

04-21-2003

Docket No: AM100540

Patent



102425541

Recordation Form Cover Sheet  
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10/408912  
04/08/03

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4.803

- ☒ New  
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## 2. Conveyance Type:

- ☒ Assignment          ☐ License          ☐ Security Agreement  
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## 3. Conveying party(ies):

Joseph P. Sabatucci  
Craig E. Caufield  
Alexander A. Greenfield  
Koi M. Morris  
Eamonn P. Morrison

10408912

Additional name(s) of conveying party(ies) attached:

- ☐ Yes  
☒ No

Execution Date:

Joseph P. Sabatucci	March 28, 2003
Craig E. Caufield	April 4, 2003
Alexander A. Greenfield	March 24, 2003
Koi M. Morris	March 24, 2003
Eamonn P. Morrison	March 21, 2003

## CERTIFICATE OF MAILING 37 CFR §1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV212533047US addressed to the Commissioner for Patents, BOX PATENT APPLICATION, Washington, DC 20231.

April 8, 2003  
Date

Paula L. Dickey  
Paula L. Dickey

04. 18/20 3 GTOM11 00000030 011425 10408912

01. FC:801 40.00 CH

4. Receiving party(ies):

Wyeth  
Five Giralda  
Madison, New Jersey 07940

Additional name(s) and address(es) attached:

- ☐ Yes  
☒ No

5. Correspondent address:

Michael R. Nagy  
Wyeth  
Patent Law Department  
Five Giralda Farms  
Madison, NJ 07940  
Tel. No. (610) 902 2624

6. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is April 8, 2003

- A. Patent Application Number(s):  
B. Patent Number(s):  
C. Patent Cooperation Treaty (PCT) Application Number(s) (only if a U.S. Application Number has not been assigned):

Additional numbers attached:

- ☐ Yes  
☒ No

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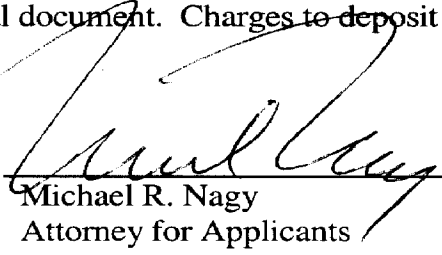
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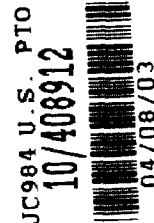
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Date

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\_\_\_\_\_  
Michael R. Nagy  
Attorney for Applicants  
Reg. No. 33,432



For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or ☐ U.S. Patent

Assignment of Invention

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,  
ASSIGNOR:

- 
- (1) Full Name of Inventor: Joseph P. SABATUCCI  
Residence: 84 Hunt Club Drive, Collegeville, Pennsylvania 19426  
Citizenship: USA
- 
- (2) Full Name of Inventor: Craig E. CAUFIELD  
Residence: 344 3<sup>rd</sup> Avenue, Apt. 17B, New York, New York 10010  
Citizenship: USA
- 
- (3) Full Name of Inventor: Alexander A. GREENFIELD  
Residence: 122 Harris Road, Princeton Junction, New Jersey 08550  
Citizenship: USA
- 
- (4) Full Name of Inventor: Koi M. MORRIS  
Residence: 601 Fox Run Drive, Plainsboro, New Jersey 08536  
Citizenship: USA
- 

hereby sells, assigns and transfers to ASSIGNEE:

Wyeth

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**SUBSTITUTED PHENYL COMPOUNDS FOR THE TREATMENT OF NON-INSULIN  
DEPENDENT DIABETES MELLITUS**

and invented by ASSIGNOR and the following additional inventors, if any:  
Eamonn P. MORRISON

and which is found in

[check and complete (a), (b), (c), (d) or (e)]

- (a) ☒ U.S. patent application executed on even date herewith.  
(b) ☐ U.S. patent application executed on:  
(c) ☐ U.S. provisional application, not yet filed, naming the above inventor(s) for the above-entitled invention  
☐ Express mail label no.:  
(d) ☐ U.S. application Serial No. filed on:  
(e) ☐ U.S. Patent No. issued on:

[also check (f) if foreign application(s) is(are) also being assigned]

- (f) ☒ and any legal equivalent thereof in a foreign country,

including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application or any subsequently filed provisional, nonprovisional, continuation, divisional, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR authorizes ASSIGNEE to make applications for and to receive Letters patent for said invention in any of said countries in its own name, or in ASSIGNOR's name, at its election.

ASSIGNOR covenants and agrees to execute or procure any further necessary assurance of the title to said invention and any Letters Patent which may issue therefor and to, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any interference, litigation or proceeding related thereto and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns or other legal representatives, and that to, at any time, upon the request and at the expense of ASSIGNEE execute any continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to Letters Patent and reissue or re-examination thereof, or any other additional applications of Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

ASSIGNOR authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to its ASSIGNEE.

Joseph P. Sabatucci  
Joseph P. SABATUCCI

L.S.

3/18/03  
Date

~~~~~  
ACKNOWLEDGMENT

State of Pennsylvania )  
SS:

County of Montgomery )

On the 28 day of Mar 2003, Joseph P. Sabatucci personally appeared before me, known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth.

NOTARIAL SEAL  
CYNTHIA J. TAGLIAFERI Notary Public  
Collegeville Boro Montgomery County  
My Commission Expires September 19, 2006

Cynthia J. Tagliaferi  
Notary Public

Craig E. Caufield  
Craig E. CAUFIELD

L.S.

April 4, 2003  
Date

~~~~~  
ACKNOWLEDGMENT

State of NEW YORK )

County of ROCKLAND )  
SS:

On the 4 day of April 2003, Craig E. Caufield personally appeared before me, known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth.

JANICE A. WHITE  
NOTARY PUBLIC - STATE OF NEW YORK  
NO. 01-WH6052604  
QUALIFIED IN ROCKLAND COUNTY  
MY COMMISSION EXPIRES 12-26-2006

Janice A. White  
Notary Public



For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or ☐ U.S. Patent

Assignment of Invention

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,  
ASSIGNOR:

- (1) Full Name of Inventor: Eamonn P. MORRISON  
Residence: 5702 Spruce Mill Drive, Yardley, Pennsylvania 19067  
Citizenship: USA

---

hereby sells, assigns and transfers to ASSIGNEE:

Wyeth

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**SUBSTITUTED PHENYL COMPOUNDS FOR THE TREATMENT OF NON-INSULIN  
DEPENDENT DIABETES MELLITUS**

and invented by ASSIGNOR and the following additional inventors, if any:

Joseph P. SABATUCCI, Craig E. CAUFIELD, Alexander A. GREENFIELD, and Koi M. MORRIS

and which is found in

[check and complete (a), (b), (c), (d) or (e)]

- (a) ☒ U.S. patent application executed on even date herewith.  
(b) ☐ U.S. patent application executed on:  
(c) ☐ U.S. provisional application, not yet filed, naming the above inventor(s) for  
the above-entitled invention  
☐ Express mail label no.:  
(d) ☐ U.S. application Serial No. filed on:  
(e) ☐ U.S. Patent No. issued on:

[also check (f) if foreign application(s) is(are) also being assigned]

- (f) ☒ and any legal equivalent thereof in a foreign country,

including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application or any subsequently filed provisional, nonprovisional, continuation, divisional, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR authorizes ASSIGNEE to make applications for and to receive Letters patent for said invention in any of said countries in its own name, or in ASSIGNOR's name, at its election.

ASSIGNOR covenants and agrees to execute or procure any further necessary assurance of the title to said invention and any Letters Patent which may issue therefor and to, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any interference, litigation or proceeding related thereto and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns or other legal representatives, and that to, at any time, upon the request and at the expense of ASSIGNEE execute any continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to Letters Patent and reissue or re-examination thereof, or any other additional applications of Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.



~~applications to its ASSIGNEE.~~

~~L.S.~~

Date \_\_\_\_\_

3/21/03

## ACKNOWLEDGMENT

State of PENNSYLVANIA }

**SS:**

County of DELAWARE

On the 21 day of MARCH 2003, Eamonn P. MORRISON personally appeared before me, known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth.

Judith Johnston  
Notary Public

Notary Public

~~~~~NOTARIAL SEAL~~~~~  
**JUDITH A. JOHNSTON, Notary Public**  
 Radnor Twp., Delaware County  
 My Commission Expires Sept. 12, 2006