

04-30-2003

Attorney Docket No. 407J-000500US

FORM PTO-1595
(Rev. 6-93)



Sheet U.S. Department of Commerce
Patent and Trademark Office

102434932

To the Honorable Asst. Commiss

ed original documents or copy thereof

1. Name of conveying party(ies):

John D. Baxter

4-28-03

2. Name and address of receiving party(ies)

Name: The Regents of the University of California

Additional name(s) of conveying parties attached? Yes

Internal Address:

Street Address:

1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other:

Additional names and addresses attached? No

Execution Date: March 23, 2003

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

- A. Patent Application No(s): 10/317,034
- B. Patent No(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:
QUINE INTELLECTUAL PROPERTY
LAW GROUP, P.C.
P.O. Box 458
Alameda, CA 94501
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$0.00
 Enclosed Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Irene Pleasure
Name of Person Signing

April 23, 2003
Date

Atty Reg. No. 45,506

Total number of pages including cover sheet, attachments and document 5

10. Change Correspondence Address to that of Part 5? Yes No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231

OFFICE OF PUBLIC RECORDS
2003 APR 28 AM 8:32
FINANCE SECTION

04/29/2003 TDIAZ1 00000124 500893 10317034
01 FC:0021 40.00 CH

PATENT
REEL: 013997 FRAME: 0554

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Thomas S. Scanlan	Executed: April 18, 2003
Robert J. Fetterick	Executed: April 17, 2003
Sabine Borngraeber	Executed: April 18, 2003
Paul Webb	Executed: April 8, 2003

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

ASSIGNMENT

U.C. Case No. 2003-187-1
Attorney Docket No. 407J-000500US

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. John D. Baxter 2. Thomas S. Scanlan 3. Robert J. Fetterick 4. Sabine Borngraeber 5. Paul Webb

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

TITLE: A METHOD FOR CREATING SPECIFIC, HIGH AFFINITY NUCLEAR RECEPTOR PHARMACEUTICALS

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 10/317,034, filed on December 10, 2002
- (d) _____ U.S. Patent No.: , issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

23rd day of MARCH, 2003



John D. Baxter

____ day of _____, 2003

Thomas S. Scanlan

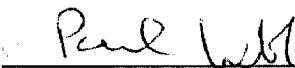
____ day of _____, 2003

Robert J. Fetterick

____ day of _____, 2003

Sabine Borngraeber

08 day of APRIL, 2003



Paul Webb

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

_____ day of _____, 2003

John D. Baxter _____

18 day of April, 2003

Thomas S. Scanlan _____

17 day of April, 2003

Robert J. Feysnick _____

18 day of April, 2003

Sabine Borngraber _____

_____ day of _____, 2003

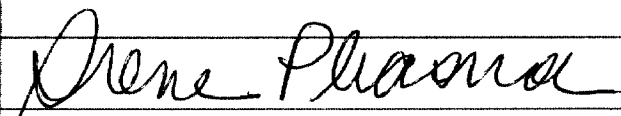
Paul Webb _____


Please type a plus sign (+) inside this box → +

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	10/317,034
	Filing Date	December 10, 2002
	First Named Inventor	John D. Baxter
	Group Art Unit	1645
	Examiner Name	Unassigned
	Attorney Docket Number	407J-000500US
Total Number of Pages in This Submission		

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	receipt acknowledgment postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks <input style="width: 100px;" type="text"/>	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Irene Pleasure, Reg. No. 45,506, Quine Intellectual Property Law Group, P.C.
Signature	
Date	April 23, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as <u>first class mail in an envelope</u> addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input style="width: 100px;" type="text" value="April 23, 2003"/>			
Typed or printed name	Amelia Groth		
Signature		Date	April 23, 2003