

04-11-2003

U.S. Department of Commerce
Patent and Trademark Office
PATENT



102416772

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

C 4-11-03

4/11/03

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

Conveyance Type

☐ New

☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error
Reel # Frame #

☐ Corrective Document
Reel # Frame #

☐ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☒ Other Correction to Release

U.S. Government of Collateral Patent
(For Use ONLY by U.S. Government Agencies) Securities Agreement

☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached Execution Date
Month Day Year

Name (line 1) JPMorgan Chase Bank (f/k/a The Chase Manhattan Bank), as Agent 10/18/02

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) LAM LIGHTING SYSTEMS, INC.

Name (line 2)

Address (line 1) 2930 South Fairview, Santa Ana, CA. 92704

Address (line 2)

Address (line 3) City State/Country Zip Code

☐ If document to be recorded
is an assignment and the
receiving party is not
domiciled in the United
States, an appointment
of a domestic
representative is attached.
(Designation must be a
separate document from
Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

04/14/2003 6TON11 00000037 3950638

01 FC:8021

520.00 DP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 014022 FRAME: 0161

Correspondent Name and Address

Area Code and Telephone Number

Name Federal Research Corporation

Address (line 1) 1030 15th Street, NW, Suite 920

Address (line 2) Washington, D.C. 20005

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

See exhibit A to release

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

13

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☐

No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Judy Crowder, As Agent
Name of Person Signing

Judy Crowder, as agent
Signature

4/9/03
Date

Schedule 1A
ASSIGNMENT FOR SECURITY (PATENTS)

Lam Lighting Systems, Inc.

<u>Title</u>	<u>Date Issued</u>	<u>Pat. No.</u>
High Intensity Indirect Lighting Fixture	4/13/76	3,950,638
Family of Modular Lamps for Indirect Lighting	11/4/80	4,232,362
Modular Lamp Stand for Indirect Lighting	1/13/81	D257,896
Modular Lamp Stand for Indirect Lighting	1/13/81	D257,897
Modular Lamp Stand for Indirect Lighting	1/13/81	D257,898
Modular Lamp Stand for Indirect Lighting	1/13/81	D257,899
Modular Lamp Stand for Indirect Lighting	1/13/81	D257,900
Modular Lamp Stand for Indirect Lighting	1/13/81	D257,901
Modular Lamp Stand for Indirect Lighting	1/13/81	D257,902
Modular Lamp Stand for Indirect Lighting	1/13/81	D257,903
Brightness Equalizing Light Filter System	5/23/89	4,833,575
Imperial Wall Sconce	1/11/94	5,278,738
High Intensity Indirect Lighting Fixture	11/27/90	4,974,137

11-26-2002



11-26-02

Form PTO-1595
(Rev. 03/01)

RL

102292961
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

LAM LIGHTING SYSTEMS, INC.
2930 South Fairview
Santa Ana, CA 92704MFD
11.26.02

2. Name and address of receiving party(ies)

Name: JPMorgan Chase Bank (f/k/a The Chase Manhattan Bank), as Agent

Internal Address: _____

Street Address: Cost Center #1782

633 Third Avenue

City: New York State: NY Zip: 10017

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☒ Other Release of Collateral Patent Securities Agreement

Execution Date: 10/18/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

B. Patent No.(s) See Exhibit A to
ReleaseAdditional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Federal Research Corporation

Internal Address: _____

Street Address: 1030 15th Street NW, Suite 920

City: Washington State: DC Zip: 20005

6. Total number of applications and patents involved: 13

7. Total fee (37 CFR 3.41).....\$520¹²☐ Enclosed 520¹²☐ Authorized to be charged to deposit account

8. Deposit account number:

DO NOT USE THIS SPACE

9. Signature.

Loukia Harris

Name of Person Signing

Signature

November 21, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

11/27/2002 6TON11 00000068 3950638

01 FC:8021

520.00 OP

PATENT
REEL: 014022 FRAME: 0164

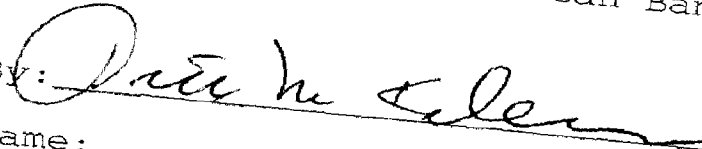
RELEASE OF
COLLATERAL PATENT SECURITIES
AGREEMENT

THIS RELEASE is made as of the 18th day of October, 2002 by JPMorgan Chase Bank (f/k/a The Chase Manhattan Bank) ("JP/Chase") in favor of Lam Lighting Systems, Inc.

FOR GOOD AND VALUABLE CONSIDERATION, the receipt and adequacy of which are hereby acknowledged, JP/Chase hereby unconditionally and expressly releases, terminates and extinguishes, without limitation, any and all of its right, title and interest in and to, and any and all liens and security interests it may have upon, all of the patents and patent applications, including all inventions described and claimed therein and all reissues, divisions, continuations, renewals, extensions and continuations-in-part thereof, and all of the trademarks, including all tradenames, applications and registrations therefor, renewals thereof, all income, royalties, rights and goodwill thereto, and all of the license agreements, including the right to prepare for sale, sell and advertise for sale, and any and all other items listed on Exhibit A attached hereto, which liens and security interests were established under and pursuant to the Assignment for Security (Patents) recorded in the U.S. patent and Trademark Office on 5/14/1997 at Reel 8503 Frame(s) 0957 dated June 26, 1997 (the "Agreement"). All obligations and duties under said Agreement to the extent they relate solely to the items listed on Exhibit A attached hereto, are hereby released and terminated and Chase hereby expressly releases and discharges without limitation all claims demands and causes of action that it may now have or might subsequently accrue to it arising out of or connected with, directly or indirectly, the aforesaid Agreement to the extent they relate solely to the items listed on Exhibit A attached hereto.

This Release shall be binding upon JP/Chase' legal
representatives, assigns and successors.

JPMORGAN CHASE BANK, **AS AGENT**
(f/k/a The Chase Manhattan Bank)

By: 
Name: **PETER M. KILLEA**
Title: **VICE PRESIDENT**

State of New York)
) s.s.
County of New York)

On this 18th day of October, 2002, before me

JEFFREY S. ACKERMAN

, the undersigned officer,
personally appeared

PETER M. KILLED
(Notary Public) who acknowledged
him/herself to be the VICE PRESIDENT
(Name of Officer) (Title of Officer)

("Officer") of JP Morgan Chase Bank,
a corporation, and that being authorized so to do,
executed the foregoing instrument for the purposes
therein contained, by signing the name of the
corporation by him/herself as Officer.

In witness whereof I hereunto set my hand.

JEFFREY S. ACKERMAN
NOTARY PUBLIC, State of New York
No. 24-4707613
Qualified in Kings County
Commission Expires June 30, 2003

Jeffrey S. Ackerman
Notary Public
My Commission
Expires 6/30/03

(Seal)

jji\02fin\tm\patentrelease-lam