

05-01-2003

Docket No. 17371DIV2CIP1 (BOT)

**ACTION FORM COVER SHEET**  
**PATENTS ONLY**

102436113

**TO: THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Please record the attached original document(s) or copy(ies):

1. Submission Type:

4-22-03

☒ NEW☐ Correction of PTO error (Reel /frame )☐ Corrective Document (Reel /frame )

2. Conveyance Type:

☒ Assignment

10421504

☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. STEPHEN DONOVAN	April 22, 2003
2. JOSEPH FRANCIS	April 22, 2003
3.	
4.	

☐ Additional Conveying Parties Attached.

4.

RECEIVING PARTIES	
Names of Receiving Parties	
Name: Allergan, Inc.	
Address 1: 2525 Dupont Drive	
Address 2: Irvine, CA 92612	

☐ Additional Receiving Parties Attached.5. ☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

04/30/2003 ECDOPER 00000099 010005 10421504

01 FC:0021 40.00 CH

JC962 U.S. PTO  
10/421504  
04/22/03**PATENT**  
**REEL: 014003 FRAME: 0678**

6.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS
Name:
Address 1:
Address 2:

7.

CORRESPONDENCE NAME AND ADDRESS
Name: Stephen Donovan (T2-7H)
Address 1: Allergan, Inc.
Address 2: 2525 Dupont Drive, Irvine, CA 92612
Telephone 714-246-4026 and Fax 714-246-4249

8. Total Number of pages of the conveying document, including attachments: 2 pages

9.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number	Patent Number
Application Number	Patent Number

10. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: INTRACRANIAL BOTULINUM THERAPY FOR FOCAL EPILEPSY  
Docket No.: 17371DIV2CIP1 (BOT)  
Date of Execution by First Inventor: STEPHEN DONOVAN

11. Total Number of Properties Involved: 112. The fee amount (37 CFR §3.41) of \$ 40.00

- ☒ may be debited from our Deposit Account No. 01-0885.  
☐ is enclosed as check no. \_\_\_\_\_.

13. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE Stephen Donovan Date: April 22, 2003  
TYPED or PRINTED NAME: STEPHEN DONOVAN, ESQ. REGISTRATION NO. 33,433

**CERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this Assignment and the additional documents enclosed herein are being deposited with the United States Postal Service on this date April 22, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label number EL385560823US addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: April 22 2003

Susan Bartholomew  
Name of person mailing paper

Susan Bartholomew  
Signature of person mailing documents

**ASSIGNMENT**

WHEREAS WE, **STEPHEN DONOVAN and JOSEPH FRANCIS**, of **ORANGE COUNTY, CALIFORNIA** (hereinafter referred to as **ASSIGNOR**), have invented and own a certain invention entitled **INTRACRANIAL BOTULINUM TOXIN THERAPY FOR FOCAL EPILEPSY**, for which application for Letters Patent of the United States was executed by me herein.

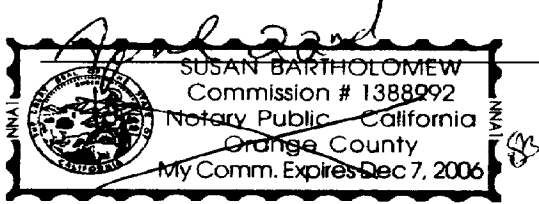
WHEREAS, Allergan, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as **ASSIGNEE**), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that in consideration of the payment by **ASSIGNEE TO ASSIGNOR** of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, **ASSIGNOR** hereby sells, assigns and transfers to **ASSIGNEE** the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

**ASSIGNOR** hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

**ASSIGNOR** further covenants that **ASSIGNEE** will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to **ASSIGNOR** and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to **ASSIGNEE** or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this



, 2003.

*Stephen Donovan*  
STEPHEN DONOVAN

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On April 22<sup>nd</sup> 2003 before me, Susan Bartholomew, Notary Public, personally appeared **STEPHEN DONOVAN**, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that ~~he/she~~ executed the same in ~~his/her~~ authorized capacity, and that by ~~his/her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



*Susan Bartholomew*  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

April 22, 2003.

*Joseph Francis*  
JOSEPH FRANCIS

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On April 22<sup>nd</sup> 2003 before me, Susan Bartholomew, Notary Public, personally appeared **JOSEPH FRANCIS**, ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that ~~he/she~~ executed the same in ~~his/her~~ authorized capacity, and that by ~~his/her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



*Susan Bartholomew*  
Notary Public

**ASSIGNMENT**

COPY

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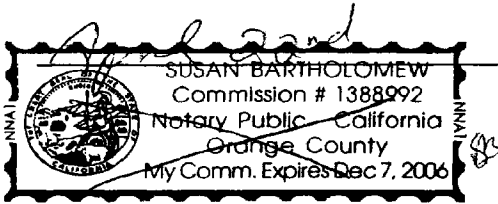
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NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this



2003.

Stephen Donovan  
STEPHEN DONOVAN

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On April 22<sup>nd</sup> 2003 before me, Susan Bartholomew, Notary Public, personally appeared **STEPHEN DONOVAN**, personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that ~~he/she~~ executed the same in ~~his/her~~ authorized capacity, and that by ~~his/her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Susan Bartholomew  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

April 22, 2003.

Joseph Francis  
JOSEPH FRANCIS

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On April 22<sup>nd</sup> 2003 before me, Susan Bartholomew, Notary Public, personally appeared **JOSEPH FRANCIS**, ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that ~~he/she~~ executed the same in ~~his/her~~ authorized capacity, and that by ~~his/her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Susan Bartholomew  
Notary Public