

04-29-2003

Form PTO-1595  
(Rev. 03/01)

RE

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼

102433597

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Bernhard van Lengerich,  
James R. Borek,  
Daniel R. Green, and  
Barry R. Froseth

4/24/03

## 2. Name and address of receiving party(ies)

Name: General Mills, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: \_\_\_\_\_

Number One General Mills Boulevard

City: Minneapolis State: MN Zip: 55426

Execution Date: 4/1/03; 3/31/03; 4/2/03; and 4/1/03

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/596,543

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John A. O'Toole, Esq.

Internal Address: \_\_\_\_\_

Street Address: P.O. Box 1113

City: Minneapolis State: MN Zip: 55440

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

FINANCE SECTION  
APR 24 PM 3:01

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Barry I. Hollander, Reg. No. 28,566

Name of Person Signing

Barry I. Hollander

Signature

4/24/03

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

04/28/2003 TDI AZ1 00000001 09596543

1 FC:8021

40.00 DP

PATENT  
REEL: 013994 FRAME: 0239

# ASSIGNMENT

Serial No.: 09/596,543

Filed: June 19, 2000

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby assign to General Mills, Inc. a corporation of Delaware hereinafter referred to as "Assignee", its successors, legal representatives and assigns the entire right, title and interest in and to our invention or improvements in

## NUTRIENT CLUSTERS FOR FOOD PRODUCTS AND METHODS OF PREPARATION

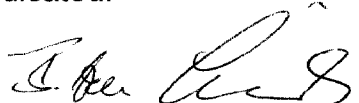
and in and to the application for Letters Patent of the United States therefor, executed by us on or about the 2nd day of April, 2003, and in and to any continuation, continuation-in-part, divisional and substitute applications therefor, and in and to any reissue or extension of any Letters Patent that may be granted upon any such applications.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Assignee.

For said consideration we hereby agree, upon request of said Assignee, its successors, legal representatives or assigns, to execute any and all continuation, continuation-in-part, divisional and substitute applications for said invention or improvements, and make any rightful oath or supplemental oath or affidavit relating thereto, and any application for reissue or extension of any Letters Patent that may be granted upon any such applications that said Assignee, its successors, legal representatives or assigns may deem necessary or expedient, and for said consideration we further agree upon the request of said Assignee, its successors, legal representatives or assigns, in the event of said application or any continuation, continuation-in-part, divisional or substitute therefor, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of our ability with said Assignee, its successors, legal representatives or assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and we hereby agree to perform, upon such request, any and all lawful affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in said Assignee, its successors, legal representatives and assigns as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made. And for said consideration we hereby assign to said Assignee, its successors, legal representatives and assigns the entire right, title and interest in and to said invention or improvements for any and all foreign countries including our rights under the International Convention for the Protection of Industrial Property and agree upon request of the said Assignee, its successors, legal representatives or assigns to execute any and all documents that shall be required of us, to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee, its successors, legal representatives and assigns.

WITNESS our hands and seal on the date(s) indicated.

Inventor VAN LENGERICH,  
BERNHARD H.

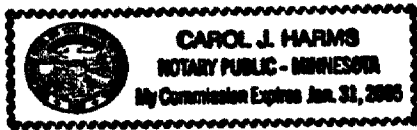
 4/1/03  
Signature Date

State of Minnesota )  
County of Hennepin ) ss.

On this 1st day of April, 2003, before me, a Notary Public in and for the County and State aforesaid, appeared VAN LENGERICH, BERNHARD H. to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and

acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



Carol J. Harms  
Notary Public

Inventor LAKKIS, JAMILEH

Signature

Date

State of Minnesota )

) ss.

County of Hennepin )

On this \_\_\_\_\_ day of \_\_\_\_\_, before me, a Notary Public in and for the County and State aforesaid, appeared LAKKIS, JAMILEH to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Notary Public

Inventor BOREK, JAMES R.

Signature

Date

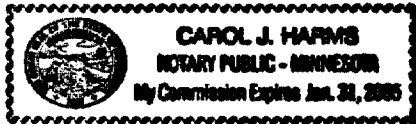
State of Minnesota )

) ss.

County of Hennepin )

On this 31st day of March, 2003, before me, a Notary Public in and for the County and State aforesaid, appeared BOREK, JAMES R. to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



Carol J. Harms  
Notary Public

Inventor EVENSON, KEITH A.

Signature

Date

State of Minnesota )

) ss.

County of Hennepin )

On this \_\_\_\_\_ day of \_\_\_\_\_, before me, a Notary Public in and for the County and State aforesaid, appeared EVENSON, KEITH A. to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

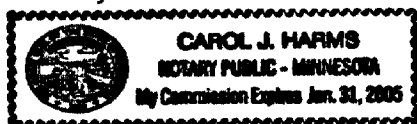
Notary Public

Inventor GREEN, DANIEL R.  
State of Minnesota )  
County of Hennepin ) ss.

[Signature] 4-2-03  
Signature Date

On this 2nd day of April, 2003, before me, a Notary Public in and for the County and State aforesaid, appeared GREEN, DANIEL R. to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



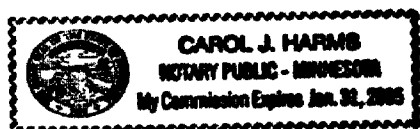
[Signature]  
Notary Public

Inventor FROSETH, BARRIE R.  
State of Minnesota )  
County of Hennepin ) ss.

[Signature] 4-1-03  
Signature Date

On this 1st day of April, 2003, before me, a Notary Public in and for the County and State aforesaid, appeared FROSETH, BARRIE R. to me personally known to be the same persons whose names are subscribed to the foregoing instrument, and acknowledged that they executed said instrument as their free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.



[Signature]  
Notary Public