

04-18-2003



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Page 1 of 2

Docket No. RD-27, 998

FORM PTO 1595
1-31-92

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen Lan-Sun Hung
Tara Healy Wight
Bang Mo Kim
Lynn Ann DeRose

C
3-22-03

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? Yes No

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: Feb 21, 2001 Feb 21, 2001
Feb 21, 2001 Feb 22, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is Feb 21, 2001 Feb 21, 2001 Feb 21, 2001 Feb 22, 2001

A. Patent Application No.(s)

09/681,328

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: CRD Patent Docket Rm. 4A59

Bldg. K-1, Salamone

P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41.....\$40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

07-0868

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Toan P. Vo

Name of Person Signing

Toan P. Vo

Signature

March 22, 2001

Total number of pages including cover sheet, attachments and document 5

OMB No. 0651-0011 (exp 4/94)

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Box Assignments
Washington, D.C. 20231

FORM PTO 1595
1-31-92

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Srinivas Krishnaswamy Bagepalli
Jeffrey Lynn Schworm
Michael Edward Heeran

2. Name and address of receiving party(ies):

Name: General Electric Company

Internal Address: _____

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Additional name(s) & address(es) attached? Yes No

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: Feb 22, 2001 Feb 20, 2001
Feb 20, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application
Feb 22, 2001 Feb 20, 2001 Feb 20,

A. Patent Application No.(s)

09/681,328

B. Patent No.(s)

Additional numbers attached? Yes No

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Name: General Electric Company

Internal Address: CRD Patent Docket Rm. 4A59

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Toan P. Vo

Name of Person Signing

Toan P. Vo
Signature

March 22, 2001

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Box Assignments
Washington, D.C. 20231

ASSIGNMENT

Docket Number
RD-27, 998

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

Stephen Lan-Sun Hung	of Waterford, New York
Tara Healy Wight	of Schenectady, New York
Bang Mo Kim	of Schenectady, New York
Lynn Ann DeRose	of Gloversville, New York
Srinivas Krishnaswamy Bagepalli	of Niskayuna, New York
Jeffrey Lynn Schworm	of Delanson, New York
Michael Edward Heeran	of Latham, New York
	of
	of
	of
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	of
	of
	of
	of
	of
	of
	of

sell and assign to General Electric Company, a New York Corporation and Brown University Research Foundation, a Providence, Rhode Island Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

SYSTEM AND METHODS FOR REMOTE MANAGEMENT OF STEAM GENERATING SYSTEMS

invented by me (us), as described in the application for United States patent

executed concurrently herewith, or
 U.S. Serial No.: _____, filed _____

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting herefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any acts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

2/21/01
Date

Stephen Lan-Sun Hung
Stephen Lan-Sun Hung

State of New York) SS:

County of Schenectady)

This 21st day of February, 2002, before me personally came the

above-named Stephen Lan-Sun Hung to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

JEAN G. MYERS
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY606850 2002
My Commission Expires Nov. 11, 2006

Jean G Myers
(Notary Public)

PATENT

ASSIGNMENT

Docket Number
RD-27, 998

Feb 21, 2001
Date

Tara Healy Wight
Tara Healy Wight

State of New York) SS:

County of Schenectady)

This 21st day of February, 2001, before me personally came the above-named Tara Healy Wight to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal **JEAN G. MYERS**
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY3068850 2002
My Commission Expires Nov. 11, 2002

Jean G Myers
(Notary Public)

Feb 21, 2001
Date

Bang Mo Kim
Bang Mo Kim

State of New York) SS:

County of Schenectady)

This 21st day of February, 2001, before me personally came the above-named Bang Mo Kim to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal **JEAN G. MYERS**
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY3068850 2002
My Commission Expires Nov. 11, 2002

Jean G Myers
(Notary Public)

02/22/01
Date

Lynn Ann DeRose
Lynn Ann DeRose

State of New York) SS:

County of Schenectady)

This 22nd day of February, 2001, before me personally came the above-named Lynn Ann DeRose to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal **JEAN G. MYERS**
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY3068850 2002
My Commission Expires Nov. 11, 2002

Jean G Myers
(Notary Public)

PATENT

ASSIGNMENT

Docket Number
RD-27, 998

02/22/01
Date

Srinivas Krishnasamy Bagepalli
Srinivas Krishnasamy Bagepalli

State of New York) SS:

County of Schenectady)

This 22nd day of February, 2001, before me personally came the above-named Srinivas Krishnasamy Bagepalli to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal
JEAN G. MYERS
Notary Public in the State of New York
Qualified in Schenectady Co.
No. 01MY508890
My Commission Expires Nov. 11, 2002

Jean G Myers
(Notary Public)

2/20/2001
Date

Jeffrey Lynn Schworm
Jeffrey Lynn Schworm

State of New York) SS:

County of Schenectady)

This 20th day of February, 2001, before me personally came the above-named Jeffrey Lynn Schworm to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal
SUSAN M. ZAMPIER
Notary Public in the State of New York
Qualified in Saratoga County
No. 01ZA5069047
My Commission Expires Nov. 11, 2002

Susan M. Zamper
(Notary Public)

2/20/01
Date

Michael Edward Heeran
Michael Edward Heeran

State of New York) SS:

County of Schenectady)

This 20th day of February, 2001, before me personally came the above-named Michael Edward Heeran to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal
MONICA A. GENTILE
Notary Public - State of New York
Qualified in Albany County
No. 01A29437577
My Commission Expires February 22, 2002

Monica A. Gentile
(Notary Public)

PATENT