



04-18-2003



102423366

Docket No.: LOT920020028US1

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Daniel M. Gruen

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Address: New Orchard Road

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3-4-03

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Armonk

State/Prov.: NY

Country: US

ZIP: 10504

Execution Date: January 14, 2003

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

10/323,106

12/18/2002

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald A. D'Alessandro

Registration No. 42,456

Address: Hoffman, Warnick & D'Alessandro LLC

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04/17/2003 LUPELLER 00000166 122158 10323106

01 FEB 2003 40.00 CH

City: Albany State/Prov.: NY

Country: US ZIP: 12207

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

122158 (IBM)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald A. D'Alessandro

Name of Person Signing

Signature

February 24, 2003

Date

Total number of pages including cover sheet, attachments, and

2

## A S S I G N M E N T

Whereas I,

(1) Daniel M. Gruen  
County of Middlesex

City of Newton  
and State of Massachusetts, US

have invented certain improvements in

**METHOD, SYSTEM AND PROGRAM PRODUCT FOR TRANSMITTING ELECTRONIC  
COMMUNICATIONS USING IMPLICITLY FORMED CONTACT GROUPS**

and have executed, a United States patent application therefor on

(1) January 14, ~~2002~~ 2003  
*DMG*

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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Signed at Cambridge, MA

on 1/14/2003

*Daniel M. Gruen*  
(Signature of Inventor)

Daniel M. Gruen  
(Typed Name of Inventor)