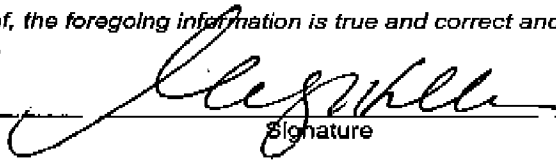


Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof					
1. Name of conveying party(ies): Peter H. St. George-Hyslop (03/22/1996), Johanna M. Rommens (03/22/1996), and Paul E. Fraser (03/22/1996) Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No			2. Name and address of receiving party(ies) HSC Research and Development Limited Name: <u>Partnership</u> Street Address: 555 University Avenue Suite 5270 Toronto, Ontario Country: <u>Canada</u> Zip: <u>M5G 1X8</u> Additional name(s) & address(es) attached: ☒ Yes ☐ No		
3. Nature of Conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☒ Other <u>Corrective Assignment to correct the names of the receiving parties at Reel 8009, Frame 0192</u> Execution Date: <u>see Box 1, conveying parties</u>			4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the new application is: _____ A. Patent Application No.(s): _____ B. Patent No.(s): <u>5,986,054</u> Additional numbers attached? ☐ Yes ☒ No		
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Paul F. Fehlner</u> <u>DARBY & DARBY P.C.</u> Street Address: <u>P.O. Box 5257</u> City: <u>New York</u> State: <u>NY</u> Zip: <u>10150-5257</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> ☐ Enclosed ☒ Authorized to be charged to deposit account ☐ Authorized to be charged to credit card (Form 2038 enclosed) 8. Deposit account number: <u>04-0100</u> (Attach duplicate copy of this page if paying by deposit account)		
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Marie Gilfillan - 44,085</u> Name of Person Signing  Signature <u>September 15, 2003</u> Date Total number of pages including cover sheet, attachments, and documents: <u>9</u>					

CH \$40.00 040100 5986054

Form PTO-1595		RECORDATION FORM COVER SHEET (continued)	
Additional Conveying Party(ies) (1. Continued): 			
Additional Assignees (2. Continued):			
Assignee Name:	<u>The Governing Council of the University of Toronto</u>		
Internal Address:			
Street Address:	<u>Simcoe Hall, Room 106</u> <u>27 Kings College Circle</u> <u>Toronto, Ontario M5S 1A1</u> <u>Canada</u>		
Assignee Name:	<u></u>		
Internal Address:			
Street Address:			
City:	State:	Zip Code:	
Assignee Name:	<u></u>		
Internal Address:			
Street Address:			
City:	State:	Zip Code:	
Additional Applications and/or Patents (4. Continued):			
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:		
Additional numbers attached? ☐ Yes ☐ No			

RECEIVED

JUN 27 1996

07-01-1996

581-40

RECEIVED ACTING DIR.
To the Honorable Commissioner

100223687

* attached original documents or copy thereof.

ATTORNEY DOCKET NO. CAN-001 (135716)

Page 1 of 2

1. Name of conveying party(ies):

(a) Johanna M. Rommens

Additional name(s) of conveying party(ies)
attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date:

2. Names and addresses of receiving parties:

(a) Name: The Hospital for Sick ChildrenStreet Address: 555 University Avenue - Suite 5270City: Toronto Province: Ontario Country: CanadaZip: M5G 1X8

Additional name(s) and address(es) attached?

- ☒ Yes ☐ No (See Page 2 attached)

4. Application number(s) or patent number(s):

A. Patent Application No. (s)

08/592,541

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document should
be mailed:

Name: Patent Administrator
Testa, Hurwitz & Thibault LLP
 Street Address: High Street Tower
125 High Street
 City: Boston State: MA Zip: 02110

6. Total number of application and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number: 20-0531(Attach duplicate copy of this page if paying
by deposit account)

DO NOT USE THIS SPACE

9. Statement of signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.

Michael J. Twomey
 Name of Person Signing

Signature

June 25, 1996

Date

Total number of pages comprising cover sheet, attachments and document: 6

39530711976 221122-1

050 IL 06/28/96 08592541

2 581

40.00 CK

PATENT

REEL: 8009 FRAME: 0191

PATENT

REEL: 013986 FRAME: 0287

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 1

Patent #: 5986054 **Issue Dt:** 11/16/1999 **Application #:** 08592541 **Filing Dt:** 01/26/1996

Inventors: PETER H. ST. GEORGE-HYSLOP, JOHANNA M. ROMMENS, PAUL E. FRASER

Title: GENETIC SEQUENCES AND PROTEINS RELATED TO ALZHEIMER'S DISEASE

Assignment: 1

Reel/Frame: 008009/0191

Recorded: 06/27/1996

Pages: 6

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: ROMMENS, JOHANNA M.

Exec Dt: 03/22/1996

HOSPITAL FOR SICK CHILDREN, THE

Exec Dt: 03/22/1996

ST. GEORGE-HYSLOP, PETER H.

Exec Dt: 06/14/1996

FRASER, PAUL E.

Exec Dt: 06/14/1996

Assignees: HOSPITAL FOR SICK CHILDREN, THE

SUITE 5270

555 UNIVERSITY AVENUE

TORONTO, ONTARIO M5G 1X8, CANADA

HSC RESEARCH AND DEVELOPMENT LIMITED PARTNERSHIP

SUITE 5270

555 UNIVERSITY AVENUE

TORONTO, ONTARIO M5G 1X8, CANADA

GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO, THE

SIMCOE HALL- ROOM 106

27 KING'S COLLEGE CIRCLE

TORONTO, ONTARIO M5S 1A1, CANADA

Correspondent: TESTA, HURWITZ & THIBEAULT, LLP

MICHAEL J. TWOMEY

PATENT ADMINISTRATOR

125 HIGH STREET, HIGH STREET TOWER

BOSTON, MA 02110

Search Results as of: 8/26/2003 9:32:30 A.M.

If you have any comments or questions concerning the data displayed, contact OPR / Assignments at 703-308-9723
Web Interface last modified: Oct. 3, 2002

ATTORNEY DOCKET NUMBER. CAN-001 (1357/6)**Page 2 of 2**

Additional Conveying Parties:	Additional Receiving Parties:
(b) The Hospital for Sick Children	(b) HSC Research and Development Limited Partnership 555 University Avenue, Suite 5270 Toronto Ontario MSG 1X8 CANADA
(c) Peter H. St. George-Hyslop	(c) The Governing Council of the University of Toronto Simpson Hall - Room 106 27 King's College Circle Toronto, Ontario M5S 1A1, CANADA
(d) Paul E. Fraser	(d) The Governing Council of the University of Toronto Simpson Hall - Room 106 27 King's College Circle Toronto, Ontario M5S 1A1, CANADA

300BAL9901/13563_1

PATENT
REEL: 8009 FRAME: 0192PATENT
REEL: 013986 FRAME: 0289

ASSIGNMENT

WHEREAS Peter H. St. George-Hyslop, Paul E. Fraser and Johanna M. Rommens, citizens of Canada and U.K., Canada, and Canada, respectively, residing at 210 Richview Avenue, Toronto, Ontario, Canada M3P 3G3; 611 Windermere Avenue, Toronto, Ontario, Canada M6S 3L9; and 105 McCaul Street, Toronto, Ontario, Canada M5T 2X4, respectively, have invented certain new and useful improvements in GENETIC SEQUENCES AND PROTEINS RELATED TO ALZHEIMER'S DISEASE (hereafter "the Invention"), as fully set forth and described in U.S. Patent Applications No. 08/431,048; 08/495,841; 08/509,339 and _____ filed under the above title on April 28, 1995; June 28, 1995; July 31, 1995 and January 26, 1996 respectively and related international applications under the above title;

AND WHEREAS Peter H. St. George-Hyslop and Paul E. Fraser are employed by The Governing Council of the University of Toronto with a principal address of Room 106, Simcoe Hall, 27 King's College Circle, Toronto, Ontario M5S 1A1, (hereafter "the University"), and are governed by the Inventions Policy of the University;

AND WHEREAS Peter H. St. George-Hyslop and Paul E. Fraser wish to assign any and all rights which they may have in the Invention pursuant to that Inventions Policy to the University;

AND WHEREAS Johanna M. Rommens is employed by The Hospital for Sick Children, 555 University Avenue, Toronto, Ontario, M5G 1X8, (hereafter "the Hospital") and is governed by the Technology Transfer Policy of the Hospital;

AND WHEREAS the Hospital is the beneficial owner, pursuant to that Technology Transfer Policy, of the rights in the Invention of Johanna M. Rommens who, in consideration of her employment by the Hospital and other good and valuable consideration, confident assignment of her rights in the Invention to the Hospital;

AND WHEREAS the Hospital wishes to assign all its rights in and to the Invention to HSC Research and Development Limited Partnership, having a place of business at 555 University Avenue, Suite 5770, Toronto, Ontario, M5G 1X8;

AND WHEREAS it was the understanding of The University and of HSC Research and Development Limited Partnership, prior to commencement of the collaboration of the inventors which resulted in the Invention, that the University and HSC Research and Development Limited Partnership would jointly own any invention arising from said collaboration;

NOW THEREFORE, I, Johanna M. Rommens, confirm that, pursuant to that Technology Transfer Policy and for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I did assign, transfer and set over and do hereby assign, transfer and set over to the Hospital, its successors and assigns, all my right, title and interest in and to the Invention, and any and all patent applications and Letters Patent which may be made, granted or issued for the Invention in Canada and throughout the world, including the United States of

PATENT
REEL : 8009 FRAME: 0193

and including International Applications under the Patent Cooperation Treaty and including all divisions, continuations, renewals and extensions of any of the foregoing, and all international priority rights associated therewith, all to be held and enjoyed as fully and completely as the same might have been held by me had this assignment not been made; and

NOW THEREFORE The Hospital for Sick Children confirms that, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, it did assign, transfer and set over and does hereby assign, transfer and set over to HSC Research and Development Limited Partnership (hereafter "the Assignee"), its successors and assigns, all its right, title and interest in and to the Invention, and any and all parent applications and Letters Patent which may be made, granted or issued for Invention in Canada and throughout the world, including the United States of America and including International Applications under the Patent Cooperation Treaty and including all divisions, continuations, renewals and extensions of any of the foregoing, and all international priority rights associated therewith, all to be held and enjoyed as fully and completely as the same might have been held by it had this assignment not been made;

AND Johanna M. Roumens and The Hospital for Sick Children further agree that they will promptly, upon request and without further compensation, do all lawful acts including the execution of all necessary documents, and the giving of testimony that in the opinion of the Assignee, its successors and assigns, may be necessary or desirable for obtaining, sustaining, or renewing Canadian and foreign Letters Patent relating to the foregoing assigned rights, and for perfecting, affirming, recording and maintaining the title therein of the Assignee, its successors and assigns, and will generally cooperate to the fullest extent in all matters pertaining to said Invention and patents, and said Assignee's title therein.

Date the 22 day of March, 1996.

[Signature]
Witness

[Signature: Johanna M. Roumens]
In Witness: Johanna M. Roumens

THE HOSPITAL FOR SICK CHILDREN

[Signature]
Witness

By: [Signature]
Name:
Title:

Assignee: HSC RESEARCH AND DEVELOPMENT
LIMITED PARTNERSHIP

[Signature]
Witness

By: [Signature]
Name: Barbara Leavitt
Title: Manager, Technology Licensing

PATENT
REEL: 8009 FRAME: 0194

PATENT
REEL: 013986 FRAME: 0291

ASSIGNMENT

WHEREAS Peter H. St. George-Hyslop, Paul E. Fraser and Johanna M. Rommens, citizens of Canada and U.K., Canada, and Canada, respectively, residing at 210 Richmond Avenue, Toronto, Ontario, Canada M5P 3G3; 611 Windermere Avenue, Toronto, Ontario, Canada M6S 3L9; and 305 McCaul Street, Toronto, Ontario, Canada M5T 2X4, respectively have invented certain new and useful improvements in GENETIC SEQUENCES AND PROTEINS RELATED TO ALZHEIMER'S DISEASE (hereafter "the invention"), as fully set forth and described in U.S. Patent Applications No. 08/431,048; 08/496,841; 08/509,359 and 08/592,541 filed under the above title on April 28, 1995; June 28, 1995; July 31, 1995 and January 26, 1996 respectively and related international applications under the above title;

AND WHEREAS Peter H. St. George-Hyslop and Paul E. Fraser are employed by The Governing Council of the University of Toronto with a principal address of Room 106, Simcoe Hall, 27 King's College Circle, Toronto, Ontario, Canada M5S 1A1, (hereafter "the University"), and are governed by the Inventions Policy of the University;

AND WHEREAS Peter H. St. George-Hyslop and Paul E. Fraser wish to assign any and all rights which they may have in the invention pursuant to that Inventions Policy to the University;

AND WHEREAS Johanna M. Rommens is employed by The Hospital for Sick Children, 555 University Avenue, Suite 5270, Toronto, Ontario, Canada M5G 1X8, (hereafter "the Hospital") and is governed by the Technology Transfer Policy of the Hospital;

AND WHEREAS the Hospital is the beneficial owner, pursuant to that Technology Transfer Policy, of the rights in the invention of Johanna M. Rommens who, in consideration of her employment by the Hospital and other good and valuable consideration, confirms assignment of her rights in the invention to the Hospital;

AND WHEREAS the Hospital wishes to assign all its rights in and to the invention to HSC Research and Development Limited Partnership, having a place of business at 555 University Avenue, Toronto, Ontario, Canada M5G 1X8;

AND WHEREAS it was the understanding of The University and of HSC Research and Development Limited Partnership, prior to commencement of the collaboration of the inventors which resulted in the invention, that the University and HSC Research and Development Limited Partnership would jointly own any invention arising from said collaboration;

NOW THEREFORE, we, Peter H. St. George-Hyslop and Paul E. Fraser, confirm that, pursuant to the Inventions Policy of the University and for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we did assign, transfer and set over and do hereby assign, transfer and set over to the University, its successors and assigns, all our right, title and interest in and to the invention, and any and all patent applications and Letters Patent which may be made, granted or issued for the invention in Canada and throughout the world, including the United States of America and including international applications under the Patent Cooperation Treaty and including all divisions, continuations, renewals and extensions of any of the foregoing, and all international priority rights associated therewith, all to be held and enjoyed as fully and completely as the same might have been held by us had this assignment not been made;

AND we further agree that we will promptly, upon request and without further compensation, do all lawful acts including the execution of all necessary documents, and the giving of testimony that in the opinion of the University, its successors and assigns, may be necessary or desirable for obtaining, sustaining, or reissuing Canadian and foreign Letters Patent relating to the

PATENT
REEL: 8009 FRAME: 0195

PATENT
REEL: 013986 FRAME: 0292

- 2 -

foregoing assigned rights, and for perfecting, affirming, recording and maintaining the title therein of the University, its successors and assigns, and will generally cooperate to the fullest extent in all matters pertaining to said invention and process, and the University's title thereto.

Dated the 14th day of June 1996.

Raymond J. Tarsigni
Witness

PH. L. G. H.
Inventor: Peter H. St. George-Hyslop

Raymond J. Tarsigni
Witness

Paul E. Frater
Inventor: Paul E. Frater

ca\7425\7425-15.dai

RECORDED: 06/27/1996

PATENT
REEL: 8009 FRAME: 0196

RECORDED: 09/17/2003

PATENT
REEL: 013986 FRAME: 0293