

05-05-2003



FORM PTO-1595

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PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney Ref. No. 97,106-A

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties: **4-30-03**
Jonathan K. Embleton, Steven P. Jones, Richard J. Malcolmson, Luigi G. Martini, Peter J. Houzogo, Sarah A. Rocca and Howard N. Stevens
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: R.P. Scherer Corporation
Internal Address: 7000 Cardinal Place

City: Dublin State: Ohio
Country: USA Zip Code: 43017
Additional name(s) & address(es) attached? Yes No

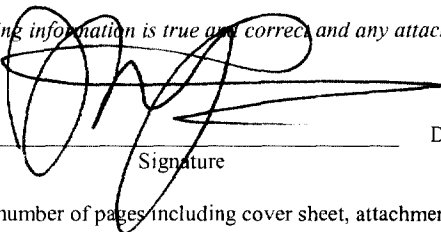
3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other :
Execution Date: 6/18/97; 7/18/97; 7/23/97 & 7/24/97

4. Application number(s) or patent number(s): 1
If this document is being filed together with a new application, the execution date of the application is:
A. Patent Application No.(s) 09/394,591 Filing Date: September 13, 1999
B. Patent No.(s)
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Steven J. Sarussi
Registration No.: 32,784
Company Name: McDonnell, Boehnen, Hulbert & Berghoff
Street Address: 300 South Wacker Drive, Suite 3200
City: Chicago State: IL ZIP: 60606

6. Total number of applications and patents involved: 1
7. Total Fee (37 CFR 3.41).....\$40.00
 Enclosed
 Authorized to be charged to deposit account
8. Deposit account number: 13-2490

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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.
Steven J. Sarussi  Date: April 24, 2003
Printed Name of Person Signing Signature
Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
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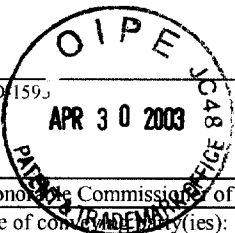
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PATENT
REEL: 014013 FRAME: 0233

12-05-2002



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FORM PTO 159

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Docket No. 97,106-A
U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
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City: **Dublin** State: **Ohio**
Country: **USA** Zip: **43017**

Additional name(s) & address(es) attached? Yes No

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FINANCE SECTION

3. Nature of conveyance: **11-18-02**
 Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: **6/18/97; 7/23/97 & 7/24/97**

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:
A. Patent Application No.(s) Filing Date
09/394,591 September 13, 1999
B. Patent No.(s)

Additional numbers attached? Yes No

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Name: **Steven J. Sarussi**
Registration No.: **32,784**
Company Name: **McDonnell, Boehnen, Hulbert & Berghoff**
Street Address: **300 South Wacker Drive - 32nd Floor**
City: **Chicago** State: **Illinois**
Country: **U.S.A.** ZIP: **60606**

6. Total number of applications and patents involved: **1**
7. Total Fee (37 CFR 3.41) **\$40.00**
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 Authorized to be charged to deposit account
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13-2490

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Steven J. Sarussi _____ Date: **10/29/02**
Printed Name of Person Signing Signature

Total number of pages including cover sheet, attachments, and document: **3**

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PATENT
REEL: 014013 FRAME: 0234

ASSIGNMENT

Case No.: 97,106

Serial No.: 08/793,299

**Inventor: Jonathan K. Embleton, Stephen P. Jones, Richard J. Malcolmson, Luigi G. Martini,
Peter J. Houzgo, Sarah A. Rocca and Howard N. Stevens**

**Date of Execution
of Application:** 6/18/97, 7/23/97 & 7/24/97

Filing Date: February 26, 1997

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

R.P. Scherer Corporation, a corporation of the State of Delaware

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

OCULAR TREATMENT DEVICE

and identified as:

Case No. 97,106

in the offices of MCDONNELL, BOEHNEN, HULBERT & BERGHOFF, and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby

conveyed in the assignees, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 18 day of June, 1997.

Jonathan K. Embleton
Jonathan K. Embleton

WITNESS my hand and seal this 18 day of July, 1997.

Steven P. Jones
Steven P. Jones
ph

WITNESS my hand and seal this 18 day of June, 1997.

Richard J. Malcolmson
Richard J. Malcolmson

WITNESS my hand and seal this 23 day of July, 1997.

Luigi G. Martini
Luigi G. Martini

WITNESS my hand and seal this 24 day of July, 1997.

Peter J. Houzago
Peter J. Houzago

WITNESS my hand and seal this 24th day of July, 1997.

Sarah A. Rocca
Sarah A. Rocca

WITNESS my hand and seal this 18 day of June, 1997.

Howard N. Stevens
Howard N. Stevens