

04-24-2003

HEET

To the Honorable commissioner of I
Please record the attached origina



102428986

1. Name of conveying party(ies):

Juan J. Becerra
Michael S. DeFilippis
Todd P. Van Duser

4-15-03

Additional name(s) of conveying
party(ies) attached? _____ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date: 04/15/2003

2. Name and address of receiving party(ies):

Name: MTI MicroFuel Cells Inc.

Street Address: 431 New Karner Road

City: Albany

State: NY Zip: 12205

Additional name(s) & address(es)
Attached? _____ Yes ☒ No

JC971 U.S. PTO

10/413982

04/15/03

4. Application number(s) or patent number(s):

10413982

If this document is being filed together with a new application, the execution
date of the application is: 04/15/2003

A. Patent Application No.(s)

B. Patent No. (s)

Additional numbers attached? _____ Yes ☒ No5. Name and address of party to whom
correspondence concerning document should be
mailed:

Name: Michael E. Attaya

Street Address: Cesari and McKenna, LLP
88 Black Falcon Avenue

City: Boston State: MA Zip: 02210

6. Total number of applications and
patents involved:..... 1

7. Total fee (37 CFR 3.41)\$40.00

☒ Enclosed

_____ Authorized to be charged to deposit
Account

8. Deposit account no. 03-1237 (Attach duplicate
copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
copy of the original document.

Michael E. Attaya, Reg. No. 31,731

Name of Person Signing

Signature

April 15, 2003

Date

Total number of pages comprising cover sheet: 1

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40.00 DP

PATENT
REEL: 013981 FRAME: 0577

ASSIGNMENT

Whereas We, Juan J. Becerra, whose residence address is 15 Fryer Lane, Altamont, NY 12009, Michael S. DeFilippis, whose residence address is ~~10 Bunker Lane, Niskayuna, NY 12309~~, and Todd P. Van Duser, whose residence address is Christina Drive, Schenectady, NY 12303, have made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled APPARATUS FOR REFUELING A DIRECT OXIDATION FUEL CELL, identified by Cesari and McKenna File No. 107044-0034, which application was executed by us on April 15, 2003; and

Handwritten:
Hagare Dr,
Delmar, NY
12054
MSD
4/15/03

Whereas MTI MicroFuel Cells Inc., whose address is 431 New Karner Road, Albany, NY 12205, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

Now, Therefore, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation:

1. Assign, transfer, and convey to Assignee our entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent;

2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

5. Bind our heirs, legal representatives and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents

including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which we have executed this Assignment as an instrument under seal on the dates indicated next to our names.

4/15/03
Date

Juan J. Becerra
Juan J. Becerra, Inventor

State of New York)
)ss.
County of Albany)

On this 15th day of April, 2003, before me appeared Juan J. Becerra, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Willie W. D.
Notary Public

[seal]

WILLIAM W DAILEY
Notary Public, State of New York
Registration #02DA6030420
Qualified in Albany County
My Commission Expires 09/13/2025

4/15/03

Date

Michael S. DeFilippis
Michael S. DeFilippis, Inventor

State of New York)
)ss.
County of Albany)

On this 15th day of April, 2003, before me appeared Michael S. DeFilippis, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

WILLIAM W DAILEY
Notary Public, State of New York
Registration #02DA6030420
Qualified in Albany County
My Commission Expires 09/13/2005
[seal]

William W Dailey
Notary Public

4/15/03

Date

Todd P. Van Duser
Todd P. Van Duser, Inventor

State of New York)
)ss.
County of Albany)

On this 15th day of April, 2003, before me appeared Todd P. Van Duser, to me known and known to me to be the person described in and who executed the foregoing instrument, and he acknowledged the same to be his free act and deed.

WILLIAM W DAILEY
Notary Public, State of New York
Registration #02DA6030420
Qualified in Albany County
My Commission Expires 09/13/2005
[seal]

William W Dailey
Notary Public