

FORM PTO-1595  
(Rev. 6-93)

# RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

## PATENTS ONLY

To the Honorable Director of the US Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Wayne Vaccaro, Bingwei Vera Yang, Soong-  
Hoon Kim, Tram Huynh, David R. Tortolani,  
Kenneth J. Leavitt, Wenying Li, Arthur M.  
Doweyko, Xiao-Tao Chen, Lidia Doweyko

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: \_\_\_\_\_

Street Address: Lawrenceville-Princeton Road

City: Princeton State: NJ ZIP: 08543-4000

Additional name(s) & address(es) attached?  Yes  No

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: 8-18-03, 8-21-03, 8-22-03, 8-26-03,  
9-2-03, 9-4-03

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/621,909

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. Davis

Internal Address: Bristol-Myers Squibb Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

- Enclosed
- Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3680 (In the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Burton Rodney

Name of Person Signing  
Reg. No. 22,076

  
Signature

September 8, 2003

Date

Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 13

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office  
PO Box 1450, Alexandria, VA 22313-1450

700043080

REEL: 013951 FRAME: 0054

CH \$40.00 193880 10621909

ASSIGNMENT

We,

- Wayne Vaccaro                      residing at              1706 Westover Road  
   Yardley, Pennsylvania 19067  
   United States of America
- Bingwei Vera Yang                residing at              15 Regents Court  
   Belle Mead, New Jersey 08502  
   United States of America
- Soong-Hoon Kim                    residing at              3 Lupine Lane  
   Titusville, New Jersey 08560  
   United States of America
- Tram Huynh                         residing at              26 Manley Road  
   Pennington, New Jersey 08534  
   United States of America
- David R. Tortolani                 residing at              50 Durham Road  
   Skillman, New Jersey 08558  
   United States of America
- Kenneth J. Leavitt                 residing at              180 Franklin Corner Road, B2  
   Lawrenceville, New Jersey 08648  
   United States of America
- Wenyng Li                            residing at              6221 Town Place  
   Middletown, Connecticut 06457  
   United States of America
- Arthur M. Doweiko                 residing at              9 Highland Avenue  
   Long Valley, New Jersey 07853  
   United States of America
- Xiao-Tao Chen                      residing at              10 Henlopen Court  
   Newark, Delaware 19711  
   United States of America
- Lidia Doweiko                      residing at              9 Highland Avenue  
   Long Valley, New Jersey 07853  
   United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such

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Case QA0266 NP

priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**MODULATORS OF THE GLUCOCORTICOID RECEPTOR AND METHOD**

invented by us and described in the non-provisional United States patent application

Application No. 10/621,909, filed July 17, 2003,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

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Signed this 2 day of September, 2003 by *Wayne Vaccaro*  
Wayne Vaccaro

STATE OF New Jersey )  
COUNTY OF Ocean ) ss.

On the 2<sup>nd</sup> day of September, 2003, before me came Wayne Vaccaro, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

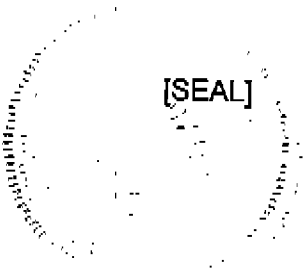
*Tina M. Markee*  
Notary Public  
**TINA M. MARKEE**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Oct. 28, 2006

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Case QA0266 NP

Signed this 21<sup>th</sup> day of August, 2003 by Bingwei Vera Yang  
Bingwei Vera Yang

STATE OF New Jersey )  
COUNTY OF Ocean ) ss.

On the 21<sup>st</sup> day of August, 2003, before me came Bingwei Vera Yang to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Tina M. Markee  
Notary Public

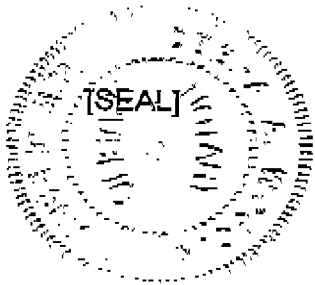
**TINA M. MARKEE**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Oct. 28, 2008

Worldwide  
Case QA0266 NP

Signed this 18 day of August, 2003 by Soong-Hoon Kim  
Soong-Hoon Kim

STATE OF New Jersey )  
COUNTY OF middlesex ) ss.

On the 18th day of August, 2003, before me came Soong-Hoon Kim, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Debra A. Wojcik  
Notary Public

**DEBRA A. WOJCIK**  
**NOTARY PUBLIC OF NEW JERSEY**  
**My Commission Expires May 30, 2008**

Signed this 2 day of Sept., 2003 by Tram Huynh  
Tram Huynh

STATE OF New Jersey )  
COUNTY OF Ocean ) ss.

On the 2<sup>nd</sup> day of September, 2003, before me came Tram Huynh, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

Tina M. Markee  
Notary Public

**TINA M. MARKEE**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Oct. 28, 2006



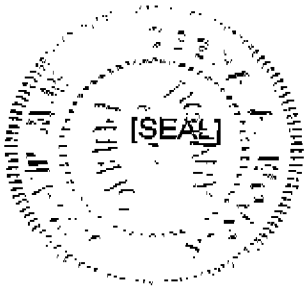


Worldwide  
Case QA0266 NP

Signed this 18 day of August, 2003 by Kenneth J. Leavitt  
Kenneth J. Leavitt

STATE OF New Jersey )  
COUNTY OF middlesex ) ss.

On the 18th day of August, 2003, before me came Kenneth J. Leavitt, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.




Debra A. Wojcik  
Notary Public

**DEBRA A. WOJCIK**  
**NOTARY PUBLIC OF NEW JERSEY**  
My Commission Expires May 30, 2008



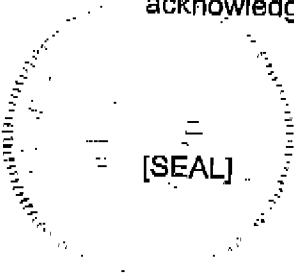
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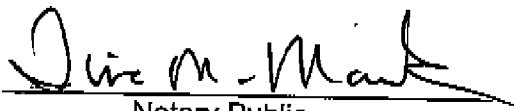
Signed this 22 day of AUGUST, 2003 by

  
Arthur M. Doweyko

STATE OF New Jersey )  
COUNTY OF Ocean ) ss.

On the 22<sup>nd</sup> day of August, 2003, before me came ARTHUR M. DOWEYKO, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.





Notary Public  
**TINA M. MARKEE**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Oct. 28, 2006



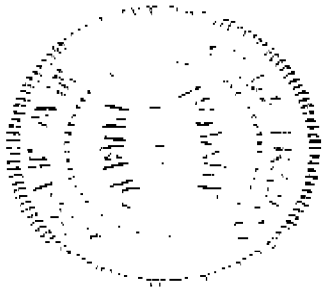
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Case QA0266 NP

Signed this 22 day of August, 2003 by Lidia M. Doweyko  
Lidia Doweyko

STATE OF New Jersey )  
COUNTY OF Mercer ) ss.

On the 22 day of August, 2003, before me came Lidia M. Doweyko to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]



Hilda Franco  
Notary Public

**HILDA FRANCO**  
Notary Public of New Jersey  
My Commission Expires January 5, 2005