

04-25-2003



102431839

To the Honorable Commissioner of Patents and Trademarks: Please record.

original documents or copy thereof.

1. Name of conveying party(ies):

Medtronic, Inc. and Medtronic Micro Interventional Systems, Inc., dba Medtronic MIS and Micro Interventional Systems, Inc. (hereafter MIS)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 25, 2000

2. Name and address of receiving party(ies)

Name: Medtronic AVE, Inc.

Internal Address: _____

4-21-03

Street Address: 3576 Unocal PlaceCity: Santa Rosa State: CA Zip: 95403Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
noneB. Patent No.(s)
See attached AppendixAdditional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: IP Legal DepartmentInternal Address: Medtronic AVE, Inc.Street Address: 3576 Unocal PlaceCity: Santa Rosa State: CA Zip: 954036. Total number of applications and patents involved: 197. Total fee (37 CFR 3.41).....\$ 760.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

01/2525

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Michael J. Jaro, Chief Patent Counsel Reg. No. 34,472

Name of Person Signing

Signature

Date

4/14/03

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 013974 FRAME: 0696

Continuation of Item 4**APPENDIX**

<u>Patent/Application No.</u>	<u>Medtronic AVE Ref. No.</u>	<u>Medtronic Ref. No.</u>
5,902,287	P617 US	P4724.00 US
6,199,262	P617 DIV 1	P4724.01 US DIV 1
5,728,065	P605 US	P4727.00 US
5,690,671	P556 US	P4728.00 US
6,165,198	P556 CIP 1	P4728.03 US CIP 1
5,676,659	P555 CON 1	P4748.03 US CON 1
5,728,063	P601 CIP 1	P4748.04 US CIP 1
5,759,173	P601 CON 1	P4748.06 US CON 1
5,601,538	P602 US	P4761.00 US
5,776,099	P603 CON 1	P4766.02 US CON 1
5,833,652	P616 US	P4767.00 US
6,102,903	P604 US	P4770.00 US
5,899,890	P606 CIP 1	P4911.00 US X
6,221,059	P606 DIV 1	P4911.03 US DIV 1
5,840,046	P582 US	P4916.00 US
6,042,876	P582 DIV 1	P4916.03 US DIV 1
5,827,242	P607 US	P4917.00 US
5,817,057	P608 US	P7342.00
6,056,686	P618 CIP 1	Unknown

ASSIGNMENT

This Assignment is by and between Medtronic, Inc, a Minnesota corporation having a place of business at 7000 Central Avenue N.E. Minneapolis, Minnesota (hereinafter Medtronic), Medtronic Micro Interventional Systems, Inc., a Minnesota corporation also doing business under the names Medtronic MIS and Micro Interventional Systems, Inc. (hereinafter MIS) and Medtronic AVE, Inc. a Delaware corporation having a place of business at 3576 Unocal Place, Santa Rosa, California.

For good and valuable consideration, Medtronic and MIS hereby sell, assign, grant and convey to Medtronic AVE their entire right, title and interest in and to the Patents, Patent Applications AND Disclosures set forth in the Appendix attached hereto throughout the world, and the entire right, title and interest in and to any and all PATENT APPLICATIONS and LETTERS PATENT of the United States of America and any foreign countries which may be filed or granted therefor including their rights under the International Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions or reissues of said PATENT APPLICATIONS and LETTERS PATENT that may be filed or granted, the same to be held and enjoyed by Medtronic AVE for its own use and behoof and use and behoof of its successors and assigns to the full end of the term for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by Medtronic and MIS had this Assignment not been made.


Medtronic, Inc.

Medtronic Micro Interventional Systems, Inc.

by

title

date


V.P. Chief Patent Counsel
April 25, 2000

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	See Attached Appendix
Filing Date	N/A
First Named Inventor	N/A
Art Unit	
Examiner Name	
Attorney Docket Number	G794

Total Number of Pages in This Submission

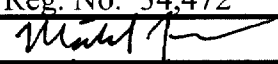
4

ENCLOSURES (Check all that apply)

- | | | |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Assignment Documents. |
|---|--|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

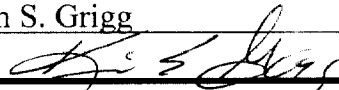
Firm or Individual	Michael J. Jaro, Chief Patent Counsel, Medtronic AVE, Inc.
Signature	
Date	4/14/03

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 4/14/2003

Typed or printed	Kim S. Grigg
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Signature



Date

4/14/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.