

FC RM PTO 1595 (Modified)  
(Rev. 03-01)  
OMB No. 0631-0027 (exp. 5/31/2002)  
PT 8/REV03

REC'D

04-30-2003

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

4-28-03



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To the Honorable Commissioner of Patents and Trademarks and original documents or copy thereof.

1. Name of conveying party(ies):  
**David N. Shaw**

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: **Shaw Engineering Associates , LLC**

Internal Address: \_\_\_\_\_

Street Address: **4 Danforth Lane**

City: **Rocky Hill** State: **CT** ZIP: **06067**

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other To correct U.S. Pat. No. 5,927,988 previously on Recordation to correct number --5,927,088-- recorded on Reel/Frame 013352/0910 Execution Date: \_\_\_\_\_

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

U.S. Patent No. **5,927,088**

Additional numbers attached?  Yes  No

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2003 APR 28 PM 2:23  
FINANCE SECTION

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **David S. Fishman**

Internal Address: **CANTOR COLBURN LLP**

Street Address: **55 Griffin Road South**

City **Bloomfield** State: **CT** ZIP: **06002**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

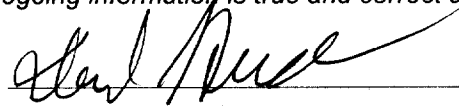
8. Deposit account number: **06-1130**

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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**David S. Fishman**  **April 22, 2003**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: **6**

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1. Name of conveying party(ies):  
**David N. Shaw**  
  
10.9.02  
Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):  
Name: **Shaw Engineering Associates, LLC**  
Internal Address:  
  
Street Address: **4 Danforth Lane**  
  
City: **Rocky Hill** State: **CT** ZIP: **06067**  
  
Additional name(s) & address(es) attached?  Yes  No

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FINANCE SECTION

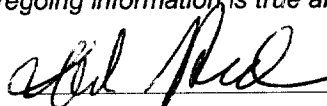
3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other  
  
Execution Date: **September 16, 2002**

4. Application number(s) or patent numbers(s):  
If this document is being filed together with a new application, the execution date of the application is:  
  
A. Patent Application No.(s)  
  
B. Patent No.(s)  
U.S. Patent No. **5,839,886**  
~~U.S. Patent No. **5,927,988**~~  
U.S. Patent No. **6,276,148**  
  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
  
Name: **David S. Fishman**  
  
Internal Address: **CANTOR COLBURN LLP**  
  
  
  
Street Address: **55 Griffin Road South**  
  
10/09/2002 DBYRNE 00000047 5839886  
FC: 51 120.00 DP  
City: **Bloomfield** State: **CT** ZIP: **06002**

6. Total number of applications and patents involved: **3**  
  
7. Total fee (37 CFR 3.41):.....\$ **120.00**  
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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*  
  
**David S. Fishman**  **October 1, 2002**  
Name of Person Signing Signature Date  
  
Total number of pages including cover sheet, attachments, and document: **3**

**PATENT ASSIGNMENT**

For valuable consideration, the receipt of which is hereby acknowledge, I, David N. Shaw, an individual, residing at 2 Ben Davis Lane, East Falmouth, MA 02536, hereby assign to Shaw Engineering Associates, LLC, a Connecticut corporation, having a place of business at 4 Danforth Lane, Rocky Hill, CT 06067, all of my right, title and interest in and to the patents listed on the attached Schedule of Patents.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

By: David N. Shaw  
David N. Shaw

Date: Sept. 16, 2002

**SCHEDULE OF PATENTS**

<b><u>PATENT NO.</u></b>	<b><u>PATENTEE</u></b>	<b><u>ISSUE DATE</u></b>	<b><u>TITLE</u></b>
5,839,886	Shaw, David N.	11/24/98	Series Connected Primary and Booster Compressor
5,927,088	Shaw, David N.	7/27/99	Boosted Air Source Heat Pump
6,276,148	Shaw, David N.	8/21/01	Boosted Air Source Heat Pump