

04-30-2003



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To the **Commissioner for Patents**. Please record the attached original documents or copies thereof.

1. Name of Conveying Party(ies):

Christof Baldus
Franz Daniel
Adolf Preidt
Theodor Rebele

11-2-02

☐ Additional names of conveying parties attached.

2. Name and Address of Receiving Party(ies):

Name: **Giesecke & Devrient GmbH**

Internal Address:

Street Address: **Prinzregentenstrasse 159**City, State, Zip: **München, Germany D-81677**☐ Additional name(s) and address(es) attached.

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Exec. Date: 10/31/02; 11/05/02; 11/06/02; 11/14/02

4. (A) Patent Application Number(s):

10/239,179

4. (B) Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Numbers Attached

5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: **J. Ernest Kenney**Address: **Bacon & Thomas, PLLC
625 Slaters Lane Fourth Floor
Alexandria, VA 22314**Total Number of Applications and
Patents Involved:

1

7. Total Fee:
(37 CFR 3.41)**\$40.00**

- ☐ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number: **02-0200**

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

J. Ernest Kenney

November 20, 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: **3**

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UTILITY - US ONLY - WITNESS

ASSIGNMENT

WHEREAS, I (we), **Christof Baldus, Franz Daniel, Adolf Preidt, and Theodor Rebele** whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, is(are) the owner of certain new and useful improvements in PHOTOENGRAVED PRINTED DATA CARRIER

(Hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

☐ executed on even date herewith

☐ executed on:

☒ filed on: 09/26/02 Serial No.: 10/239,179

☒ established by PCT International Patent Application No.: PCT/EP01/03481
designating the United States of America

filed: March 26, 2001

WHEREAS, **Giesecke & Devrient GmbH**

whose post office address is Prinzregentenstraße 159, D-81677 München, Germany

hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE's expense, to identify and communicate to ASSIGNEE at ASSIGNEE's request documents and information concerning the INVENTION that are within ASSIGNOR's possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR's obligations under this instrument shall extend to ASSIGNOR's heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and benefit; and for the use and behoof of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **Bacon & Thomas, PLLC** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

| | |
|---|---|
| Assignor Name Christof Baldus | Address Weißburgerstraße 24, D-81667 München, Germany |
| Where Signed <i>München</i> | Signature <i>Christof Baldus</i> |
| Date 10/31/02 | Witness (optional) |
| Witness (optional) | Print/Type Name |
| Print/Type Name | Signature |
| Signature | Signature |

☒ Continued on Next Page

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JOINT ASSIGNMENT - WITNESS

ASSIGNMENT

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|-------------------------------|---|
| Assignor Name Franz Daniel | Address Taxetstraße 1 D-85737 Ismaning, Germany |
| Where Signed Munich | |
| Date 11/05/2002 | Signature <i>Franz Daniel</i> |
| Witness (optional) | Witness (optional) |
| Print/Type Name | Print/Type Name |
| Signature | Signature |

| | |
|-------------------------------|--|
| Assignor Name Adolf Preidt | Address Estingerstraße 27 D-82140 Olching, Germany |
| Where Signed Munich | |
| Date 11/06/2002 | Signature <i>Adolf Preidt</i> |
| Witness (optional) | Witness (optional) |
| Print/Type Name | Print/Type Name |
| Signature | Signature |

| | |
|--|---|
| Assignor Name Theodor Rebele | Address Volkartstraße 69 D-80636 München, Germany |
| Where Signed <i>Theodor Rebele</i> Munich | |
| Date 11/14/2002 | Signature <i>Theodor Rebele</i> |
| Witness (optional) | Witness (optional) |
| Print/Type Name | Print/Type Name |
| Signature | Signature |

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