

05-01-2003

004002-003243.DAC.217140

Form PTO-1595
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)



IEET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings → → →

102435956

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Maurice M. Smith *4/4/2003
Roy Lim *3/31/2003
Thomas E. Roehm III *3/31/2003

4.24.03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: See Above *

2. Name and address of receiving party(ies)

Name: SDGI Holdings, Inc.

Internal Address: _____

Street Address: 300 Delaware Ave., Suite 508City: Wilmington State: DE Zip: 19801Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/24/2003

A. Patent Application No.(s)

10422221

B. Patent No.(s)

Additional number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas A. Collier

Internal Address: Woodard, Emhardt, Moriarty, McNett
& Henry LLP

Street Address: Bank One Center/Tower, 111 Monument
Circle, Suite 3700

City: Indianapolis State: Indiana Zip: 46204-5137

6. Total number of applications and patents involved

1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3030

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas A. Collier

Name of Person Signing

Signature

April 24, 2003

Date

Total number of pages including cover sheet, attachments, and document: ☐

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

04/30/2003 DBYRNE 00000037 10422221

01 FC:8021

40.00 OP

PATENT
REEL: 014004 FRAME: 0487

ASSIGNMENT

WHEREAS, Maurice M. Smith of 4285 Oak Knoll Cove, Cordova, TN 38018; Roy Lim of 8245 Vardon Lane, Apt #101, Cordova, TN 38016; and Thomas E. Roehm III of 410 Highway 59, Braden, TN 38010; and hereinafter referred to as Assignors, have made a new and useful invention in **MINIMALLY INVASIVE INSTRUMENTS AND METHODS FOR PREPARING VERTEBRAL ENDPLATES** for which Assignors have made or will make application for Letters Patent of the United States; and

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a principal place of business at 300 Delaware Avenue, Suite 508, Wilmington, Delaware 19801, desires to acquire all of the entire right, title, and interest in, to and under said Invention disclosed, described and/or claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, To All Whom It May Concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent and any and all other applications thereon and arising therefrom, including any and all divisions, continuations, and continuation-in-part, thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center/Tower, 111 Monument Circle,

Assignment
Page 1 of 2

PC803.00/4002-3243

PATENT
REEL: 014004 FRAME: 0488

Suite 3700, Indianapolis, IN 46204-5137 to insert here in parentheses (Serial No. Filed) the date and serial number of said application when officially known, and Assignors hereby authorize and request the Honorable Commissioner of Patents to issue said Letters Patent to Assignee, its assigns and legal representatives.

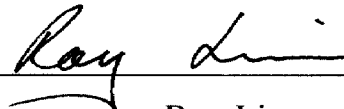
Assignors agree to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said Invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hand this 4 day of April, 2003.



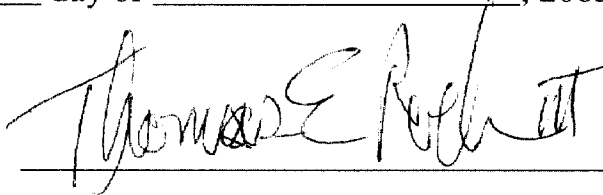
Maurice M. Smith

WITNESS Assignor's hand this 31 day of March, 2003.



Roy Lim

WITNESS Assignor's hand this 31 day of March, 2003.



Thomas E. Roehm III