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- 1. Stanislaus S. Wong
- 2. Sarbajit Banerjee

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Name: The Research Foundation of State University of New York

Address: Post Office Box 9

Albany, New York 12209-0009

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Execution Date: April 14, 2003 and April 16, 2003

4. Application number(s) or registration numbers:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/342,147

B. Patent No.(s):

Additional numbers attached:  Yes  No

FINANCE SECTION  
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5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald J. Baron, Esq.

Internal Address: Hoffmann & Baron, LLP

6900 Jericho Turnpike

Syosset, New York 11791

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41):.....\$ 40.00

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**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Stanislaus S. Wong  
 Name

Sarbajit Banerjee  
 Name

152 Lake Pointe Court  
 Address

350 North Loop Road  
A312D Schomberg Apts.  
 Address

Middle Island, New York 11953

Stony Brook, New York 11790

Canadian  
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The Research Foundation of State University  
of New York  
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Post Office Box 9  
 Address

Albany, New York 12209-0009

United States of America  
 Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- the entire right, title and interest  
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for the United States and its territorial possessions

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in and to any and all improvements which are disclosed in the invention entitled:

**CARBON NANOTUBE-NANOCRYSTAL HETEROSTRUCTURES AND METHODS OF MAKING THE SAME**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a)  U.S. patent application executed on even date herewith  
(b)  U.S. patent application executed on \_\_\_\_\_  
(c)  U.S. provisional application naming the above inventor(s) for the above-identified invention.  
 Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
 To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d)  U.S. application Serial No. 10/342,147 filed on **January 13, 2003**.  
(e)  International application No. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f)  U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
 A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

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Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above. **WARNING:** Date of signing must be the same as the date of execution of the application if item (a) was checked

Date: **X** 4/14/03  
Date of signing

**X** S. Wong  
Stanislaus S. Wong

Date: **X** 04/14/03  
Date of signing

**X** Sarbajit Banerjee  
Sarbajit Banerjee

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.