

04-16-2003

Docket No.: 3123-437-1

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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102421112

To the Honorable Commissioner of Patents and Trademarks, Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wei Guo
Michael R. Hatch

414-03

2. Name and address of receiving party(ies):

Name: Maxtor Corporation

Address: 2452 Clover Basin Drive

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Longmont

State/Prov.: CO

Country: United States

ZIP: 80503

Execution Date: March 11, 2003

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/269,323

October 11, 2002

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Travis C. Stephenson

Registration No. 45,132

Address: MARSH FISCHMANN & BREYFOGLE LLP

3151 South Vaughn Way, Suite 411

City: Aurora

State/Prov.: CO

Country: United States

ZIP: 80014

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-1419

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Travis C. Stephenson

Name of Person Signing

Signature

April 7, 2003

Date

7

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 013952 FRAME: 0325

4/15/2003 LMULLER 102421112

01 FU-8021

ASSIGNMENT

WHEREAS, we, **WEI GUO** of 2944 Park Place Common, Fremont, California 94536, and **MICHAEL R. HATCH** of 2163 Woodleaf Way, Mountain View, California 94040, have invented a certain new and useful invention entitled "PEIZOELECTRIC MICROACTUATOR AND SENSOR FAILURE DETECTION IN DISK DRIVES", for which an application for Letters Patent of the United States has been prepared and filed on October 11, 2002, receiving Serial No. 10/269,323, and further identified as Attorney File No. 16420-02094;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, **MAXTOR CORPORATION**, a Delaware Corporation having a place of business at 2452 Clover Basin Drive, Longmont, Colorado, 80503, its successors, legal representatives and assigns, the entire right, title and interest in the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues, Reexaminations and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than **MAXTOR CORPORATION** and we are under no obligation to make and shall not make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining, sustaining and enforcing of any and all such Letters Patent and patent rights and in confirming the assignee's exclusive ownership of the invention, without further consideration, but at the expense of said assignee.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to **MAXTOR CORPORATION**, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 03-11-03

By: 

WEI GUO

Date: 3/11/03

By: 

MICHAEL R. HATCH

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

} ss.

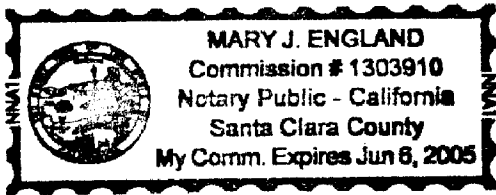
On March 11, 2003 before me, Mary J. England,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Wei Guo
Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Patent Assignment

Document Date: March 11, 2003 Number of Pages: One

Signer(s) Other Than Named Above: Michael R. Hatch

Capacity(ies) Claimed by Signer

Signer's Name: Wei Guo

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney-in-Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: self

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara } ss.

On March 11, 2003 before me, Mary J. England

Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

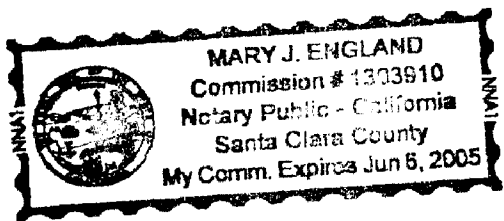
personally appeared Michael Roy Hatch

Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]
Signature of Notary Public

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Signer(s) Other Than Named Above: Wei Guo

Capacity(ies) Claimed by Signer

Signer's Name: Michael Roy Hatch

☒ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney-in-Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: self

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here