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Pat No.: PH01-00-04B

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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5-5-03



102440270

*Resumen*

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas Andrew Strasser  
Per Bang Hansen  
Neil Allan Jackman  
Andrew John Stentz  
Jefferson L. Wagener

2. Name and address of receiving party(ies):

Name: Photuris, Inc.

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other 4/9/02 Wagener; 4/11/02 Stentz

Street Address: 20 Corporate Place South

City: Piscataway

State: NJ

ZIP: 08854

Execution Date: 4/10/02 Strasser, Hansen, Jackman

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/099,891

Filed 03/15/02

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stuart H. Mayer

Internal Address: Mayer Fortkort & Williams, PC

Street Address: 251 North Avenue West, 2nd Floor

City: Westfield

State: NJ

ZIP: 07090

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1047

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stuart H. Mayer Reg. No. 35,277

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

5

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## 1. Name of conveying party(ies):

Thomas Andrew Strasser  
Per Bang Hansen  
Neil Allan Jackman  
Andrew John Stentz  
Jefferson L. Wagener

12-16-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

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Thomas Andrew Strasser  
Per Bang Hansen  
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Jefferson L. Wagener

6.4.02

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06/12/2002 6TUM11 00000346 501047 10099891

01 FC:501 40.00 CH

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Signature

Date

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

ASSIGNMENT FOR UNITED STATES PATENT APPLICATION  
(PATENT APPLICATION PENDING)  
(SOLE OR JOINT)

Docket: PH01-00-04B

WHEREAS, Thomas Andrew Strasser, a citizen of the United States, residing at 6 Harmony Road, Warren, New Jersey 07059, Per Bang Hansen, a citizen of Denmark, residing at 4 Sheraton Lane, Rumson, New Jersey 07760, Neil Allan Jackman, a citizen of Great Britain, residing at 312 Rock Oak Road, Freehold, New Jersey 07728, Andrew John Stentz, a citizen of the United States, residing at 9 Goosetown Drive, Clinton, New Jersey 08809, and Jefferson L. Wagener, a citizen of the United States, residing at 1312 River Road, New Hope, Pennsylvania 18938 (hereinafter collectively and severally referred to as assignor) have invented a certain invention entitled:

METHOD AND APPARATUS FOR PROVIDING GAIN EQUALIZATION TO AN  
OPTICAL SIGNAL IN AN OPTICAL COMMUNICATIONS SYSTEM

for which application for Letters Patent of the United States has been filed with the United States Patent & Trademark Office on March 15, 2002 and bearing Serial Number 10/099,891 and

WHEREAS, Photuris, Inc., a Delaware corporation having an office at 20 Corporate Place South, Piscataway, New Jersey 08854 (hereinafter referred to as "assignee", which term includes the successors and assigns of assignee), is desirous of acquiring the entire right, title and interest, in and to said invention and in and to said letters patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW THEREFORE, be it known that in consideration of the payment by assignee to assignor of the sum of one dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged, assignor hereby sells, assigns and transfers to assignee his full and complete right, title, and interest in and to said invention and said patent application (including the rights of priority thereto) in the United States, its possessions, and in all foreign countries, and to all Letters Patent or similar legal protection in the United

States, its possessions, and any and all foreign countries to be obtained on said invention or issuing from said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment, sale, and transfer.

ASSIGNOR further covenants that assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention, application, and Letters Patent and legal equivalents thereto in such foreign countries as may be known to and within the control of assignor and assignor will in good faith testify as to the same in any interference, proceeding, or litigation related thereto and will promptly execute and deliver to assignee or its legal representative any and all papers, instruments, affidavits, or the like required or useful to apply for, obtain, maintain, issue and enforce said application or Letters Patent or equivalent thereof in any aforesaid country of the world which may be necessary or desirable therefor.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 10th day of April, 2002.

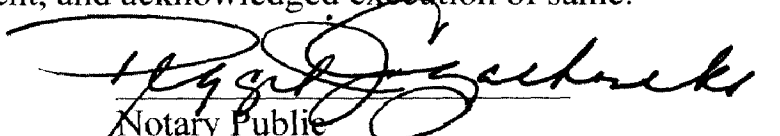
  
Thomas Andrew STRASSER

United States of America )

State of (New Jersey) ) ss:

County of (~~Somerset~~) MIDDLESEX )

On this 11th day of April, 2002, before me personally came **THOMAS ANDREW STRASSER**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of same.

  
Notary Public

2

PEGGIE J. CZACHOWSKI  
NOTARY PUBLIC  
STATE OF NEW JERSEY, MIDDLESEX COUNTY  
COMMISSION EXPIRES, SEPTEMBER 11, 2004

PATENT  
REEL: 014018 FRAME: 0355

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 10th  
day of April, 2002.

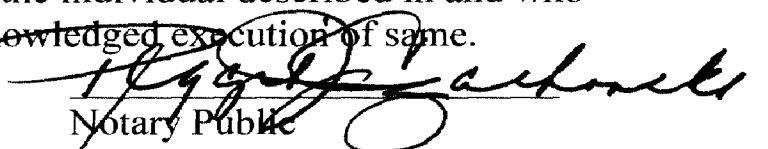
  
Per Bang HANSEN

United States of America )

State of (New Jersey) ) ss:

County of (~~Somerset~~) MIDDLESEX

On this 10th day of April 2002, before me personally came  
**PER BANG HANSEN**, to me known to be the individual described in and who  
executed the foregoing instrument, and acknowledged execution of same.

  
Notary Public

PEGGIE J. CZACHOWSKI  
NOTARY PUBLIC  
STATE OF NEW JERSEY, MIDDLESEX COUNTY  
COMMISSION EXPIRES, SEPTEMBER 11, 2004

IN TESTIMONY WHEREOF, I have hereunto set  
day of April, 2002.

  
Neil Allan JACKMAN

United States of America )

State of (New Jersey) ) ss:

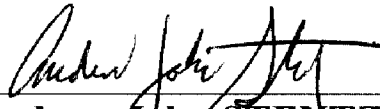
County of (~~Somerset~~) MIDDLESEX

On this 10th day of April 2002, before me personally came  
**NEIL ALLAN JACKMAN**, to me known to be the individual described in and who  
executed the foregoing instrument, and acknowledged execution of same.

  
Notary Public

PEGGIE J. CZACHOWSKI  
NOTARY PUBLIC  
STATE OF NEW JERSEY, MIDDLESEX COUNTY  
COMMISSION EXPIRES, SEPTEMBER 11, 2004

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 11th  
day of April, 2002.

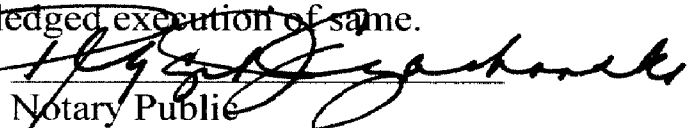
  
\_\_\_\_\_  
Andrew John STENTZ

United States of America )

State of (New Jersey) ) ss:

County of (~~Somerset~~) MIDDLESEX )

On this 11th day of April, 2002, before me personally came  
**ANDREW JOHN STENTZ**, to me known to be the individual described in and who  
executed the foregoing instrument, and acknowledged execution of same.

  
\_\_\_\_\_  
Notary Public

PEGGIE J. CZACHOWSKI  
NOTARY PUBLIC  
STATE OF NEW JERSEY, MIDDLESEX COUNTY  
COMMISSION EXPIRES, SEPTEMBER 11, 2004

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal this 9th  
day of April, 2002.

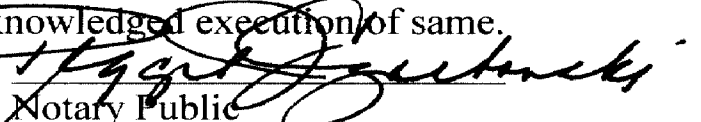
  
\_\_\_\_\_  
Jefferson L. WAGENER

United States of America )

State of (New Jersey) ) ss:

County of (~~Somerset~~) MIDDLESEX )

On this 9th day of April, 2002, before me personally came  
**JEFFERSON L. WAGENER**, to me known to be the individual described in and  
who executed the foregoing instrument, and acknowledged execution of same.

  
\_\_\_\_\_  
Notary Public

PEGGIE J. CZACHOWSKI  
NOTARY PUBLIC  
STATE OF NEW JERSEY, MIDDLESEX COUNTY  
COMMISSION EXPIRES, SEPTEMBER 11, 2004