

04-25-2003



To the Honorable Commissioner of Patents and

Original documents or copy thereof.

1. Name of conveying party(ies):

1. Xiang Liu
2. Linn Frederick Mollenauer
3. Xing Wei

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date(s): April 10, 2003 and April 14, 2003

102430597 Name of receiving party(ies)

Name: Lucent Technologies Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

P.O. Box 636

City: Murray Hill State: NJ ZIP: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/331299

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Administrator

Internal Address: Room 3J-219

Street Address: 101 Crawfords Corner Road

City: Holmdel State: NJ ZIP: 07733-3030

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 12-2325

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Sasso

Name of Person Signing

[Signature]
Signature

4/16/03

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignment

Washington, D.C. 20231

Case Name/No.: Liu 14-52-11

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on APRIL 17, 2003.

[Signature]
Signature

ASSIGNMENT AND AGREEMENT

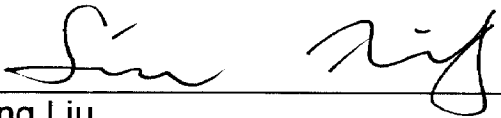
For value received, we, Xiang Liu, of Marlboro in the County of Monmouth and the State of New Jersey, and Linn Frederick Mollenauer, of Colts Neck in the County of Monmouth and the State of New Jersey, and Xing Wei, of New Providence in the County of Union and the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey, 07974-0636, U.S.A. and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Dispersion Compensation Method And Apparatus** described in an application for Letters Patent of the United States, Serial No. 10/331299, filed December 30, 2002, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Xiang Liu


Date: 4/14/2003

United States of America)

State of New Jersey) ss.:

County of Monmouth

On this 14th day of April, 2003, before me personally came, Xiang Liu to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

SHARON L. LOBOSCO
Notary Public, State of New Jersey
No. 2278076
Qualified in Ocean County
Commission Expires August 14, 2006

Linn F. Mollenauer
Linn Frederick Mollenauer

Date: 4/10/03

United States of America)

State of New Jersey) ss.:

County of Monmouth)

On this 10th day of April, 2003, before me personally came, Linn Frederick Mollenauer to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sharon L. Lobosco
Notary Public

SHARON L. LOBOSCO
Notary Public, State of New Jersey
No. 2278076
Qualified in Ocean County
Commission Expires August 14, 2006

Xing Wei
Xing Wei

Date: 4/14/03

United States of America)

State of New Jersey) ss.:

County of Monmouth

On this 14th day of April, 2003, before me personally came, Xing Wei to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Sharon L. Lobosco
Notary Public

SHARON L. LOBOSCO
Notary Public, State of New Jersey
No. 2278076
Qualified in Ocean County
Commission Expires August 14, 2006

Lucent Technologies Inc.
Docket Administrator (Room 3J-219)
Lucent Technologies Inc.
101 Crawfords Corner Road
Holmdel, NJ 07733-3030