

FORM PTO-1619A

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04-18-2003

U.S. Department of Commerce
Patent and Trademark Office

PATENT



102423369

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- ☒ New
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- ☐ Correction of PTO Error
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Conveyance Type

- ☒ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☐ Mark if additional names of conveying parties attached Execution Date
Month Day Year
11212002

Name (line1) Donald C. Hargreaves

Name (line2)

Second Party

Execution Date
Month Day Year
12052002

Name (line1) Harrison L. Ransom

Name (line2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) JDS Uniphase Corporation

Name (line 2)

Address (line 1) 1768 Automation Parkway

Address (line 2)

Address (line 3) San Jose

California

95131

City

State/Country

Zip Code

☐ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
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Domestic Representative Name and Address

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Name

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Address (line 4)

04 17/2 3 LPUELLER 00000169 191015 10016473

01 FC:8 1 40.00 CH

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PATENT

REEL: 013959 FRAME: 0713

Correspondent Name and Address

Area Code and Telephone Number (617) 367-4600

Name Philip L. Conrad, Esq.

Address (line 1) Kudirka & Jobse, LLP

Address (line 2) One State Street

Address (line 3) Suite 1510

Address (line 4) Boston, MA 02109

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

2

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

10016473

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

PCT

PCT

PCT

Enter PCT application number

only if a U.S. Application Number PCT

PCT

PCT

Has not been assigned.

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$40.00

Method of Payment:

Enclosed ☐

Deposit Account ☒

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

19-1015

Authorization to charge additional fees:

Yes ☒

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Philip L. Conrad

Name of Person Signing

Philip L. Conrad
Signature

April 9, 2003
Date

ASSIGNMENT OF INVENTION
UNIVERSAL

WHEREAS, we **Donald C. Hargreaves**, a citizen of Canada and **Harrison L. Ransom**, a citizen of the United States, whose full post office addresses are **#376, 1330 The Alameda, San Jose, California, USA, 95126**; and **5413 Amberdale Way, Antioch, California, USA, 94509**, respectively, have made an invention as described in the patent application entitled

OPTICAL COMPONENT ATTACHMENT TO OPTOELECTRONIC PACKAGES

filed under Serial No: 10/016,473 on December 10, 2001.

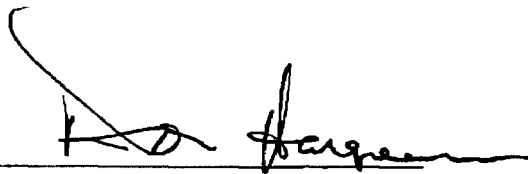
WHEREAS, **JDS Uniphase Corporation** (hereinafter referred to as the assignee), whose full post office address is **1768 Automation Parkway, San Jose, California, USA, 95131** is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assignees, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or

imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

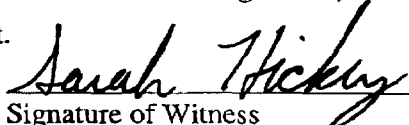
Executed this 21 day of November, 2002.
at SAN JOSE, CA


Donald C. Hargreaves

Declaration by Witness

I, Sarah Hickey, whose full post office address is P.O. Box 1048
say: Santa Clara, CA 95052

That I was personally present and did see Donald C. Hargreaves, who is personally known to me, duly sign and execute the above Assignment.


Signature of Witness

Executed this 21 day of _____, 2002.
at _____

Harrison L. Ransom

Declaration by Witness

I, _____, whose full post office address is _____
say:

That I was personally present and did see Harrison L. Ransom, who is personally known to me, duly sign and execute the above Assignment.

Signature of Witness

imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed this ____ day of _____, 2002.

at _____.

Donald C. Hargreaves

Declaration by Witness

I, _____, whose full post office address is _____

say:

That I was personally present and did see **Donald C. Hargreaves**, who is personally known to me, duly sign and execute the above Assignment.

Signature of Witness

Executed this 5th day of December, 2002.

at Antioch, Ca.

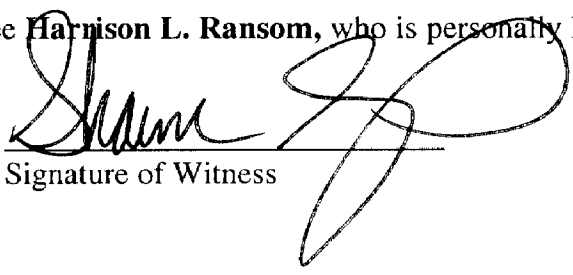

Harrison L. Ransom

Declaration by Witness

I, Shawn Slimp, whose full post office address is 3377 Deer Valley Rd, Antioch, CA

say:

That I was personally present and did see **Harrison L. Ransom**, who is personally known to me, duly sign and execute the above Assignment.


Signature of Witness

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Contra Costa

} ss.

On Dec 5, 2002

Date

, before me, Shawn Slimp, Notary Public

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared

Harrison L. Ransom

Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Shawn Slimp

Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document:

Assignment of Invention - Universal

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____

☐ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here