


04-24-2003

Docket No. 28110/37665				<b>IEET</b> U.S. Department of Commerce Patent and Trademark Office	
To the Honorable Court		102431140		e attached original documents or copy thereof:	
1. Name of conveying party(ies):  FORD, John E. ZHOU, Hua YEUNG, George  <input checked="" type="checkbox"/> Individual <input type="checkbox"/> Association <input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Corporation, State of _____  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies):  Name: <u>Hyseq, Inc.</u> (a Nevada corporation) Address: <u>670 Almanor Avenue</u> <u>Sunnyvale, CA 94085</u>  Additional name(s) of receiving party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name Other: _____  Execution Date: <u>01/16/2003; 12/16/2002; 12/20/2002</u>					
4. Application Number(s) or Patent Number(s):  A. Patent Application Number(s): <u>09/981,649</u>  Title: <u>EGF Motif Protein, EFGL6 Materials and Methods</u>  Filed: <u>October 15, 2001</u>  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			B. Patent Number(s):  Title: _____ Filed: _____  Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning this document should be mailed:  Name: <u>Renée S. Polizotto, Ph.D., Reg. No. 53,474</u> Address: <u>NUVELO</u> <u>675 Almanor Avenue</u> <u>Sunnyvale, CA 94085</u>			6. Total number of applications and patents involved: <u>1</u>  7. Total fee (37 C.F.R. § 3.41)(\$40.00 per assignment): <u>\$40.00</u>  <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account. <input checked="" type="checkbox"/> The Commissioner is authorized to charge underpayment of any fees or credit any overpayment to deposit Account Number 501169.  8. Deposit Account Number: <u>501169</u>		
DO NOT USE THIS SPACE					
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  <u>Renée S. Polizotto, Ph.D., Reg. No. 53,474</u> <u>Renée S. Polizotto</u> <u>4/16/2003</u> Name, Registration Number      Signature      Date  Total number of pages including cover sheet, attachments and document: <u>6</u>					
Mail documents to be recorded with required cover sheet information to:			MAIL STOP ASSIGNMENT RECORDATION SERVICES Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		

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FINANCE SECTION

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# ASSIGNMENT

Serial No: 09/981,649  
Filed: October 15, 2001  
Title: EGF Motif protein, EGFL6 Materials and Methods

For one (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to Hyseq, Inc., a Nevada corporation, 670 Almanor Avenue, Sunnyvale, California 94085, (hereinafter "assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on \_\_\_\_\_, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

WITNESS our hands this 16 day of JANUARY, ~~2001~~ <sup>2003</sup>

State of California  
County of Santa Clara } ss

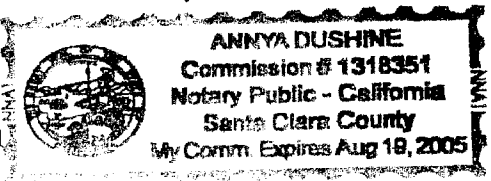
John E. Ford  
John E. Ford

On this 16<sup>th</sup> day of January, ~~2001~~ <sup>2003</sup>, before me, a Notary Public in and for the County and State aforesaid, appeared John E. Ford, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 8/19/2005

[Signature]  
Notary Public



WITNESS our hands this \_\_\_\_\_ day of \_\_\_\_\_, 2001.

State of \_\_\_\_\_  
County of \_\_\_\_\_ } ss

George Yeung  
George Yeung

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared George Yeung, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

WITNESS our hands this \_\_\_\_\_ day of \_\_\_\_\_, 2001.

State of \_\_\_\_\_  
County of \_\_\_\_\_ } ss

Hua Zhou  
Hua Zhou

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared Hua Zhou, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that she executed said instrument as her free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: \_\_\_\_\_

\_\_\_\_\_  
Notary Public

# ASSIGNMENT

Serial No: 09/981,649  
Filed: October 15, 2001  
Title: EGF Motif protein, EGFL6 Materials and Methods

For one (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign to Hyseq, Inc., a Nevala corporation, 670 Almanor Avenue, Sunnyvale, California 94085, (hereinafter "assignee"), its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, executed by the undersigned on \_\_\_\_\_, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension thereof.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the execution date and/or filing date and serial number of said application when officially known.

The undersigned warrant themselves to be the owners of the interest herein assigned and to have the right to make this assignment and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said consideration the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation, continuation-in-part and substitute applications for said invention or improvements, and any necessary oath or affidavit relating thereto, and ~~my~~ application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns, may deem necessary or expedient, and for said consideration the undersigned further agree upon the request of said assignee, its successors or assigns, in the event of any application or Letters Patent assigned herein becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said assignee, its successors or assigns, in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, the undersigned hereby agreeing to perform, upon request, any and all affirmative acts to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in said assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by said assignee, its successors and assigns, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made.

WITNESS our hands this \_\_\_\_\_ day of \_\_\_\_\_, 2001.

State of \_\_\_\_\_

John E. Ford

County of \_\_\_\_\_ } ss

On this \_\_\_\_\_ day of \_\_\_\_\_, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared John E. Ford, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: \_\_\_\_\_

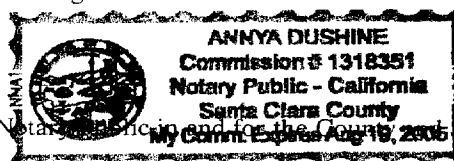
Notary Public

WITNESS our hands this 20<sup>th</sup> day of December, 2001.

State of California

County of Santa Clara } ss

George Yeung



On this 20<sup>th</sup> day of December, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared George Yeung, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 8/19/2005

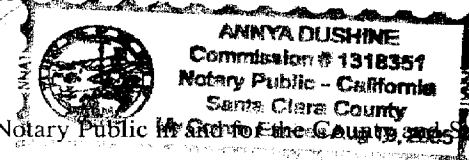
Anna Dushine  
Notary Public

WITNESS our hands this 16<sup>th</sup> day of December, 2001.

State of California

County of Santa Clara } ss

Hua Zhou



On this 16<sup>th</sup> day of December, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared Hua Zhou, to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that she executed said instrument as her free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the same day and year last above given.

My Commission Expires: 8/19/2005

Anna Dushine  
Notary Public