

04-30-2003

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

CSAY-0015

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Resulm

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1 James E. Flowers

2 Martin Ivie

3 Marc-Andre Schwab

4

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date(s): 1 10/30/2002 2 04/21/2003

3 10/28/2002 4

2. Name and address of receiving party(ies)

Name: Lucent Technologies, Inc.

Street Address: 600 Mountain Avenue

City: Murray Hill State: New Jersey Zip: 07974-0636

Name:

State Address:

City: State: Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/30/2002

A. Patent Application No.(s) 09/755,992

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greg H. Parker

Company: Hitt Gaines & Boisbrun, P.C.

Address: P.O. Box 832570

City: Richardson

State: Texas

Zip Code: 75083

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Greg H. Parker

Name of Person Signing

Signature

04/22/2003

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

04/29/2003 6TOM11 00000090 09755992

01 FC:401

40.00 DP

PATENT
REEL: 014001 FRAME: 0707

JAN - 8 2002
01-14-2002

CSAY-0015

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TO: The Commissioner of Patents and

original document(s) or copy(ies).

Submission Type

Conveyance Type

☐ New
☒ Resubmission (Non-Recordation)
Document ID# 101792532☒ Assignment ☐ Security Agreement☐ License ☐ Change of Name☐ Correction of PTO Error
Reel # Frame # ☐ Merger ☐ Other ☐ Corrective Document
Reel # Frame # U.S. Government
(For Use ONLY by U.S. Government Agencies)☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached
Execution Date
Month Day Year

Name (line 1) James E. Flowers

07 12 2001

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Clarisay, Inc.

Name (line 2) a Corporation of Texas

☐ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Address (line 1) 2626 Howell Street, Suite 840

Address (line 2)

Address (line 3) Dallas

Texas

75204

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

01 14/2002 TDIAZ1 00000215 09755992

FOR OFFICE USE ONLY

01 FC:53 40.00 DP

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20234

PATENT

REEL: 014001 FRAME: 0708

Correspondent Name and Address

Area Code and Telephone Number (972) 480-8800

Name David H. Hitt

Address (line 1) Hitt Gaines & Boisbrun, P.C.

Address (line 2) P.O. Box 832570

Address (line 3) Richardson, Texas 75083

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4

Application Number(s) or Patent Number(s)☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)**Patent Number(s)**

09/755,992					

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.Month Day Year
07 12 2001**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.PCT PCT PCT
PCT PCT PCT**Number of Properties**

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:
Deposit AccountEnclosed ☒Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

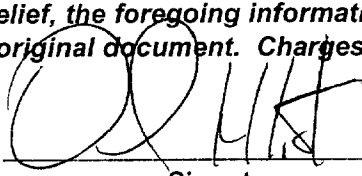
Authorization to charge additional fees:

Yes ☐No ☐**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

David H. Hitt

Name of Person Signing



Signature

10/29/2001

Date

ASSIGNMENT

WHEREAS, I, James E. Flowers, a citizen of United States of America and resident of Dallas County, and the State of Texas, have invented certain new and useful improvements in a "SYSTEM AND METHOD FOR DISSIPATING STATIC CHARGE GENERATED IN A SURFACE ACOUSTIC WAVE DEVICE" disclosed in an application for United States Letters Patent (Application No. _____, filed _____), said application having been executed concurrently herewith.

WHEREAS, Clarisay Inc., a corporation of the State of Texas, having offices at 2626 Howell Street, Suite 840, Dallas, Texas 75204 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; I authorize David H. Hitt of Hitt Gaines & Boisbrun, P.C. to insert in the parenthesis above the application number and filing date of said application when known; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

I also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

I hereby further agree that I will communicate to said ASSIGNEE, or to its successors, assigns and legal representatives, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, and make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

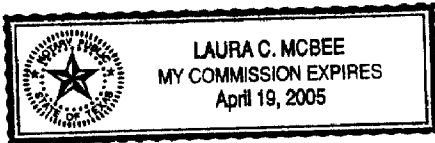
IN WITNESS WHEREOF, I have hereunto set my hand and seal this 12th day of July, 2001.

James E. Flowers
James E. Flowers

STATE OF Texas
COUNTY OF Dallas

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On this 12th day of July, 2001, before me personally came James E. Flowers, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.



Laura C. McBee
Notary Public

My Commission Expires:

4/19/05

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: James E. Flowers

Inventor's signature: James E. Flowers Date: 10-30-02

Residence: City of Dallas
County of Dallas
State of Texas

Citizenship: United States of America

Post Office Address: 4504 Southern Avenue
Dallas, Texas 75205

Full name of second inventor: Martin Ivie

Inventor's signature:

Mart Ivie

Date:

04-21-03

Residence:

City of Allen
County of Collin
State of Texas

Citizenship:

United States of America

Post Office Address:

305 Clearcrest Drive
Allen, Texas 75002

Full name of third inventor: Marc-Andre Schwab

Inventor's signature: *M. A. Schwab* Date: 10-28-02

Residence: City of Dallas
County of Dallas
State of Texas

Citizenship: Switzerland

Post Office Address: 2217 Ivan Street; Apt. 118
Dallas, Texas 75201

ASSIGNMENT

WHEREAS, we, James E. Flowers, a citizen of United States of America and resident of Dallas County, and the State of Texas, and Martin Ivie, a citizen of United States of America and resident of Collin County, and the State of Texas, and Marc-Andre Schwab, a citizen of Switzerland and resident of Dallas in the County of Dallas and the State of Texas, have invented certain new and useful improvements in a "SYSTEM AND METHOD FOR DISSIPATING STATIC CHARGE GENERATED IN A SURFACE ACOUSTIC WAVE DEVICE" disclosed in an application for United States Letters Patent (Application No. 09/755,992, filed 01/05/2001), said application having been previously executed.

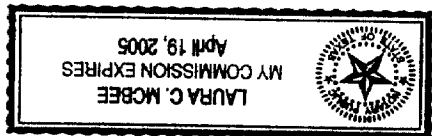
WHEREAS, Clarisay, Inc., a corporation of the State of Texas, having offices at 2626 Howell Street, Suite 840, Dallas, Texas 75204 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to the said ASSIGNEE of our entire right, title and interest.

We also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

We hereby further agree that we will communicate to said ASSIGNEE, or to its successors, assigns and legal representatives, any facts known to us respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, and make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

IN WITNESS WHEREOF, we have hereunto set our hands and seal this 30th day of October, 2002.

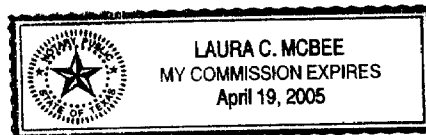


James E. Flowers
James E. Flowers

STATE OF Texas

COUNTY OF Dallas

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On this 30th day of October, 2002, before me personally came James E. Flowers, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.

Laura C. McBee
Notary Public

My Commission Expires:

April 19, 2005

Martin Ivie
Martin Ivie

STATE OF Texas

§

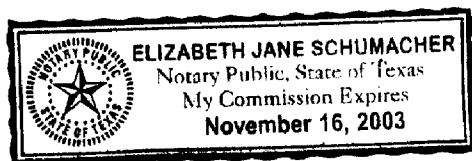
§

COUNTY OF Dallas

§

On this 21st day of April, 2003, before me personally came Martin Ivie, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.

Elizabeth Jane Schumacher
Notary Public



My Commission Expires:

11-16-2003

Marc Andre Schwab

Marc-Andre Schwab

STATE OF Texas

COUNTY OF Dallas

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On this 28th day of October, 2002, before me personally came Marc-Andre Schwab, to me known to be the individual described herein and who executed the foregoing instrument, and acknowledged execution of the same.

Laura C. McBee
Notary Public

My Commission Expires:

April 19, 2005