved: 1


7. Total fee (37 CFR 3.41) \$ 40.00
☐ Enclosed
☒ Authorized to be charged to deposit account
☐ Authorized to be charged to credit card
(Form 2038 enclosed)

8. Deposit account number:
03-1952
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy
is a true copy of the original document.*

Kawai Lau - 44,461  September 5, 2003
Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and documents: 8

I hereby certify that this correspondence is being facsimile transmitted to: Assignment Division, US Patent and Trademark Office,
facsimile no. (703) 306-5995, on the date shown below.
Dated: 9/5/03 Signature: Karuna McAllister (Karuna McAllister)

CH \$40.00 031952 10291795

Attorney Docket No.: 273012011400

**ASSIGNMENT
JOINT**

THIS ASSIGNMENT, by

Guillermo O. SIMKIN, residing at 795 St. James Road East, North Vancouver, British Columbia, V7K 3B5 Canada;

Anna M. RICHTER, residing at PH1 - 5775 Toronto Road, Vancouver, British Columbia, V6T 1X4 Canada;

David W. C. HUNT, residing at 12722 Beckett Road, Surrey, British Columbia, V4A 2X1 Canada;

John Robert NORTH, residing at 2642 York Avenue, Vancouver, British Columbia, V6K 1E5 Canada;

Peter LUTWYCHE, residing at 1747 East Fifth Avenue, Vancouver, British Columbia, V5N 1L9 Canada; and

Ronald Erwin BOCH, residing at 3385 Ontario Street, Vancouver, British Columbia, V5V 5B9 Canada,

(hereinafter referred to as the assignors), witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in **PHOTODYNAMIC THERAPY FOR THE TREATMENT OF HAIR LOSS**, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/291,795, filed November 8, 2002; a United States Provisional Patent Application bearing Serial No. 60/338,295, filed on November 9, 2001; an International Application under the Patent Cooperation Treaty bearing number PCT/CA02/01711, filed on November 8, 2002 as attorney docket no. 81109-80; and

WHEREAS, **QLT Inc.**, a company incorporated under and pursuant to the laws of the Province of British Columbia, Canada, and having its principal place of business at 887 Great Northern Way, Vancouver, British Columbia, V5T 4T5, Canada, (hereinafter referred to as the assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

Attorney Docket No.: 273012011400

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

April 23, 2003
Date _____
Guillermo O. SIMKIN

Province of)
British Columbia)

On APRIL 23, 2003 before me, DONALD JANG, Notary Public, personally appeared Guillermo O. SIMKIN, personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

"WITNESSED AS TO EXECUTION ONLY
NO ADVICE SOUGHT OR GIVEN."

D. Jang
Notary Public in and for
the Province of British Columbia

DONALD JANG, Esq.
Barrister & Solicitor
Notary Public
Suite 701, 601 West Broadway
Vancouver, B.C. V5Z 4C2
Tel: No. 604-877-0680

Attorney Docket No.: 273012011400

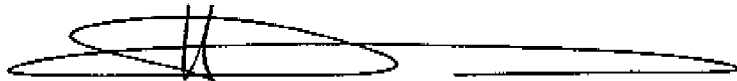
July 14, 2003
Date

Anna M. RICHTER
Anna M. RICHTER

Province of)
British Columbia)
)

On July 14, 2003 before me, HILDE DEPPEZ, Notary Public, personally appeared Anna M. RICHTER, ~~personally known to me~~ - OR - proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public in and for
the Province of British Columbia
HILDE DEPPEZ
NOTARY PUBLIC
2515 ALMA STREET
VANCOUVER, B.C. V6R 3A3
TEL: 604-221-4343

Date David W. C. HUNT

Province of)
British Columbia)
)

On _____, 2003 before me, _____, Notary Public, personally appeared David W. C. HUNT, personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Attorney Docket No.: 273012011400

Date _____ Anna M. RICHTER
Province of _____)
British Columbia)
_____)

On _____, 2003 before me, _____, Notary Public, personally appeared Anna M. RICHTER, personally known to me - **OR** - proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

April 11/2003 David Hunt
Date _____ David W. C. HUNT
Province of _____)
British Columbia)
_____)

On April 11, 2003 before me, Sandra R. Mackay, Notary Public, personally appeared David W. C. HUNT, personally known to me ☒ **OR** ~~proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument~~ and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Sandra R. Mackay
Notary Public in and for
the Province of British Columbia

Attorney Docket No.: 273012011400

10 April 2003

Date

John Robert NORTH

Province of
British Columbia

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)

On April 10, 2003 before me, Hilde Deprez, Notary Public, personally appeared John Robert NORTH, personally known to me - **OR** - proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public in and for
the Province of British Columbia

HILDE DEPREZ
NOTARY PUBLIC
2515 ALMA STREET
VANCOUVER, B.C. V6R 3R8
TEL: 604-221-4343

Date

Peter LUTWYCHE

Province of
British Columbia

)
)
)

On _____, 2003 before me, _____, Notary Public, personally appeared Peter LUTWYCHE, personally known to me - **OR** - proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

Attorney Docket No.: 273012011400

Date John Robert NORTH

Province of
British Columbia

)
)
)

On _____, 2003 before me, _____, Notary Public, personally appeared John Robert NORTH, personally known to me - **OR** - proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public in and for
the Province of British Columbia

11 Apr 03
Date

P. Lutwyche
Peter LUTWYCHE

Province of
British Columbia

)
)
)

On April 11, 2003 before me, Sandra R. Mackay, Notary Public, personally appeared Peter LUTWYCHE, personally known to me, ~~OR~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

S.R. Mackay
Notary Public in and for
the Province of British Columbia

Attorney Docket No.: 273012011400

April 23, 2003 RBO
Date Ronald Erwin BOCH

Province of)
British Columbia)
)

On April 23, 2003 before me, Sandra R. MacKay, Notary Public, personally appeared Ronald Erwin BOCH, personally known to me ~~OR~~ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Sandra R. MacKay
Notary Public in and for
the Province of British
Columbia

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM


(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/291,795	
	Filing Date	November 8, 2002	
	First Named Inventor	Guillermo O. SIMKIN	
	Art Unit	3739	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	11	Attorney Docket Number	273012011400

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Assignment (7 pages) Recordation Form Cover Sheet (1 page)
Remarks Customer No. 25225		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MORRISON & FOERSTER LLP Kawai Lau - 44,461
Signature	
Date	September 5, 2003

I hereby certify that this correspondence is being facsimile transmitted to: Assignment Division, US Patent and Trademark Office, facsimile no. (703) 306-5995, on the date shown below.

Dated: 9/5/03 Signature:  (Karuna McAllister)