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102436740

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Clifford Earl Shamblen  
Andrew Philip Woodfield  
Eric Allen Ott  
Michael Francis Xavier Gigliotti

4-25-03

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: \_\_\_\_\_

Street Address: One River Road

City: Schenectady State: NY Zip: 12345

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 04/16/2003

4. Application number(s) or patent number(s):

10423288

If this document is being filed together with a new application, the execution date of the application is: April 25, 2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Carmen Santa Maria

Internal Address: \_\_\_\_\_

Street Address: 100 Pine Street

P.O. Box 1166

City: Harrisburg State: PA Zip: 17108-1166

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) . . . . . \$ 40

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1059

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Carmen Santa Maria

Name of Person Signing

Carmen Santa Maria

Signature

April 25, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 014009 FRAME: 0648

**ASSIGNMENT OF APPLICATION**

Whereas we, **Clifford Earl Shamblen, Andrew Philip Woodfield, and Eric Allen Ott**, respectively, hereafter referred to as applicants, who reside at **9656 Lansford Drive, Cincinnati, OH 45242, 6245 Cherokee Drive, Cincinnati, OH 45243, and 9885 Meadow Hills Drive, Cincinnati, OH 45241**, have invented certain new and useful improvements in a **METHOD FOR FABRICATING A MARTENSITIC STEEL WITHOUT ANY MELTING**.

☒ for which an application for a United States Patent was **filed on Even Date Herewith, Application Number (Unassigned)**;

☐ for which an application for a United States Patent was filed on \_\_\_\_\_, **Application Number** \_\_\_\_\_.

and

Whereas, **General Electric Company**, whose post office address is **One River Road, Schenectady, NY 12345**, hereinafter referred to as Assignee, is desirous of acquiring the entire right, title and interest in the same.

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I as assignor have sold, assigned, transferred, and set over, and hereby sell, assign, transfer and set over unto the assignee, its lawful successors and assigns, my entire right, title and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this assignment;

AND, I hereby covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment;

AND, I hereby further covenant and agree that I will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me respecting this invention, and testify in

any legal proceeding, sign all lawful papers that may be necessary or desirable to perfect the title to this invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce Letters Patent for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

I hereby declare that all statements made herein and on the accompanying Assignment Recordal Letter of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Executed this 16<sup>TH</sup> day of April, 2003, at Cincinnati, Ohio

Clifford Earl Shamblen

**Clifford Earl Shamblen**

County of Hamilton

)

Clifford Earl SHAMBLEN

) SS.

CLIFFORD EARL SHAMBLEN 9656 Lansford Dr.

State of Ohio

)

Cincinnati Ohio 45242

By: D. Michelle Bischof

Date: 4-16-03

Subscribed and Sworn to me this 16<sup>TH</sup> day of April, 2003.

D. Michelle Bischof, Notary Public.

Andrew Philip Woodfield  
Andrew Philip Woodfield

County of Hamilton ) Andrew Philip WOODFIELD  
 ) SS. 6245 CHEROKEE DRIVE  
State of Ohio ) CINCINNATI OH 45243

By: D. Michelle Bischoff  
Date: 4-16-03

Subscribed and Sworn to me this 16<sup>TH</sup> day of April, 2003.

D. Michelle Bischoff, Notary Public.

Eric Allen Ott  
Eric Allen Ott

County of Hamilton ) Eric Allen OTT  
 ) SS. 9885 meadow Hills Drive  
State of Ohio ) Cincinnati OH 45241

By: D. Michelle Bischoff  
Date: 4-16-03

Subscribed and Sworn to me this 16<sup>TH</sup> day of April, 2003.

D. Michelle Bischoff, Notary Public.

**ASSIGNMENT OF APPLICATION**

Whereas I, **Michael Francis Xavier Gigliotti, co-inventor with Eric Allen Ott, Andrew Philip Woodfield, and Clifford Earl Shamblen**, hereafter referred to collectively as applicants, who reside at **41 Kile Drive, Glenville, NY 12302**, have invented certain new and useful improvements in a **METHOD FOR FABRICATING A MARTENSITIC STEEL WITHOUT ANY MELTING.**

- ☒ for which an application for a United States Patent was **filed on Even Date Herewith, Application Number (Unassigned);**
- ☐ for which an application for a United States Patent was filed on \_\_\_\_\_, **Application Number \_\_\_\_\_.**

and

Whereas, **General Electric Company**, whose post office address is **One River Road, Schenectady, New York 12345**, hereinafter referred to as "Assignee", is desirous of acquiring the entire right, title and interest in the same.

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged and other good and valuable consideration the receipt of which from assignee is hereby acknowledged, I as assignor have sold, assigned, transferred, and set over, and hereby sell, assign, transfer and set over unto the assignee, its lawful successors and assigns, my entire right, title and interest in and to this invention and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of such application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to assignee, its successors and assigns, in accordance with the terms of this assignment;

AND, I hereby covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment;

AND, I hereby further covenant and agree that I will, without further consideration, communicate with assignee, its successors and assigns, any facts known to me respecting this invention, and testify in any legal proceeding, sign all lawful papers that may be necessary or desirable to perfect the title to this

invention in said assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid assignee, its successors and assigns, to obtain and enforce Letters Patent for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the assignee, its successors and assigns.

I hereby declare that all statements made herein and on the accompanying Assignment Recordal Letter of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing therefrom.

Executed this 16<sup>th</sup> day of APRIL, 2003, at NISKAYUNA,  
N.Y.

**Michael Francis Xavier Gigliotti**

County of Schenectady )  
State of ~~California~~ New York ) SS.

Michael Francis Xavier GIGLIOTTI

By: \_\_\_\_\_

Date: 16 APRIL 2003

Subscribed and Sworn to me this 16<sup>th</sup> day of April, 2003.

Kathyl Parks, Notary Public.

KATHYL L. PARKS  
Notary Public in the State of New York  
Residing in Schenectady County  
My Commission Expires December 31, 2006