

FORM PTO-1595

(Ref. 6-93)

OMB No. 0651-0041 (exp. 4/94)

Docket No.: 220888 DES.PUS

APR 28 2003

RECORD

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05-02-2003



102437332

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Schuetz, Ottokar and Wichmann, Ralph

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Security Agreement☐ Merger☐ Change of Name☐ Other

Execution Date: October 16, 2002

2. Name and address of receiving party(ies)

Name: LIGHTING INNOVATION CENTER AG

Internal Address:

Street Address: MUHLENTALSTRABE 28, 8200

SCHAFFHAUSEN

Country: SWITZERLAND

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

29/166,143

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: EUGENE E. RENZ, JR.

Internal Address: P.O. BOX 2056
205 N. MONROE STREET
MEDIA, PA 19063Street Address: P.O. BOX 2056
205 N. MONROE STREET

City: MEDIA State: PA ZIP: 19063

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

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8. Deposit Account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

EUGENE E. RENZ, JR.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

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PATENT
REEL: 014012 FRAME: 0503

FORM PTO-1595

(Ref. 6-93)

OMB No. 0651-0047 (exp. 4/94)

Docket No.: 22088C

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

MAR 31 2003

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

SCHUETZ, et al.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Security Agreement☐ Merger☐ Change of Name☐ Other

Execution Date: October 16, 2002

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SCHAFFHAUSEN

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29/166,143

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

EUGENE E. RENZ, JR.

Name of Person Signing

Signature

November 11, 2002

Date

11/22/2002 DBYRNE 00000175 180990 29166143 number of pages including cover sheet, attachments, and document: 3

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PATENT
REEL: 014012 FRAME: 0504

ASSIGNMENT

WHEREAS, Ottokar Schuetz, a citizen of **Germany**, residing at **Koepenicker Weg 1, 78056 Villingen-Schwenningen, Germany**, and **Ralph Wichmann**, a citizen of **Switzerland**, residing at **Kamorstrasse 11, 8200 Schaffhausen, Switzerland** respectively, hereinafter referred to as **ASSIGNOR**, have invented and are the owners of an invention entitled **LAMP ASSEMBLY MODEL C**, said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention, and which has been signed by **ASSIGNOR** concurrently herewith:

WHEREAS, Lighting Innovation Center AG, a corporation organized and existing under and by virtue of the laws of **Switzerland**, and engaged in business at **Mühlentalstraße 28, 8200 Schaffhausen, Switzerland**, hereinafter referred to as **ASSIGNEE**, is desirous of acquiring the said invention and any and all applications for Letters Patent of the United States and foreign countries which may be issued therefor;

NOW, THEREFORE, THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to **ASSIGNOR** by **ASSIGNEE**, the receipt of which is hereby acknowledged, and for other good and valuable considerations, **ASSIGNOR** has sold, assigned and set over and hereby does sell, assign and set over, unto **ASSIGNEE**, its successors and assigns, the entire right, title and interest for, to and within the United States and all foreign countries, in and to the aforesaid invention and in and to any and all applications for Letters Patent and Letters Patent which may be issued therefor in the United States and in any and all foreign countries.

And **ASSIGNOR** hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States to **ASSIGNEE**, its successors and assigns, as the owner of all right, title and interest therein.

And for said consideration, it is hereby covenanted and agreed that at the request and expense of **ASSIGNEE**, its successors and assigns, **ASSIGNOR** will execute any further papers and do such other acts and things as may be necessary and proper to procure Letters Patent for said invention in the United States and in such foreign countries as **ASSIGNEE**, its successors and assigns may elect, and vest the full title thereto in **ASSIGNEE**, its successors and assigns.

And **ASSIGNOR** hereby authorizes and directs its attorneys to insert below the serial number and filing date of the aforesaid application for Letters Patent of the United States when the same shall have been made known to it by the United States Patent Office.

IN WITNESS WHEREOF, this Assignment has been executed this _____
day of 16. Oct., 2002.

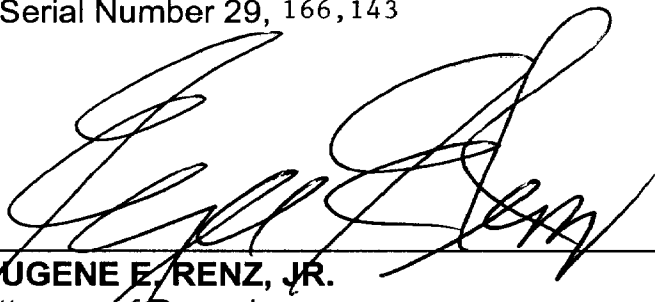


OTTOKAR SCHUETZ



RALPH WICHMANN

Application for United States Letters Patent Serial Number 29, 166, 143
filed August 22, 2002.



EUGENE E. RENZ, JR.
Attorney of Record
Registration No. 19,557

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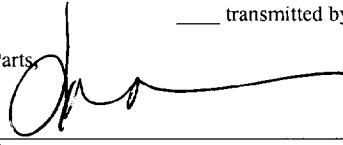
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:
Schuetz, et. al.

Group Art Unit:

Serial No.:
29/166,143

Examiner:

Filed:
August 22, 2002

Docket No.: 22088CDES.PUS

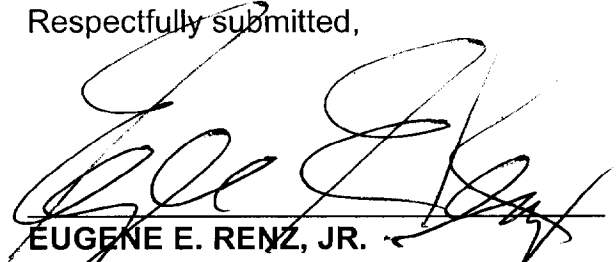
For: LAMP ASSEMBLY MODEL C

TRANSMITTAL LETTER

This is a response to the correspondence dated March 25, 2003 from the Assignment Division of the Office of Public Records. Under 37 C.F.R. 3.51, we respectfully resubmit the Assignment Document, including the unacceptable cover sheet with the official date of receipt, along with a new cover sheet with the appropriate corrections, in order to maintain the original date of filing of November 25, 2002.

Respectfully submitted,

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Date: April 24, 2003